



## Note

## Facet-inducing web and antiweb inequalities for the graph coloring polytope

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## ABSTRACT

For a graph  $G$  and its complement  $\bar{G}$ , we define the graph coloring polytope  $P(G)$  to be the convex hull of the incidence vectors of star partitions of  $\bar{G}$ . We examine inequalities whose support graphs are webs and antiwebs appearing as induced subgraphs in  $G$ . We show that for an antiweb  $\bar{W}$  in  $G$  the corresponding inequality is facet-inducing for  $P(G)$  if and only if  $\bar{W}$  is critical with respect to vertex colorings. An analogous result is also proved for the web inequalities.

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## 1. Introduction

The *chromatic number*  $\chi(G)$  of a graph  $G$  is the minimum number of colors that can be assigned to the vertices of  $G$  in such a way that no two adjacent vertices share the same color. Let  $\bar{G} = (V, \bar{E})$  denote the complement of the graph  $G = (V, E)$ . We relate to cliques in  $\bar{G}$  the graphs called stars. A *star* is a tree  $S$  with vertex set  $\{v_1, \dots, v_t\}$ ,  $t \geq 1$ , and, provided  $t > 1$ , with the nonempty edge set  $\{(v_1, v_i) \mid i = 2, \dots, t\}$ . We denote by  $c(S) = v_1$  the *center vertex* of the star  $S$ . By a *star partition* of  $\bar{G}$  we will understand a collection  $\Pi$  of stars  $S_i = (V_i, E_i)$ ,  $i = 1, \dots, l$ , such that  $V_i \cap V_j = \emptyset$  for each pair  $i, j$ ,  $i \neq j$ ,  $\bigcup_{i=1}^l V_i = V$ , and  $V_i$  for each  $i \in \{1, \dots, l\}$  is a clique in  $\bar{G}$ . To a coloring of  $G$ , we can associate a star partition of  $\bar{G}$ . In this paper, we will assume that  $V$  is a set of integers treated as unique identifiers assigned to the vertices of  $G$ . We say that a star partition  $\Pi$  is *admissible* if, for each star  $S_i = (V_i, E_i)$  in  $\Pi$ ,  $c(S_i) = \min_{j \in V_i} j$ . There is a one-to-one correspondence between colorings of  $G$  and admissible star partitions of  $\bar{G}$ . In [16], we used this relation to define the graph coloring polytope  $P(G) = \text{conv}\{x(\Pi) \mid \Pi \in \Psi(\bar{G})\}$ , where  $\Psi(\bar{G})$  is the set of all admissible star partitions of  $\bar{G}$  and  $x(\Pi) = (x_{ij}(\Pi) \mid (i, j) \in \bar{E})$  is the incidence vector of  $\Pi$ , i.e., 0–1 vector with  $x_{ij}(\Pi) = 1$  if and only if  $(i, j) \in E_k$  for some star  $S_k$  in  $\Pi$ .

Several different graph coloring polytopes have been studied by other authors. Coll et al. [5] and Méndez Díaz and Zabala [13] considered polytopes in the space of  $n^2$  binary variables representing all combinations of vertices of an  $n$ -vertex graph and  $n$  possible colors to color them as well as  $n$  extra variables needed to represent colors. Figueiredo et al. [10] introduced the graph coloring polytope associated with a model relating acyclic orientations of a graph to its chromatic number. Campêlo et al. [3] studied the polytope arising from the asymmetric representatives formulation of the graph coloring problem. Cornaz [6,7] investigated the polytope defined as the convex hull of the incidence vectors of the clique-connecting forests of  $G$ . Optimizing a certain linear function over the clique-connecting forest polytope is equivalent to determining the chromatic number of the graph. In [7], Cornaz derived a class of nontrivial facet-inducing inequalities for this polytope. Recently, Hansen et al. [12] presented polyhedral results for the graph coloring problem, which were obtained using general set packing and set covering polytopes.

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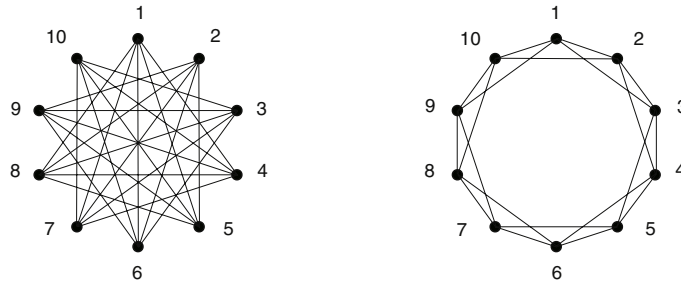


Fig. 1. Left: web  $W_{10}^3$ ; right: antiweb  $\bar{W}_{10}^3$ .

