



Brief Report

Cerebral oximetry with blood volume index and capnography in intubated and hyperventilated patients ☆☆☆★★★



Taylor A. Bagwell, MD ^{a,*}, Thomas J. Abramo, MD ^{a,1}, Gregory W. Albert, MD ^b, Jonathan W. Orsborn, MD ^a, Elizabeth A. Storm, MD ^a, Nicolas W. Hobart-Porter, DO ^a, Tonya M. Thompson, MD ^a, Eylem Ocal, MD ^b, Zhuopei Hu ^c, Todd Nick, PhD ^{c,†}

^a Department of Pediatrics, Division of Pediatric Emergency Medicine, University of Arkansas for Medical Sciences, Little Rock, AR

^b Department of Pediatrics, Division of Neurosurgery, University of Arkansas for Medical Sciences, Little Rock, AR

^c Department of Pediatrics, Biostatistics Section, University of Arkansas for Medical Sciences, Little Rock, AR

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ABSTRACT

Objective: Hyperventilation-induced hypocapnia leads to cerebral vasoconstriction and hypoperfusion. Intubated patients are often inadvertently hyperventilated during resuscitations, causing theoretical risk for ischemic brain injury. Current emergency department monitoring systems do not detect these changes. The purpose of this study was to determine if cerebral oximetry ($r_c\text{SO}_2$) with blood volume index (CBVI) would detect hypocapnia-induced cerebral tissue hypoxia and hypoperfusion.

Methods: Patients requiring mechanical ventilation underwent end-tidal CO_2 (ETCO_2), $r_c\text{SO}_2$, and CBVI monitoring. Baseline data was analyzed and then the effect of varying ETCO_2 on $r_c\text{SO}_2$ and CBVI readings was analyzed. Median $r_c\text{SO}_2$ and CBVI values were compared when above and below the ETCO_2 30 mmHg threshold. Subgroup analysis and descriptive statistics were also calculated.

Results: Thirty-two patients with neurologic emergencies and potential increased intracranial pressure were included. Age ranged from 6 days to 15 years (mean age, 3.1 years; SD, 3.9 years; median age, 1.5 years: 0.46–4.94 years). Diagnoses included bacterial meningitis, viral meningitis, and seizures. ETCO_2 crossed 30 mmHg 80 times. Median left and right CBVI when ETCO_2 was below 30 mmHg was -24.86 (-29.92 , -19.71) and -22.74 (-27.23 , -13.55) respectively. Median left and right CBVI when ETCO_2 was above 30 mmHg was 12.26 (0.97 , 20.16) and 8.11 (-0.2 , 21.09) respectively. Median duration ETCO_2 was below 30 mmHg was 17.9 minutes (11.4, 26.59). Each time ETCO_2 fell below the threshold, there was a significant decrease in $r_c\text{SO}_2$ and CBVI consistent with decreased cerebral blood flow. While left and right $r_c\text{SO}_2$ and CBVI decreased quickly once ETCO_2 was below 30 mmHg, increase once ETCO_2 was above 30 mmHg was much slower.

Conclusion: This preliminary study has demonstrated the ability of $r_c\text{SO}_2$ with CBVI to noninvasively detect the real-time effects of excessive hyperventilation producing $\text{ETCO}_2 < 30$ mmHg on cerebral physiology in an emergency department. We have demonstrated in patients with suspected increased intracranial pressure that $\text{ETCO}_2 < 30$ mmHg causes a significant decrease in cerebral blood flow and regional tissue oxygenation.

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★★ Author contributions: TAB and TJA conceived the study, designed the trial, ensured data collection, and drafted figures/tables and manuscript. TJA, GWA, JWO, EAS, NWH, TMT, and EO assisted with conduction of the study and data collection. ZH and TN provided statistical planning/design and data analysis. All authors contributed significantly to manuscript revision and editing.

* Corresponding author at: University of Arkansas for Medical Sciences, 1 Children's Way, Slot 512-16, Little Rock, AR 72202. Tel.: +1 501 364 1089.

E-mail address: tabagwell@uams.edu (T.A. Bagwell).

¹ Previously worked at: Department of Pediatrics, Division of Pediatric Emergency Medicine, Vanderbilt School of Medicine, Nashville TN.

[†] The author has passed away last year after his contribution to this work.

