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# Programmed Death-Ligand 1 Expression in Muscle-Invasive Bladder Cancer Cystectomy Specimens and Lymph Node Metastasis: A Reliable Treatment Selection Biomarker?

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## Abstract

The aim of this study was to establish the frequency of PD-L1 expression in muscle-invasive bladder cancer cystectomy specimens and associated lymph node metastasis using immunohistochemistry. PD-L1 was overexpressed in the tumor cells of 5/52 (9.6%) of cystectomy specimens in this cohort with 17/52 (32.7%) of cases showing PD-L1 overexpression in tumor-infiltrating immune cells. Discordance was observed between PD-L1 expression in lymph node metastasis and the primary tumor.

Background: The programmed death-1 (PD-1) pathway negatively regulates T-cell activation and has an important role in regulating antitumor host immunity. Monoclonal antibodies directed against PD-1 or the PD-1 ligand (PD-L1) have shown activity in several tumor types with preliminary data suggesting a relationship between PD-L1 expression and response. The aim of this study was to establish the frequency of PD-L1 expression in muscle-invasive bladder cancer and associated lymph node metastasis using immunohistochemistry and to investigate the feasibility of using PD-L1 expression as a biomarker to select patients for PD-1-directed therapy. Patients and Methods: Cases of radical cystectomy for muscle-invasive bladder cancer with no exposure to previous chemotherapy were identified and representative slides from archived paraffin-embedded blocks stained with anti-PD-L1 antibody (5H1 clone) were identified. PD-L1 positivity was defined by a 5% expression threshold. Results: Fifty-two radical cystectomy specimens were reviewed. PD-L1 was overexpressed in the tumor cells of 5/52 (9.6%) of cystectomy specimens in this cohort with 17/52 (32.7%) of cases showing PD-L1 overexpression in tumor-infiltrating immune cells. Discordance was observed between PD-L1 expression in lymph node metastasis and the primary tumor. Conclusion: Standard assays for PD-L1 expression have yet to be established. The observation of discordance between PD-L1 expression in metastatic sites and primary tumors suggests that prospective biomarker studies should aim to acquire material immediately before treatment initiation rather than archived tissue from resected specimens that might not reflect the current immune-active microenvironment.

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### Introduction

Overcoming cancer immune tolerance through the modulation of T-cell responses is a treatment strategy of great interest for several tumor types including urothelial bladder cancer. Molecular characterization of tumors from a cohort of patients with bladder cancer has shown this disease to exhibit high mutational complexity with no evidence of high-prevalence driver mutations<sup>1</sup>; novel treatment approaches are urgently required.

Programmed death-1 (PD-1) belongs to the B7-CD28 family of costimulating molecules that regulate T-cell responses.<sup>2</sup> Inhibition

# PD-L1 in Bladder Cancer

Table 1	Patient Demographic	Characteris	tics (n $=$ 52)
Characteristic		Value	
Median Age, Years		68	(range, 30-88)
Sex			
Male		48	(92)
Female		4	(8)
Pathologic Stage			
Primary tumor			
pT2		15	(28.8)
рТЗ		27 (51.9)	
pT4		10	(19.2)
Lymph Nodes			
pNO		34	(65.4)
pN1		11	(21.1)
pN2		7 (13.5)	
High Grade		52	(100)

Data are presented as n (%) except where otherwise stated.

of the interaction between PD-1 and its ligand, PD-L1 (B7-H1), can enhance T-cell responses in vitro and mediate preclinical antitumor activity.<sup>3</sup> Several monoclonal antibodies targeting the PD-1 pathway are in clinical development with the anti–PD-1 monoclonal antibodies nivolumab (BMS-936558) and pembrolizumab (MK-3475) recently approved by the US Food and Drug Administration for the treatment of advanced melanoma and non–small-cell lung cancer.

