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# On possible Chern classes of stable bundles on Calabi-Yau threefolds

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#### 1. Introduction

#### ABSTRACT

Supersymmetric heterotic string models, built from a Calabi–Yau threefold X endowed with a stable vector bundle V, usually lead to an anomaly mismatch between  $c_2(V)$  and  $c_2(X)$ ; this leads to the question whether the difference can be realized by a further bundle in the hidden sector. In [M.R. Douglas, R. Reinbacher, S.-T. Yau, Branes, Bundles and Attractors: Bogomolov and Beyond, math.AG/0604597], a conjecture is stated which gives sufficient conditions on cohomology classes on X to be realized as the Chern classes of a stable reflexive sheaf V; a weak version of this conjecture predicts the existence of such a V if  $c_2(V)$  is of a certain form. In this note, we prove that on elliptically fibered X infinitely many cohomology classes  $c \in H^4(X, \mathbb{Z})$  exist which are of this form and for each of them a stable SU(n) vector bundle with  $c = c_2(V)$  exists.

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To get N = 1 heterotic string models in four dimensions, one compactifies the ten-dimensional heterotic string on a Calabi–Yau threefold X which is furthermore endowed with a polystable holomorphic vector bundle V'. Usually one takes  $V' = (V, V_{hid})$  with V, a stable bundle, considered to be embedded in (the visible)  $E_8$  ( $V_{hid}$  plays the corresponding role for the second hidden  $E_8$ ); the commutant of V in  $E_8$  gives the unbroken gauge group in four dimensions.

The most important invariants of *V* are its Chern classes  $c_i(V)$ , i = 0, 1, 2, 3. We consider in this note bundles with  $c_0(V) = rk(V) = n$  and  $c_1(V) = 0$ ; more specifically we will consider SU(n) bundles. The net number of generations of chiral particle multiplets in the four-dimensional effective theory is given by  $N_{gen}(V) = |c_3(V)/2|$ . On the other hand, the second Chern class is important to ensure anomaly freedom of the construction; this is encoded in the integrability condition for the existence of a solution to the anomaly cancelation equation

$$c_2(X) = c_2(V) + W.$$

(1.1)

Here, W, as it stands, has just the meaning to indicate a possible mismatch for a certain bundle V; it can be understood either as the cohomology class of (the compact part of the world volume of) a fivebrane, or as second Chern class of a further stable bundle  $V_{hid}$  in the hidden sector. Furthermore, in the first case the class of W has to be effective for supersymmetry to be preserved.

Often in heterotic string compactifications, we are interested in the question whether a stable bundle with a particular  $c_3(V_{vis})$  exists, since  $c_3(V_{vis})$  determines the number of generations of the heterotic string compactification. Conversely, if we have such a visible bundle, its second Chern class must satisfy Eq. (1.1); thus we are now interested in the question whether a stable hidden bundle exists with a particular  $c_2(V_{hid}) = c_2(X) - c_2(V_{vis})$ .

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In [1], it has been shown that whenever the topological constraint can be satisfied with W = 0, then X and V can be deformed to a solution of the anomaly equation even already on the level of differential forms (a solution to the system involving the three-form field-strength H, investigated first in [2], exists).

This leads to the general question to give sufficient conditions for the existence of stable bundles with prescribed Chern classes  $c_2(V)$  and  $c_3(V)$ . Concerning this, the following conjecture is put forward in [3] by Douglas, Reinbacher and Yau (DRY) (actually we use the particular case of the conjecture with  $c_1(V) = 0$ ).

**DRY-Conjecture.** On a Calabi–Yau threefold X of  $\pi_1(X) = 0$  a stable reflexive sheaf V of rank n and  $c_1(V) = 0$  with prescribed Chern classes  $c_2(V)$  and  $c_3(V)$  will exist if, for an ample class  $H \in H^2(X, \mathbf{R})$ , these can be written as (where  $C := 16\sqrt{2}/3$ )

$$c_2(V) = n\left(H^2 + \frac{c_2(X)}{24}\right)$$
(1.2)

$$c_3(V) < CnH^3. \tag{1.3}$$

Note that the conjecture just predicts the existence of a stable reflexive sheaf; in our examples below V will be a vector bundle.

