



Ferromagnetism in carbon-doped ZnO films from first-principle study

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ABSTRACT

First-principle calculations based on density functional theory have been performed on the nonmagnetic 2p light element C-doped ZnO thin films. The total energies and magnetism of the system are calculated with a ten-layer slab along (1010) direction. The results show that the C-doped ZnO thin films are ferromagnetic. A single C is preferable to occupy the subsurface site. As the concentration of C atoms increases, the ferromagnetic coupling among the dopants is more favorable, and they tend to form a cluster around the Zn atom at the film surface. The ferromagnetism is predicted to be mainly from a p – d exchange-like p – p coupling interaction and a p – d exchange hybridization. The p – p coupling interaction is the dominative mechanism.

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1. Introduction

The diluted magnetic semiconductors (DMS) have recently been one of the most prevailing focuses in the field of material science, due to their potential applications in spintronic devices [1,2]. To date, many efforts have been carried out for the search of high Curie temperature (T_C) ferromagnetism (FM) in oxide semiconductors, such as magnetic ion Ti, V, Cr, Mn, Fe, Co, and Ni-doped ZnO, TiO₂, SnO₂, In₂O₃, and HfO₂ materials [3–11], which are candidates for room temperature (RT) DMSs with highly industrial applications in spintronics. Among them, ZnO-based DMS, as a transparent conducting oxide, has good electrical and optical properties in combination with a large band gap of 3.3 eV, abundance in nature, absence of toxicity with varied applications as electrodes, window materials in displays, solar cells and various optoelectronic devices [12]. However, the sample growth is very sensitive to the preparation methods and conditions, making the experimental results quite contradictory to each other. One of the fundamental and key questions is whether the fabricated material is indeed a solid solution of Zn_{1-x}TM_xO (TM = V, Cr, Mn, Fe, Co, etc.) or it remains as ZnO with embedded TM clusters, precipitates, or second phases, responsible for the observed magnetic properties. Mostly, the high

T_C FM in ZnO-based DMSs is generally considered to be an intrinsic phenomenon [13], while some research works have indicated that the FM originates from secondary phases or metal clusters [14,15]. Meanwhile other groups have attributed it to the oxygen related defects [16]. Recently, the FM has been observed in pristine SnO₂ thin films [17]. Observation of FM in these undoped systems has opened a wider debate as to the origin of FM in these semiconductors. Theoretically, the FM of most DMS is considered to involve carriers (electrons or holes) in mediating interaction between dopants. For example, the Ruderman–Kittel–Kasuya–Yosida (RKKY) model is based on sp – d exchange between the sp itinerant carriers and the local d states of the transition-metal-doping elements. Other than that, superexchange, double exchange and bound magnetic polarons (BMPs) are invoked to explain the FM ordering. Moreover, Coey et al. extend the BMPs into the F-center-mediated BMPs to explain high T_C FM in oxides [18]. However, there is not unambiguous conclusion on whether the FM is intrinsic or not, and what mechanism is responsible for the FM?

The most recent attractive results that RT FM with T_C higher than 400 K is observed in C-doped ZnO have drawn our attention [19,20]. Pan et al. have theoretically reported ferromagnetic coupling among the C dopants and a magnetic moment of $2.02\mu_B$ per C. The result was confirmed experimentally by pulsed-laser deposition. In fact, the theoretical studies have predicted that anion substitutions in IIA-oxide can lead to FM, but the mechanism will be different compared to that of the cationic substitutions [21].

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Yu et al. have reported the FM in N embedded ZnO:N films [12]. Bannikov et al. have reported the spin polarization induced by replacement of O atoms by 2p impurities (B, C and N) in nonmag-

netic cubic SrMO₃ (M = Ti, Zr and Sn) perovskite [22]. Wu et al. have found that C substitution for either single B or N atom in the BN nanotubes can induce spontaneous magnetization [23]. Other than that, Peng et al. showed that the hole-induced magnetization in oxides and nitrides are an intrinsic host property when enough homogeneous holes are injected into the system, which provides a challenge to understand the origin of the magnetism in these materials with no doping of access magnetic ions [24]. In a word, it is a physically rich topic to study the C-doped ZnO.

In this Letter, we present a theoretical study of the electronic and magnetic properties of C-doped ZnO thin films, using the slab supercell model. We focus on the possibility of the magnetization for ZnO films induced by nonmagnetic *sp* impurities.