As is already known [16], our definition of a graph coloring polytope allows us to easily uncover the inherent relationship between graph coloring and finding a maximum independent (stable) set in a graph. To see this, let  $T = \{(i, j, k) \mid i < \min\{j, k\} \text{ and } \{i, j, k\} \text{ forms a triangle in } \bar{G}\}$ ,  $Z = \{(i, j, k) \mid (i, j), (j, k) \in \bar{E}, (i, k) \notin \bar{E}\}$ . For a star partition  $\Pi \in \Psi(G)$ , its incidence vector  $x = x(\Pi)$  satisfies the following inequalities

$$x_{ij} + x_{jk} \leq 1 \quad \text{for all } (i, j, k) \in T \cup Z. \quad (1)$$

Each binary vector satisfying (1) defines an independent set in the graph  $H_G = (V(H), E(H))$  with vertices  $v_{ij} \in V(H)$  corresponding to  $(i, j) \in \bar{E}$  and edges  $(v_{ij}, v_{jk}) \in E(H)$  corresponding to  $(i, j), (j, k) \in \bar{E}$  such that  $(i, j, k) \in T \cup Z$ . Essentially the same reduction from graph coloring to the maximum stable set problem was independently discovered by Cornaz and Jost [6,8]. They consider the relationship between colorings of  $G$  and stellar forests of  $\bar{G}$  and construct a graph that coincides with the graph  $H_G$  defined above. Let  $\alpha(G)$  denote the stability number of a graph  $G$ . In [16] and independently in [6,8], the following result is shown.

**Theorem 1.** For a simple  $n$ -vertex graph  $G$ ,  $\chi(G) + \alpha(H_G) = n$ .

Thus the graph  $G$  can be optimally colored by way of finding a maximum independent set in the graph  $H_G$ . The latter problem belongs to the famous class of quadratic pseudo-Boolean optimization problems [2].

Using (1), the polytope  $P(G)$  can be written as  $P(G) = \text{conv}\{x = (x_{ij}), (i, j) \in \bar{E} \mid x \text{ is binary and satisfies (1)}\}$ . Let  $P_{\text{stable}}(G)$  denote the *stable set polytope* of a graph  $G$ , that is, the convex hull of the incidence vectors of the independent (stable) sets of  $G$ . From the above reformulation of  $P(G)$ , it follows that  $P(G) = P_{\text{stable}}(H_G)$ . Thus, a set of valid and facet-inducing inequalities for  $P_{\text{stable}}(H_G)$  provides a (partial) linear description of the polytope  $P(G)$ . In the literature, such inequalities for  $P_{\text{stable}}(G)$  typically are defined in terms of subgraphs of  $G$  that are isomorphic to quite simple well-structured graphs like complete graphs, odd holes, odd antihole, wheels, webs and antiwebs. In the case of  $H_G$ , the vertices of such subgraphs correspond to edges of  $\bar{G}$ . However, of far greater interest is that the subgraphs producing valid inequalities for  $P(G)$  are to be taken from  $G$  (or  $\bar{G}$ ), and not from  $H_G$ . Several classes of facet-inducing inequalities for  $P(G)$  were presented in [17]. These inequalities are derived from independent sets, odd holes, odd wheels, and odd antihole in  $\bar{G}$ . The relation between graph coloring and stable set polytopes was also investigated in [6,7]. In particular, in [7] it is shown that the nontrivial and nondegenerate facets of the stable set polytope are facets of the clique-connecting forest polytope.

In this note, the focus is on webs and antiwebs considered as subgraphs of  $G$ . Both webs and antiwebs received a considerable amount of attention in the literature dealing with the stable set polytopes. Some recent papers on this topic include [1,4,9,11,14,15,18–20]. In the present work, we show that, under certain conditions, antiwebs (Section 2) and webs (Section 3) in  $G$  give rise to facets of the graph coloring polytope  $P(G)$ .

We conclude the introduction with a few notations. For a graph  $G$  and its vertex  $u$ , we denote by  $N_u(G)$  the neighborhood of  $u$ . We let  $S(U)$  stand for the star corresponding to the clique  $U \subset V$ . The center vertex of  $S(U)$  is uniquely determined: it is the vertex  $u \in U$  such that  $u < v$  for each  $v \in U \setminus \{u\}$ . Given a star partition  $\Pi$ , we will write  $E(\Pi)$  for the union of the edge sets of all stars in  $\Pi$ . For an inequality  $\sum_{(i,j) \in \bar{E}} b_{ij} x_{ij} \leq b_0$ , we will assume that the order of the subscripts of  $b$  does not matter, i.e.,  $b_{ij}$  and  $b_{ji}$  refer to the same coefficient.

## 2. Antiweb inequalities

Let  $m$  and  $p$  be integers satisfying  $p \geq 2$  and  $m \geq 2p + 1$ . As defined by Trotter [21], the web  $W_m^p = (V(W), E(W))$  is a graph with the vertex set  $V(W) = \{v_1, \dots, v_m\}$  and the edge set  $E(W) = \{(v_i, v_j) \mid v_i, v_j \in V(W) \text{ and } p \leq |i - j| \leq m - p\}$ . An example of the web is shown in Fig. 1, where  $v_i = i, i = 1, \dots, m$ . The antiweb  $\bar{W}_m^p = (V(W), E(\bar{W}))$  is the complement of the web  $W_m^p$  (see Fig. 1).

Before addressing antiweb-based facets of  $P(G)$ , we state the following simple fact.

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