Contents lists available at ScienceDirect



International Journal of Solids and Structures

journal homepage: www.elsevier.com/locate/ijsolstr

### Designing orthotropic materials for negative or zero compressibility



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#### ARTICLE INFO

Article history: Received 9 February 2014 Received in revised form 5 May 2014 Available online 12 August 2014

Keywords: Negative linear compressibility (NLC) Negative area compressibility (NAC) Zero compressibility Topology optimization Bi-directional evolutionary structural optimization (BESO)

#### ABSTRACT

There has been considerable interest in materials exhibiting negative or zero compressibility. Such materials are desirable for various applications. A number of models or mechanisms have been proposed to characterize the unusual phenomena of negative linear compressibility (NLC) and negative area compressibility (NAC) in natural or synthetic systems. In this paper we propose a general design technique for finding metamaterials with negative or zero compressibility by using a topology optimization approach. Based on the bi-directional evolutionary structural optimization (BESO) method, we establish a systematic computational procedure and present a series of designs of orthotropic materials with various magnitudes of negative compressibility, or with zero compressibility, in one or two directions. A physical prototype of one of such metamaterials is fabricated using a 3D printer and tested in the laboratory under either unidirectional loading or triaxial compression. The experimental results compare well with the numerical predictions. This research has demonstrated the feasibility of designing and fabricating metamaterials with negative or zero compressibility and paved the way towards their practical applications.

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#### 1. Introduction

Compressibility is a measure of the relative volume change of a solid or fluid as a response to a pressure change. Usually a material contracts in all directions when the pressure increases. However there are some exceptional materials which expand under hydrostatic pressure in one or two directions. Such phenomena are known as negative linear compressibility (NLC) and negative area compressibility (NAC), respectively. In recent years, there has been increasing interest in the negative compressibility behavior, mostly due to its many potential applications such as sensitive pressure sensors, pressure driven actuator and optical telecommunication cables. At the molecular or nanostructural level, a few materials displaying NLC have been found, e.g. Ag  $_{3}[Co(CN)_{6}]$ (Goodwin et al., 2008), methanol monohydrate (Fortes et al., 2011; Grima et al., 2011b), zinc dicyanoaurate (Li et al., 2012). At the micro and macro levels, Baughman et al. (1998) have proposed a wine-rack mechanism to explain the NLC effect. Weng et al. (2008) have presented a list of crystals that exhibited NLC. Barnes et al. (2012) have proposed tetragonal beam structures which display NLC effect. One mechanical property which may accompany NLC or NAC is the negative Poisson's ratio (i.e. auxetic). This has been investigated based on a model of 2D hexagonal honeycomb which is similar to certain types of open cell foams (Nkansah and Hutchinson, 1994) (Grima et al., 2011a). The 3D equivalence of the honeycomb is an elongated hexagonal dodecahedron system. Grima et al. (2012) have presented a detailed analysis of the system to assess the auxetic and NLC/NAC effect.

An immediate application of NLC/NAC materials is the optical component in interferometric pressure sensors due to the higher sensitivity achieved by a combination of large volume compressibility with negative linear compressibility (Cairns et al., 2013). With further understanding the mechanisms of negative compressibility, NLC/NAC materials also have potential to be used as efficient biological structures, nanofluidic actuators or as compensators for undesirable moisture-induced swelling of concrete/ clay-based engineering materials (Cairns et al., 2013).

It is noted that all the above known NLC and NAC materials have a pre-determined topology. If the topology of the material microstructure is allowed to be changed or "designed", it opens up many possibilities for finding new materials with NLC/NAC effect. There has been extensive work on material design using topology optimization, covering various properties such as stiffness,

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#### Nomenclature

Ε	the elastic matrix of the base material	$r_{\rm min}$	the minimum filter radius
$\mathbf{E}^{H}$	the homogenized (effective) elasticity matrix	$\alpha_e$	the sensitivity number of element <i>e</i>
	of cellular material	$\tilde{\alpha}_e$	the weighted average sensitivity number of element <i>e</i>
$E_{ii}^H$	the effective elastic constants	NR	the total numbers of elements removed
$\mathbf{C}^{H}$	the homogenized compliance matrix	NA NE -	the total numbers of elements added
~	(the inverse of E <sup>n</sup> )	AR	the maximum allowable number of elements added in
$C_{ij}$	the effective compliance constants	AMmax	one iteration
NE	the number of elements	R	the maximum allowable ratio of elements added or
$\mathbf{E}_{i}^{0}$	the <i>i</i> th unit strain field	max	removed in one iteration
Ye	the volume of the given domain	8	the induced strain field
$\boldsymbol{\varepsilon}_i$	the linear compressibility in axis $i(i = 1, 2, 2)$	f,	the Lagrangian function
PLi	the area compressibility in the <i>ii</i> plane	$\frac{1}{\Gamma^*}$	the compliance constraint
PAij B	the volume compressibility	f	the stiffness constraint
$p_v$	a stress factor or a penalty parameter	E <sub>i</sub>	Young's modulus in direction <i>i</i>
Р n <sup>upper</sup>	the upper bound of n	$v_{ii}$	Poisson's ratios in the <i>ij</i> plane
V	the prescribed total volume	σ	the stress vector
V.	the volume of element <i>e</i>	П	the general objective function
V <sup>k</sup>	the total volume at iterations $k$	$E_0$	Young's modulus of TangoPlus material
X <sub>a</sub>	the design variable, with $x_a = x_{min}$ for yoid	$\mu_0$	Poisson's ratio of TangoPlus material
•••	and $x_e = 1$ for solid	$E_m$	Young's modulus of the cover material used in triaxial
λ	Lagrangian multiplier		tests
$E_{b1}$	the Young's modulus of the base materials 1	$\mu_m$	Poisson's ratio of cover material used in triaxial tests
$E_{b2}$	the Young's modulus of the base materials 2		
q	a penalty factor, with typical values being equal to or		
-	greater than 3		
	-		