Preliminary reports from early phase studies suggested that pretreatment tumor expression of PD-L1 could be a useful predictive biomarker for patient selection.<sup>4,5</sup>

Early clinical data from studies using the anti–PD-L1 monoclonal antibody pembrolizumab and the anti–PD-L1 monoclonal antibody MPDL3280A in advanced bladder cancer have shown promising efficacy signals.<sup>6,7</sup> Initial eligibility criteria for study enrollment into the phase I study of MPDL3280A included documentation of PD-L1 expression in tumor-infiltrating immune cells using a proprietary diagnostic antibody, however, the protocol was subsequently amended to include all patients. PD-L1 status was assessed on resected specimens in 59% of patients and biopsies in 27%; in most cases the tissue sample was acquired more than 1 year before the start of the study and more than 5 years in 4 cases. An overall response rate (ORR) at 6 weeks of 43% was seen in 30 patients with PD–L1-negative tumors.<sup>6</sup>

Tumor cell or infiltrating immune cell PD-L1 expression appears to correlate with response to PD-1 pathway inhibitors, however, there is evidence that immune responses including PD-L1 expression are dynamic with evidence of adaptive PD-L1 upregulation with treatment.<sup>8</sup>

The aim of this study was to establish the frequency of PD-L1 expression in muscle-invasive bladder cancer and associated lymph node metastasis using immunohistochemistry and to investigate the feasibility of using PD-L1 expression as a biomarker to select patients for PD-1/PD-L1 directed therapy.

### **Patients and Methods**

#### Patient Selection

The pathology database at the American University of Beirut Medical Center was reviewed from 1997 to 2013 after institutional review board



	PD-L1 Expression in Lymph Node Metastasis		
	Positive	Negative	
PD-L1 Expression in Primary Tumor Specimen Positive	2/18	1/18	
PD-L1 Expression in Primary Tumor Specimen Negative	0/18	15/18	

Abbreviation: PD-L1 = programmed death ligand 1.

approval. All cases of cystectomy for muscle-invasive urothelial cancer without previous chemotherapy were included. Clinicopathologic features including age, sex, histopathology, lymph node status, pathologic stage, and presence of chronic inflammation were recorded.

#### *Immunohistochemistry*

Anti–PD-L1 immunohistochemistry, with the PD-L1 antibody clone 5H1 (kindly provided by Dr Chen, Mayo Clinic), was performed according to the previously described protocol by Thompson et al.<sup>9</sup> Paraffin-embedded tissue blocks were well preserved and freshly cut slides directly stained with the 5H1 monoclonal antibody. A dilution of 1:100 was used for the PD-L1 antibody with tonsil tissue serving as a positive control. PD-L1 expression, for tumor cells and tumor-infiltrating immune cells (histiocytes and lymphocytes) was defined as positive with a >5% threshold membranous staining and an intensity of mild (1+), moderate (2+), and strong (3+). Scoring was performed independently by 2 pathologists (M.N.J., M.S.) and reviewed by a third (A.N.T.).

#### Statistical Analysis

The association of immune cell infiltrates and tumor characteristics were evaluated using Fisher exact test. Correlation between the presence of chronic inflammation and PD-L1 staining was examined using the Kruskal–Wallis rank test. Statistical analyses were performed using STATA version 13 (StataCorp LP). All tests were 2-sided and *P* values < .05 were considered significant.

#### Results

Lymph Node Metastasis

Fifty-two cases of cystectomy for muscle-invasive bladder cancer were identified; clinicopathologic features are outlined in Table 1. Tumor PD-L1 expression was identified in 5 of 52 cases (9.6%) with mild to moderate focal staining (range, 10%-40%; Table 2). PD-L1 expression in lymph nodes was noted in 2 of 4 positive cases with associated lymph node metastasis with mild focal immunoexpression (range, 20%-40%; Table 3, Figure 1). Examination of PD-L1

Table 3	Programmed Death Ligand 1 Expression in Tumor Cells and Tumor-Associated Immune Cells				
		Programmed Death Ligand 1 Expression			
		Tumor Cells	Histiocytes and Lymphocytes		
Primary Tumor		5/52 (9.6%)	17/52 (32.7%)		

2/18 (11.8%)

10/18 (55.5%)

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