Contents lists available at ScienceDirect

Discrete Applied Mathematics

journal homepage: www.elsevier.com/locate/dam

(Circular) backbone colouring: Forest backbones in planar graphs

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ARTICLE INFO

Article history: Received 30 November 2012 Received in revised form 10 January 2014 Accepted 14 January 2014 Available online 31 January 2014

Keywords: Backbone colouring Planar graph Tree backbone NP-complete

1. Introduction

All the graphs considered in this paper are simple. Let G = (V, E) be a graph, and let H = (V, E(H)) be a spanning subgraph of G, called the *backbone*. A *k*-colouring of G is a mapping $f : V \rightarrow \{1, 2, ..., k\}$. Let f be a *k*-colouring of G. It is a *proper colouring* if $|f(u) - f(v)| \ge 1$ for all edges $uv \in E(G)$. It is a *q*-backbone colouring for (G, H) if f is a proper colouring of G and $|f(u) - f(v)| \ge q$ for all edges $uv \in E(H)$. The chromatic number $\chi(G)$ is the smallest integer k for which there exists a proper *k*-colouring of G. The *q*-backbone chromatic number BBC_q(G, H) is the smallest integer k for which there exists a *q*-backbone *k*-colouring of (G, H).

If *f* is a proper *k*-colouring of *G*, then *g* defined by $g(v) = q \cdot f(v) - q + 1$ is a *q*-backbone colouring of (*G*, *H*) for any spanning subgraph *H* of *G*. Moreover it is well-known that if G = H, this *q*-backbone colouring of (*G*, *H*) is optimal. Therefore, since $BBC_q(H, H) \leq BBC_q(G, H) \leq BBC_q(G, G)$, we have

$$q \cdot \chi(H) - q + 1 \le \mathsf{BBC}_q(G, H) \le q \cdot \chi(G) - q + 1. \tag{1}$$

If *H* is empty (i.e. $E(H) = \emptyset$), then $BBC_q(G, H) = \chi(G)$. Hence for any $k \ge 3$, deciding if $BBC_q(G, H) \le k$ is NP-complete because deciding if a graph is *k*-colourable is NP-complete (See [7]). However, when we impose *G* or *H* to belong to certain graph classes, the problem sometimes become polynomial-time solvable. A trivial example is when we consider *H* with chromatic number at least r > (k + q - 1)/q. Then $BBC_q(G, H) \ge rq - q + 1$, and so deciding if $BBC_q(G, H) \le k$ can be done instantly by always returning 'no'. A less trivial example is when we impose *H* to have minimum degree 1. For such an *H*, deciding if $BBC_q(G, H) \le q + 1$ is also polynomial-time solvable, because $BBC_q(G, H) = q + 1$ if and only if *G* is bipartite.

ABSTRACT

Consider an undirected graph *G* and a subgraph *H* of *G*, on the same vertex set. The *q*-backbone chromatic number $BBC_q(G, H)$ is the minimum *k* such that *G* can be properly coloured with colours from $\{1, \ldots, k\}$, and moreover for each edge of *H*, the colours of its ends differ by at least *q*. In this paper we focus on the case when *G* is planar and *H* is a forest. We give a series of NP-hardness results as well as upper bounds for $BBC_q(G, H)$, depending on the type of the forest (matching, galaxy, spanning tree). Eventually, we discuss a circular version of the problem.

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⁰¹⁶⁶⁻²¹⁸X/\$ - see front matter © 2014 Elsevier B.V. All rights reserved. http://dx.doi.org/10.1016/j.dam.2014.01.011

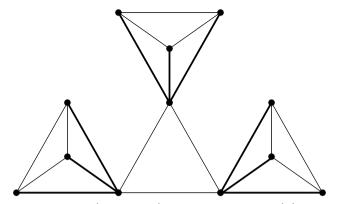


Fig. 1. A planar graph \hat{G} with a forest \hat{F} (bold edges) such that $BBC_q(\hat{G}, \hat{F}) = q + 4$.

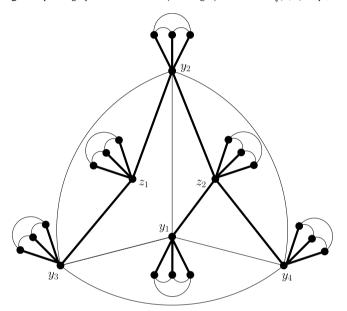


Fig. 2. A planar graph G^* and a tree T^* (bold edges) such that $BBC_q(G^*, T^*) = q + 6$ for $q \ge 4$.

This simple observation was already made by Broersma et al. [5] when *H* is a 1-*factor* (a spanning subgraph in which every vertex has degree exactly 1). Furthermore, if we also impose *H* to be connected, we show in Theorem 17 that deciding if $BBC_q(G, H) \le q + 2$ can be done in polynomial time. In contrast, if the condition of *H* being connected is removed, then it is NP-complete (Theorem 18).

In this paper, we will focus on the particular case when *G* is a planar graph and *H* is a forest (i.e. an acyclic graph). Inequality (1) and the Four-Colour Theorem imply that for any planar graph *G* and spanning subgraph *H*, $BBC_q(G, H) \le 3q + 1$. However, for q = 2, Broersma et al. [4] conjectured that this is not best possible if the backbone is a forest.

Conjecture 1. If G is a planar graph and F a forest in G, then $BBC_2(G, F) \le 6$.

If true, Conjecture 1 would be best possible. Broersma et al. [4] gave an example of a graph \hat{G} with a forest \hat{F} such that $BBC_2(\hat{G}, \hat{F}) = 6$. See Fig. 1. It is then natural to ask how large $BBC_q(G, F)$ could be when G is planar and F is a forest for larger values of q. We prove the following.

Theorem 2. If G is a planar graph and F a forest in G, then $BBC_q(G, F) \le q + 6$.

In fact, we prove a more general result in Proposition 13 : for any pair (G, H) with H a subgraph of G,

 $BBC_q(G, H) \le (\chi(G) + q - 2)\chi(H) - q + 2.$

For $q \ge 4$, Theorem 2 is best possible. Indeed, we show a planar graph G^* together with a spanning tree T^* such that $BBC_q(G^*, T^*) = q + 6$ for all $q \ge 4$. See Fig. 2 and Proposition 15.

Furthermore, we show in Theorem 31, that for any fixed $q \ge 4$, given a planar graph *G* and a spanning tree *T* of *G*, it is NP-complete to decide if $BBC_q(G, T) \le q + 5$.

On the other hand, we believe that if q = 3, Theorem 2 is not best possible.

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