acoustics, conductivity and permeability (Bendsøe and Sigmund, 2003). A recent study has used topology optimization for designing electromagnetic materials with negative permeability (Zhou et al., 2011). However, to the authors' best knowledge, there has been no work reported on systematic design of NLC/NAC materials using topology optimization. In this study, we shall develop a general technique for designing materials with negative or zero compress-ibility using topology optimization.

The optimization method used here is based on the bi-directional evolutionary structural optimization (BESO). The basic idea of BESO is that by gradually removing inefficient material from a ground structure and redistributing the material to the most critical locations, the structure evolves towards an optimum. For a 3D continuum material the ground structure is a unit cubic cell and the material properties (e.g. elasticity matrix) is determined using the homogenization theory (Hassani and Hinton, 1998). The extensive work on BESO has been presented in various publications (Huang and Xie, 2010; Querin et al., 1998; Yang et al., 1999). BESO has also been successfully applied to a wide range of material design problems, e.g. maximum bulk or shear modulus (Huang et al., 2011), tailored stiffness orthotropy (Yang et al., 2013), functionally graded materials (Radman et al., 2013) and multi-scale design of composite materials and structures (Zuo et al., 2013). These materials can be constructed as arrays of microstructures and then fabricated using advanced manufacturing technologies such as additive manufacturing (Challis et al., 2010).

Here we apply the BESO method to the design of materials of four types, namely, NLC, NAC, zero linear compressibility (ZLC) and zero area compressibility (ZAC). The mathematical formulation, optimization procedure and various examples are presented. A physical prototype of one of designed materials is fabricated using a 3D printer and tested in the laboratory under both unidirectional loading and triaxial compression. The experimental results are compared with the numerical predictions.

## 2. Determining linear, area and volume compressibilities of a material by homogenization

A cellular material consisting of a base material and voids is often modeled as a microstructure of a periodic base cell (PBC) using finite element (FE) analysis. According to the homogenization theory (Hassani and Hinton, 1998), the effective elastic constants can be expressed as

$$E_{ij}^{H} = \sum_{e=1}^{NE} \left( \frac{1}{Y_e} \int_{Y_e} (\boldsymbol{\varepsilon}_i^{0^T} - \boldsymbol{\varepsilon}_i^T) \mathbf{E} (\boldsymbol{\varepsilon}_j^0 - \boldsymbol{\varepsilon}_j) dY_e \right) \quad (i, j = 1 \text{ to 6 for 3D})$$
(1)

where **E** is the elastic matrix of the base material, *NE* is the number of elements,  $\varepsilon_i^0$  is the *i*th unit strain field,  $Y_e$  is the volume of the given domain and  $\varepsilon_i$  is the corresponding induced strain field. The implementation of the homogenization has become a standard procedure, as detailed in many publications, e.g. Hassani and Hinton (1998). For 3D materials, it involves applying six cases of periodic boundary conditions and unit strain fields. Then the  $6 \times 6 E_{ij}^H$  make up the elasticity matrix  $\mathbf{E}^H$ . The homogenized compliance matrix  $\mathbf{C}^H$ is the inverse of  $\mathbf{E}^H$ , i.e.

$$\mathbf{C}^{H} = [C_{ij}] = \mathbf{E}^{H^{-1}} \tag{2}$$

As the materials studied here is orthotropic, there is no axial-shear coupling and thus the  $3 \times 3$  sub-matrix of the axial components can be extracted as below

$$\mathbf{C}_{A}^{H} = [C_{ij}^{A}] = \begin{bmatrix} C_{11} & C_{12} & C_{13} \\ C_{21} & C_{22} & C_{23} \\ C_{31} & C_{32} & C_{33} \end{bmatrix} = \mathbf{E}_{A}^{H^{-1}}$$
(3)

The linear compressibility in direction i is defined as the relative change of strain i with respect to the change of the hydrostatic pressure dp (Grima et al., 2012), that is,

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