2. Method of calculation

The details about the geometry of the used supercell are given in Fig. 1. The ZnO surface is modeled by a 2×2 ten-layer slab, containing 40 Zn and 40 O atoms in the supercell [25]. Each slab is separated from the other by a vacuum region of 12 Å along (10 $\bar{1}0$) direction, so that the surfaces do not couple with each other. To preserve symmetry, the top and bottom layers of the slab are taken to be identical. All the layers are allowed to relax without any symmetry constraint. Calculations of the total ener-

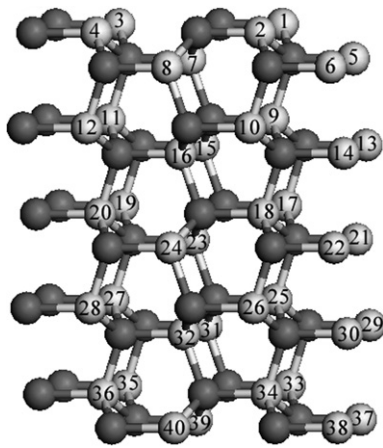


Fig. 1. The side view of the ten-layer slab for wurtzite ZnO (10 $\bar{1}0$) surface, which consists of 40 Zn and 40 O atoms. The darker and lighter spheres represent Zn and O atoms, respectively.

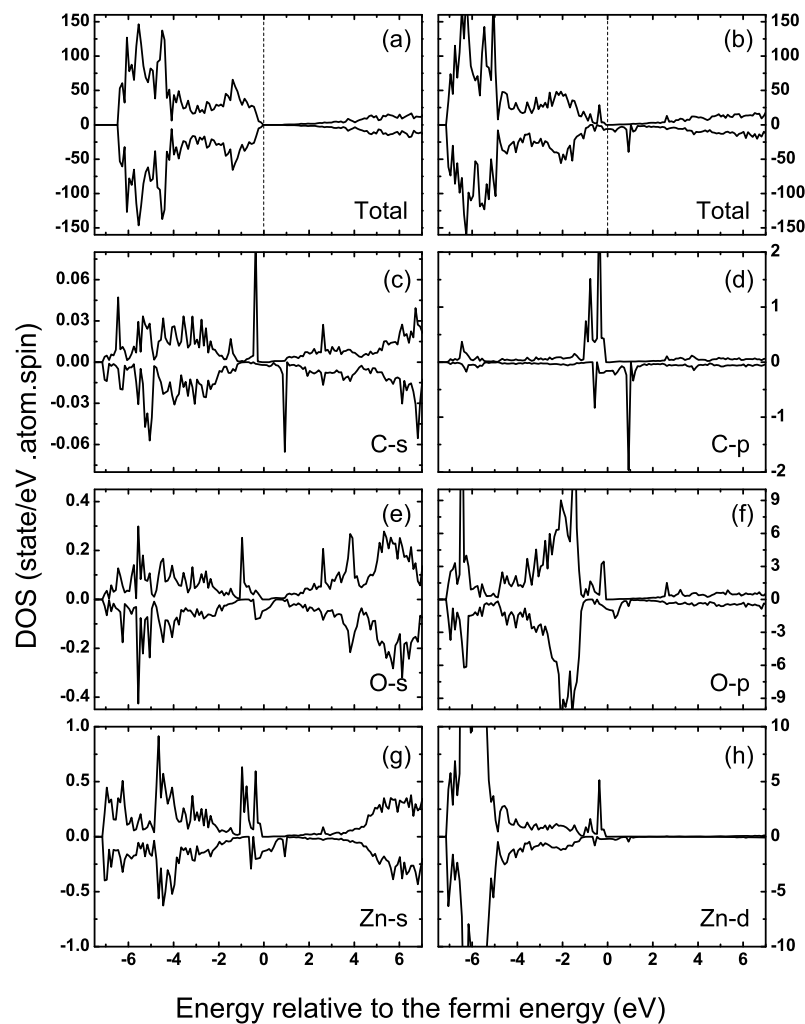


Fig. 2. Total spin polarized DOS for (a) undoped Zn₄₀O₄₀ slab; (b) C-doped Zn₄₀C₂O₃₈ slab, where C atom sites at the (10 $\bar{1}0$) film subsurface; (c), (d) Partial spin polarized DOS for the C 2s and 2p, respectively; (e), (f) Partial spin polarized DOS for the O 2s and 2p, respectively, where the O atoms are the next nearest neighbors to the C dopant; (g), (h) Partial spin polarized DOS for the Zn 4s and 3d, respectively, where the Zn atoms are the nearest neighbors to the C dopant. Positive DOS represent the majority spin, while negative DOS represent the minority spin. The Fermi level has been shifted to 0 eV.

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