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### Pain in Premature Infants: What Is Conclusive Evidence and What Is Not

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#### ABSTRACT

Premature infants spend much of their early days of life in an environment that is stressful and in many situations painful. Short and long term consequences of pain are not well documented, yet postulated to have a negative impact on stress-response systems and on deployment. To date there is insufficient evidence for the best methods to assess or to effectively treat their pain. Though a variety of uni- and multidimensional assessment tools are available, many lack sufficient psychometric testing, do not incorporate essential developmental cues to premature infants, and do not distinguish between acute and chronic pain. This review paper will discuss the etiology, sources, and manifestations of pain as well as the short and long term consequences of pain. The available assessment tools for pain in premature infants along with reliability and validity will also be presented.

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#### **Pain in Premature Infants**

Not long ago health professionals believed that neonates, especially premature infants do not feel pain due to the immaturity of the central nervous system. This belief prevailed for decades with small and sick infants subjected to intense pain with no appropriate management. Unfortunately this belief prevails to date in some developing countries. This is despite the fact that over 20 years ago, researchers from Europe and the United States have established the fact that the anatomical, physiological and neurochemical structures that convey pain are well developed in neonates.<sup>1-4</sup>

#### **Number of Painful Procedures**

Pain is experienced by all preterm infants in the neonatal intensive care unit (NICU) as they are exposed to many diagnostic and therapeutic procedures that are necessary for their survival.<sup>5</sup> Studies across nations have reported wide variations in the number of painful procedures premature infants are exposed to (see Table 1). For example, a study in France reported that on average, infants in the NICU experience 115 painful procedures in a 2-week period<sup>6</sup> and a study in Switzerland identified 38,626 procedures performed on 120 preterm infants in a 2-week period with 75% of those considered painful (238 painful procedures per child in 2 weeks) with most occurring in infants with the lowest gestational age.<sup>7</sup> Simons et al.<sup>5</sup> followed 151 neonates for the first 14 days of their NICU admission and documented almost 20,000 pain-producing procedures, of which, 31% were due to repeat attempts when the first procedure failed. This

procedures per day. Three further studies reported an average of two to five painful procedures per day. 8-10 The discrepancy in the numbers of painful procedures among studies could be due to what is considered a painful procedure as well as the different gestational ages of the infants studied and the level of the NICU (see Table 2). For example, the study mentioned above by Cignacco et al. described manipulation of the CPAP prongs or insertion of a nasogastric tube as painful procedures which were not documented as such in other studies. Likewise, a study in Lebanon observed that infants in the NICU were subjected to an average of 14 to 25 procedures per day (280 procedures per child in a 2-week period). Procedures considered as minimally painful such as bathing, and the administration of oral medications and loud noises resulted in significant physiological changes as did more painful procedures such as suctioning and heel sticks. 11

averaged 196 procedures per neonate, or between 12 and 15

#### **Sources of Pain**

Painful interventions most studied include capillary blood sampling by heel stick, or venipuncture, (e.g.,  $^{5,12-14}$ ) followed by naso- or endotracheal suctioning.  $^{15,16}$  Other painful procedures assessed include placing a nasogastric tube,  $^{17}$  removing an adhesive tape or monitoring leads,  $^{18}$  weighing,  $^{19}$  and eye examination.  $^{20}$  Contrary to common practice a Cochrane review of six studies (n=478) found that venipuncture was less painful than the heel stick procedure. The authors conclude that venipuncture should be the preferred mode for blood sampling in term neonates especially if performed by skilled phlebotomist. A study by Goubet and colleagues supports this finding as heel sticks elicited more behavioral distress than venipunctures in a sample of 51 preterm infants. Of interest are two studies that indicated that daily routine activities such as bathing and diaper change can be interpreted as painful to the premature infant as

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**Table 1**Number of Painful Procedures Documented in the Literature.

Author (Year), Reference	Subjects, Gestational Age (GA) in Weeks	Number of Painful Procedures*	Most Common Procedure in Order of Frequency
Baker and Rutter (1995) <sup>8</sup>	N = 54, $GA = 23-41$	3000 procedures total in 3 months. No report of LOS <sup>†</sup> . 74% in infants less than 31 weeks' gestation	56% heel stick; 26% endotracheal suction
Zahr and Balian (1995) <sup>11</sup>	N = 55, $GA = 23-32$	14–25 procedures per infant per day or 273 in 2 weeks (these included daily activities such as diaper change and weighing)	Suctioning <sup>‡</sup> ; heel stick; intravenous starts attempts; positioning/Diaper change
Stevens et al., (2003) <sup>10</sup>	N = 194, GA = 27–31; high and low risk	Average 10 procedures per infant per day or 140 in 2 weeks. Most procedures were done on the high-risk infants.	Suctioning; heel sticks; intravenous starts attempts
Simons et al., (2003) <sup>5</sup>	N = 151, $GA = 25-42$	19,674 procedures in 1375 days (14.3 per infant per day or 200 in 2 weeks); 31% due to repeated attempts	63% suctioning followed by heel stick
Johnston et al., (1997) <sup>9</sup>	N = 239, $GA = 23-42$	2134 procedures (1.99 per infant per day or 28 in 2 weeks)	Heel stick followed by insertion of an IV line
Cignacco et al., (2009) <sup>7</sup>	N = 120, $GA = 24-27$	38,626 procedures in the first 14 days (17.3 per day or 242 in 2 weeks)	26% CPAP manipulation; 17% heel stick
Carbajal et al., (2008) <sup>6</sup>	N = 430, $GA = 24-42$	60,969 procedures in 2 weeks (12 per infant per day or 168 in 2 weeks)	28% suctioning; 19% heel stick