1. Introduction

There is ample evidence to support the physiologic premise that hyperventilation leads to decreased arterial blood carbon dioxide partial pressure (PaCO_2) with subsequent decreased cerebral blood flow [1–3]. Hypocapnia-induced vasoconstriction and associated decreased cerebral blood flow lead quickly to subtle clinical signs and symptoms of compromised cerebral perfusion. If severe or prolonged, this hypoperfusion may increase a patient's risk for ischemic brain injury [2–4]. Variations in PaCO_2 and corresponding capnography or end-tidal CO_2

(ETCO₂) are frequently encountered during trauma and medical resuscitations and postresuscitative care; the etiologies are numerous [2]. For example, intubated pediatric patients are often inadvertently hyperventilated while being manually ventilated or bagged during resuscitations [5]. In addition, therapeutic hyperventilation has been used in the acute management of known or suspected increased intracranial pressure (ICP) for more than 40 years [4]. Standard prehospital and emergency department (ED) monitoring systems include cardiac telemetry, pulse oximetry, and capnography. Capnography or ETCO₂ trends can be influenced by changes in ventilation, pulmonary and systemic perfusion, and/or pulmonary gas diffusion. Standard resuscitation goals aim to keep Paco₂ at normal values between 35 and 46 mm Hg or between 30 and 35 mm Hg if instituting therapeutic hyperventilation. Unfortunately, ETCO₂ does not always correlate with Paco₂, and without confirmation via blood gas, Paco₂ may not be well controlled during resuscitation. Current monitoring systems are unable to detect hypocapnia-induced changes in regional cerebral perfusion and/or physiology, and there is no validated noninvasive tool for monitoring changes in cerebral perfusion during resuscitation and postresuscitative care. However, the noninvasive use of cerebral oximetry or regional cerebral tissue oxygen saturation (r_cSO₂) with cerebral blood volume index (CBVI) is capable of detecting real-time physiologic changes and providing feedback to clinicians regarding local cerebral tissue perfusion, oxygenation, and metabolism/oxygen extraction [6–7,9–11]. Cerebral oximetry readings are obtained using near infrared spectroscopy probes placed over the forehead [7,8]. There are standardized normal values, and acquired readings/trends reflect dynamic changes in local tissue perfusion, oxygenation, and metabolism/oxygen extraction referred to as *regional cerebral tissue oxygen saturation* [6–7,9–11]. Values are expressed as a ratio of venous oxyhemoglobin to deoxyhemoglobin (r_cSO₂), and pediatric normal values range from 60% to 80% [6,9,10–11]. Abnormally low values (r_cSO₂ < 50%), abnormally high values (r_cSO₂ > 80%), and interhemispheric discordance (difference in left and right of >10%) reflect pathology [11]. Studies have shown that r_cSO₂ values less than 50% or a change (in either direction) of 20% or greater from a patient's baseline may be indicative of increased risk for hypoxic brain injury [11]. From these data, information on regional blood flow can also be extrapolated, and this is referred to as *cerebral blood volume index* with readings ranging from –50 to +50 [9]. Negative CBVI readings are interpreted as low-flow states, and readings are not pulse dependent, which is especially useful in resuscitations [9].

We present information regarding a convenience sampling of 32 intubated and manually ventilated patients with viral meningitis, bacterial meningitis, and/or seizures at risk for increased ICP. Data will be presented detailing ETCO₂, r_cSO₂, and CBVI during resuscitation including rapid sequence intubation (RSI) and postresuscitative care. All patients experienced nontherapeutic hyperventilation at some point during their care. Data analysis will be reviewed detailing a distinct correlation between falling ETCO₂ values and falling r_cSO₂ and CBVI values. These findings illustrate the role cerebral oximetry can play during resuscitation as a noninvasive and real-time cerebral physiology assessment tool. Findings also suggest that the utilization of this additional information may allow clinicians to more accurately tailor neurocardiovascular resuscitative and postresuscitative efforts in the pediatric ED (PED).

