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Complementary

Hypertonic dextrose injection (prolotherapy) for knee osteoarthritis: Long term outcomes

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NCT00085722	Summary <i>Objective:</i> Knee osteoarthritis (OA) is a common, debilitating chronic disease. Prolotherapy is an injection therapy for chronic musculoskeletal pain. Recent 52-week randomized controlled and open label studies have reported improvement of knee OA-specific outcomes compared to baseline status, and blinded saline control injections and at-home exercise therapy ($p < 0.05$). However, long term effects of prolotherapy for knee OA are unknown. We therefore assessed long-term effects of prolotherapy on knee pain, function and stiffness among adults with knee OA. <i>Design:</i> Post clinical-trial, open-label follow-up study. <i>Setting:</i> Outpatient; adults with mild-to-severe knee OA completing a 52-week prolotherapy study were enrolled. <i>Intervention and outcome measures:</i> Participants received 3–5 monthly interventions and were assessed using the validated Western Ontario McMaster University Osteoarthritis Index, (WOMAC, $0-100$ points), at baseline, 12, 26, 52 weeks, and 2.5 years. <i>Results:</i> 65 participants (58 \pm 7.4 years old, 38 female) received 4.6 \pm 0.69 injection sessions in the initial 17-week treatment period. They reported progressive improvement in WOMAC scores at all time points in excess of minimal clinical important improvement benchmarks during the initial 52-week study period, from 13.8 \pm 17.4 points (23.6%) at 12 weeks, to 20.9 \pm 2.8 points, ($p < 0.05$; 35.8% improvement) at 2.5 \pm 0.6 years (range 1.6–3.5 years) in the current follow-
	initial 52-week study period, from 13.8 ± 17.4 points (23.6%) at 12 weeks, to 20.9 ± 2.8 points, ($p < 0.05$; 35.8% improvement) at 2.5 ± 0.6 years (range $1.6-3.5$ years) in the current follow-up analysis. Among assessed covariates, none were predictive of improvement in the WOMAC score.

Abbreviations: RCT, randomized controlled trial; BMI, body mass index; WOMAC, Western Ontario McMaster University Osteoarthritis Index; KPS, knee pain scale; MCII, minimal clinical important improvement.

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Conclusions: Prolotherapy resulted in safe, significant, progressive improvement of knee pain, function and stiffness scores among most participants through a mean follow-up of 2.5 years and may be an appropriate therapy for patients with knee OA refractory to other conservative care. © 2015 Elsevier Ltd. All rights reserved.

Introduction

Knee osteoarthritis (OA) is a chronic disease; knee pain, stiffness, and functional impairment are common sequelae. Knee OA is common and age-related;¹ 33.6% of those over 65 years of age will have knee OA,² conferring substantial expense for patients and society. The etiology of knee OA pain is multifactorial; pain generators include both intra-articular and supportive extra-articular structures.^{3,4} Standard-of-care is multidisciplinary; however, a recent systematic review reported no clear benefit of commonly used therapies.⁵ The Institute of Medicine has identified assessment of knee OA treatment strategies as a ''top 100'' comparative effectiveness research priority⁶ and the Agency for Healthcare Research and Quality has called for the development of new therapies to treat knee OA.⁵

Prolotherapy is a complementary and alternative medical (CAM) injection therapy for chronic musculoskeletal pain, including knee OA.7-9 It has been categorized as a regenerative injection techniques for musculoskeletal pain by some researchers.¹⁰ Small volumes of an irritant solution are injected at multiple tender ligament and tendon attachments and in adjacent joint spaces during several treatment sessions.⁷ The earliest description of prolotherapy to appear in the allopathic medical literature was in 1937 in a case report for temporomandibular joint, in which the modality was called 'sclerotherapy' due to the scar-forming properties of early injectants.¹¹ Contemporary injection techniques date from the 1950s when the more commonly used term 'prolotherapy' (from 'proliferant therapy') was adopted based on the observation that ligamentous tissue exhibited larger cross-sectional area after prolotherapy injections in animal models.¹² The mechanism of action remains unclear. Hypotheses include stimulation of local healing through inflammatory or sensorineural mechanisms, 13-15 but definitive evidence is lacking.⁷ Hypertonic dextrose is the most commonly used injectant.⁷ Prolotherapy may be well-suited to address the multifactorial etiology of symptomatic knee OA because injections target multiple potential pain-generating sites in and around the knee joint; positive one-year outcomes have been reported in three studies,^{16–18} however the long term effects of prolotherapy in participants with knee OA are not known. We therefore enrolled participants from one completed 52-week randomized controlled clinical trial¹⁸ and two completed 52-week non-controlled trials^{16,17} in an open-label follow-up study to assess the hypothesis that adults with symptomatic knee OA who received prolotherapy will report progressive improvement on a validated assessment of knee pain, stiffness and function up to 3.5 years after initiating treatment.

Materials and methods

Context

The study protocol is part of a larger body of work; each individual study was approved by the University of Wisconsin Health Institutional Review Board. The clinical trials identifier of the project as a whole is NCT00085722. In two 52-week uncontrolled open label studies and one randomized controlled study, our group has reported improvement in self-reported knee OA-specific pain, function and stiffness compared to baseline status,^{16,17} and blinded saline control injections and at-home exercise therapy,¹⁸ in reponse to prolotherapy. The eligibility criteria and injection protocols of the three prior trials were nearly identical; the primary outcome measure in each was the Western Ontario McMaster University Osteoarthritis Index (WOMAC) questionnaire. a validated, responsive questionnaire evaluating knee OA severity using pain, stiffness, and function subscales.¹⁹ The improvement among participants receiving prolotherapy in each study was similar: 15.9 ± 2.5 points in "Open Label 1'', ¹⁶ (Fig. 1) $12.4 \pm 3.5 - 19.4 \pm 7.0$ points in Open Label 2, ¹⁷ and 15.3 ± 3.5 points in the randomized controlled trial.¹⁸

Study design

Post clinical-trial open-label follow-up study assessing selfreported outcomes among participants up to 3.5 years after enrollment in three prior studies.

Participants

The primary inclusion criteria for the current study were: receiving prolotherapy in one of three prior studies of prolotherapy for mild-to-severe knee OA;^{16–18} completion of 52 week follow-up assessment in those studies, and being 1.5 to 3.5 years from initial enrollment in those studies (Fig. 1). Details of the eligibility criteria of the prior studies have been published.¹⁶⁻¹⁸ Briefly, they included a diagnosis of knee OA based on clinical criteria,²⁰ augmented by radiologically demonstrated knee OA identified by a radiologist on an existing radiograph obtained within five years prior to initial enrollment; tenderness of one or more anterior knee structures on physical exam conducted by the first author (DR); and self-reported moderate-to-severe knee pain for at least 3 months, defined as a score of "3" or more in response to the question "What has been the average level of your left/right knee pain over the last week?" (0-6 ordinal response scale). Potential participants were contacted sequentially, based on their 52-week exit date, from their

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