<sup>\*</sup> Number of procedures calculated per day or week when possible.

manifested by significant decreases in oxygen saturations ( $SO_2$ ) and increases in heart rates (HR).  $^{11,23}$  In contrast, Cignacco et al.  $^7$  listed diaper change as a non-painful procedure. In this latter study, 27 procedures common in the NICU were recorded, some procedures (eg. intubation, eye examination, heel stick) were considered to be very painful, some were considered painful (e.g., CPAP prong insertion, extubation, tape removal) and some were labeled as not painful (e.g., diaper change, X-ray, insertion of an umbilical line). Thus, it remains unclear as to what constitutes a painful experience for premature infants. It is also uncertain which factors heighten or dampen responses to pain. The influence of circumstantial factors on the expression of pain, such as the gestational age (GA) of the infant, the severity of illness, the level of sedation or the amount of noise or light in the NICU has not yet been adequately explained.  $^{24}$ 

#### Potential Short- and Long-Term Consequences of Pain

Because premature infants cannot manifest pain as well mature infants due to lack of inhibitory control they may be at an increased risk for negative brain alterations in both structure and function.<sup>25</sup>

Studies have documented and postulated several neurodevelopmental consequences such as learning and behavioral difficulties observed in later infancy and childhood and reduced brain white matter. <sup>26–29</sup> In contrast, a new longitudinal study with 90 infants who were given either continuous morphine or placebo while in the NICU and followed up at 5 years showed minimal differences between groups. After correcting for contextual variables, children at 5 years were tested for intelligence, visual motor integration, behavior, chronic pain, and health-related quality of life with no difference between groups except for scores on one IQ subtest, "visual analysis." Thus, the long-term effects of treating pain with morphine or not treating were not any different in this study. The inconsistencies in results could be due to many factors that contribute to the long-term effects of pain such as developmental maturity of the infant at the time pain occurred. GA. illness severity, length of exposure to pain, and contextual variables factors at the time and after the pain exposure.

A recent study using EEG recordings during noxious stimuli found that infants who are born prematurely, and who have experienced at least 40 days of intensive or special care, have an increased neuronal response to noxious stimuli compared to healthy newborns at the

**Table 2**Commonly Used Pain Assessment Tools for Premature Infants.

Neonatal Infant Pain Scale (NIPS)	Lawrence et al., (1993) <sup>55</sup>	Inter-rater $r = .9297$	Concurrent With VAS*	Procedural pain
(6 items coded 0–1), behavioral and		Cronbach's $\alpha = .87$ –.95	r = .5384	Limited clinical use
1 item breathing	50		Construct	Preterm and full-term
Neonatal Facial coding System (NFCS)	Granau and Craig (1997) <sup>56</sup>	Inter-rater, $r = .8595$	Predictive	Mostly for research as videotape
(9 items coded 0-1), behavioral		Kappa $r = .67$	Discriminate	is needed
and physiologic		Cronbach's $\alpha = .83$	Convergent $r = .89$	Procedural pain
			_	25 weeks to full-term
The Bernese Pain Scale for Neonates	Cignacco et al., (2004) <sup>57</sup>	Inter-rater, $r = .8697$	Construct $F = 41.3, p < .001$	Pain
(BPSN) (9 items coded 0-3), Behavioral			Concurrent with VAS†	Preterm and full-term
(=====) (==============================			r = .85 and with PIPP $r = .91$	
Premature Infant Pain Profile (PIPP)	Stevens et al., (1996) <sup>58</sup>	Inter-rater $r = .85$ –.95	Discriminate validity	Procedural pain (most commonly
(6 Items coded 1-4), behavioral and		Cronbach's $\alpha = .82$	t = 2.4, p < .01	used tool in research)
physiologic and GA			Construct $r = .85$	28-40 weeks
Neonatal Pain Agitation and sedation scale	Hummel et al., (2003) <sup>59</sup>	Inter-rate $r = .85$ – .95	Concurrent with PIPP, $r = .83$	For ongoing pain
(N-PASS) (8 Items coded $-2$ to $+2$ ),		Cronbach's $\alpha = .87$ –.91	Discriminate, $t = .2.78, p < .001$	Includes sedation
behavioral and physiologic and GA			•	23-40 weeks
CRIES (5 items coded 0–2), behavioral	Krechel and Bildner	Inter-rater, $r = .72$ – .98	Concurrent with NIPS	Mostly for postoperative pain
and physiologic	$(1995)^{60}$	Kappa $r = .93$	r = .84	32-60 weeks
The Douleur Aigue Nouveau-ne (DAN)	Carbajal (1997) <sup>61</sup>	Inter-rater, $r = .5997$	Face	Procedural and prolonged pain
(13 items coded 0–4), behavioral	, , ,	Cronbach's $\alpha = .88$	Concurrent with FANS <sup>‡</sup>	Research and clinical use
			r = .88	26–36 weeks

<sup>\*</sup> GA: gestational age.

<sup>†</sup> LOS = length of hospital stay.

<sup>‡</sup> Actual percent not reported.

<sup>†</sup> VAS: visual analogue scale.

<sup>‡</sup> FANS: Faceless Acute Neonatal Pain Scale.

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