2. Methods

We present an observational retrospective case series detailing the r_cSO₂, CBVI, and ETCO₂ readings of 32 intubated and manually ventilated patients during PED resuscitation and stay. Patients were selected and data was collected at 2 level 1 trauma centers (pediatric EDs) at pediatric tertiary care facilities from 2011 to 2015. Data was pulled from both facilities' PED RSI continuous quality improvement programs database, PED electronic medical record (EMR) and vital signs, and PED cerebral oximetry database files. At both facilities, cerebral oximetry is a standard monitoring tool in pediatric patients with neurologic emergencies

at risk for increased ICP, unstable multisystem trauma, respiratory failure, or cardiac arrest [6–10]. When in use, patients have left and right cerebral oximetry probes (INVOS 5100C Somanetics) placed on the forehead, and data (left and right r_cSO₂ and left and right CBVI) are sampled and recorded at 5-second intervals [6–10]. Intubated PED patients also have inline capnography with ETCO₂ recordings every 5 seconds.

PED patients with neurologic emergencies—viral meningitis, bacterial meningitis with positive cerebrospinal fluid (CSF) cultures, and/or seizures requiring active resuscitation and intubation with periods of captured unintentional hyperventilation (ETCO₂ < 30 mmHg)—were initially selected from the pediatric ED EMR, PED intubation, and cerebral oximetry REDCap (Research Electronic Capture Database) databases. Patients were selected by one of the primary investigators (after questioning the patient's respiratory therapist [RT] or trainee). Episodes of hypocapnia (ETCO₂ < 30 mmHg greater than 2 minutes) were selected as inclusion criteria because our review was designed to detect the effects of deviation from the standard clinical practice for increased ICP management targeting ETCO₂ 30–35 mmHg. At both PEDs, there is a dedicated PED RT who supervised accredited RT trainees. Upon patient resuscitation with intubation, the PED RT performs continuous bagging until the patient is transferred to the pediatric intensive care unit. The PED ETCO₂ protocol was a target range between 35 and 45 mmHg unless the PED attending or fellow suspects increased ICP; then the ETCO₂ target range is 30–35 mmHg. Only patients with inclusion and exclusion criteria and PED left and right r_cSO₂, CBVI, and ETCO₂ monitoring with no gaps in data recording were selected by the primary investigator for analysis. Table 1 gives a stepwise process for patient selection and exclusion. Per PED cerebral near-infrared spectroscopy standard protocol, left and right probes were placed, and ETCO₂ monitoring was used during resuscitation, RSI, intubation, ventilation, and cerebral oximetry and ETCO₂ data were internally recorded every 5 seconds. The left and right r_cSO₂, CBVI sequence, date, and times were matched to the corresponding ETCO₂. Correlating continuous bagging ventilation rate by the PED RT (or trainee or non-PED RT) to the ETCO₂ recording was not possible. Monitoring devices remained in place for the duration of ED care. Health Insurance Portability and Accountability Act compliance for all aspects of patient data was maintained. The institutional review board at each facility granted approval including a waiver of informed consent.

Table 1
Data collection and patient inclusion and exclusion methods

Study inclusion criteria (in stepwise selection process):	Study exclusion criteria:
1. PED patients with meningitis: viral or bacterial with positive CSF cultures, with or without seizures.	1. Episodes of bradycardia after intubation
2. Medical (nontrauma history) resuscitation with RSI intubation	2. Episodes of hypotension by BP measurements for age during PED stay
3. Potential for medically induced increased ICP (nontrauma) as indicated by PED records or by CT scan report indicating possible increased ICP	3. Requiring pressor support during PED stay especially 10 min before and 20 min after low ETCO ₂
4. Bag ventilation by RT or trainee (never placed on ventilator) with simultaneous ETCO ₂ monitoring with simultaneous cerebral oximetry	4. Trauma history
5. Unintentional ETCO ₂ < 30-mm Hg periods (>2 min) due to excessive bagging upon questioning the patient's RT or RT student and not PED attending directed	5. CT scan indicative of physical abuse pattern (old or new)
6. Had continuous (5-s interval) left and right r _c SO ₂ with left and right CBVI correlating with ETCO ₂ monitoring with no missing data	6. Cerebrovascular accidents
	7. Hydrocephalus with cerebral spinal fluid shunts
	8. Brain tumors new or previously diagnosed
	9. Prior neurosurgical interventions
	10. Known cerebrovascular anomalies
	11. Lapse or lack of corresponding left and right r _c SO ₂ , left and right CBVI with ETCO ₂

CT, computed tomography; BP, blood pressure.

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