We will also formulate a weaker version of the conjecture, which is implied by the proper (strong) form and concentrates on the existence of V given that just its (potential)  $c_2(V)$  fulfills the relevant condition. To refer more easily to the notions involved, first we give the following definitions. We restrict to the case of V being a vector bundle. We will consider rank n bundles of  $c_1(V) = 0$  and treat actually the case of SU(n) vector bundles.

**Definition.** Let *X* be a Calabi–Yau threefold of  $\pi_1(X) = 0$  and  $c \in H^4(X, \mathbb{Z})$ ,

(i) *c* is called a *Chern class* if a stable SU(n) vector bundle *V* on *X* exists with  $c = c_2(V)$ 

(ii) *c* is called a *DRY class* if an ample class  $H \in H^2(X, \mathbf{R})$  exists (and an integer *n*) with

$$c_2(V) = n\left(H^2 + \frac{c_2(X)}{24}\right).$$
(1.4)

With these definitions we can now state the weak DRY-conjecture, in the framework as we will use it, as follows.

**Weak DRY-Conjecture.** On a Calabi–Yau threefold X of  $\pi_1(X) = 0$  every DRY class  $c \in H^4(X, \mathbb{Z})$  is a Chern class.

Here it is understood that the integer *n* occurring in the two definitions is the same.

The paper has three parts. In Section 2, we give criteria for a class to be a DRY class. In Section 3, we present some bundle constructions and show that their  $c_2(V)$  fulfill these criteria for infinitely many *V*.

#### 2. DRY classes on elliptic Calabi-Yau threefolds

To test these conjectures we choose X to be elliptically fibered over the base surface B with section  $\sigma : B \longrightarrow X$  (we will also denote by  $\sigma$  the embedded subvariety  $\sigma(B) \subset X$  and its cohomology class in  $H^2(X, \mathbb{Z})$ ), a case particularly well studied. The typical examples for B are rational surfaces like a Hirzebruch surface  $\mathbf{F}_k$  (where we consider the following cases k = 0, 1, 2 as only for these bases exists a smooth elliptic X with Weierstrass model), a del Pezzo surface  $\mathbf{dP}_k$  ( $k = 0, \ldots, 8$ ) or the Enriques surface (or suitable blow-ups of these cases). We will consider specifically bases B for which  $c_1 := c_1(B)$  is ample. This excludes, in particular, the Enriques surface and the Hirzebruch surface<sup>1</sup>  $\mathbf{F}_2$ . (The classes  $c_1^2$  and  $c_2 := c_2(B)$  will be considered as (integral) numbers.)

On the elliptic Calabi–Yau space *X*, one has according to the general decomposition  $H^4(X, \mathbb{Z}) \cong H^2(X, \mathbb{Z})\sigma \oplus H^4(B, \mathbb{Z})$ , the decompositions (with  $\phi$ ,  $\rho \in H^2(X, \mathbb{Z})$ )

$$c_2(V) = \phi \sigma + \omega \tag{2.1}$$

$$c_2(X) = 12c_1\sigma + c_2 + 11c_1^2$$
(2.2)

where  $\omega$  is understood as an integral number (pullbacks from *B* to *X* will be usually suppressed).

One now solves for  $H = a\sigma + \rho$  (using the decomposition  $H^2(X, \mathbb{Z}) \cong \mathbb{Z}\sigma \oplus H^2(B, \mathbb{Z})$ ), given an arbitrary but fixed class  $c = \phi\sigma + \omega \in H^4(X, \mathbb{Z})$ , and has then to check that *H* is ample. The conditions for *H* to be ample are (cf. Appendix)

$$H \text{ ample } \iff a > 0, \qquad \rho - ac_1 \text{ ample.}$$
(2.3)

<sup>&</sup>lt;sup>1</sup> As  $c_1 \cdot b = (2b + 4f) \cdot b = 0$ , using here the notations from footnote 6.

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