



# Domination versus disjunctive domination in trees



Michael A. Henning, Sinclair A. Marcon\*

Department of Mathematics, University of Johannesburg, Auckland Park, 2006, South Africa

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## ABSTRACT

A dominating set in a graph  $G$  is a set  $S$  of vertices of  $G$  such that every vertex not in  $S$  is adjacent to a vertex of  $S$ . The domination number,  $\gamma(G)$ , of  $G$  is the minimum cardinality of a dominating set of  $G$ . A set  $S$  of vertices in  $G$  is a disjunctive dominating set in  $G$  if every vertex not in  $S$  is adjacent to a vertex of  $S$  or has at least two vertices in  $S$  at distance 2 from it in  $G$ . The disjunctive domination number,  $\gamma_2^d(G)$ , of  $G$  is the minimum cardinality of a disjunctive dominating set in  $G$ . It is known that there exist graphs  $G$  that belong to the class of bipartite graphs or claw-free graphs or chordal graphs such that  $\gamma(G) > C\gamma_2^d(G)$  for any given constant  $C$ . In this paper, we show that if  $T$  is a tree, then  $\gamma(T) \leq 2\gamma_2^d(T) - 1$ , and we provide a constructive characterization of the trees achieving equality in this bound.

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## 1. Introduction

A *dominating set* in a graph  $G$  is a set  $S$  of vertices of  $G$  such that every vertex not in  $S$  is adjacent to at least one vertex in  $S$ . The *domination number* of  $G$ , denoted by  $\gamma(G)$ , is the minimum cardinality of a dominating set of  $G$ . The literature on the subject of domination parameters in graphs up to the year 1997 has been surveyed and detailed in the two books [5,6].

Motivated by the concepts of distance domination and exponential domination (see, for example, [1,2,7]), Goddard, Henning and McPillan [4] introduced and studied the concept of disjunctive domination in a graph. A set  $S$  of vertices in a graph  $G$  is a *disjunctive dominating set*, abbreviated 2DD-set, in  $G$  if every vertex not in  $S$  is adjacent to a vertex of  $S$  or has at least two vertices in  $S$  at distance 2 from it in  $G$ . We say a vertex  $v$  in  $G$  is *disjunctively dominated*, abbreviated 2D-dominated, by the set  $S$ , if  $v \in S$  or if  $v$  has a neighbor in  $S$  or there exist at least two vertices in  $S$  at distance 2 from  $v$  in  $G$ . The *disjunctive domination number* of  $G$ , denoted by  $\gamma_2^d(G)$ , is the minimum cardinality of a 2DD-set in  $G$ . Every dominating set is a 2DD-set, implying the following observation.

**Observation 1** ([4]). *For every graph  $G$ , we have  $\gamma_2^d(G) \leq \gamma(G)$ .*

As observed in [8], the ratio  $\gamma(G)/\gamma_2^d(G)$  can be made arbitrarily large, even when restricted to the class of bipartite graphs or claw-free graphs or chordal graphs.

**Observation 2** ([8]). *There exist graphs  $G$  that belong to the class of bipartite graphs or claw-free graphs or chordal graphs such that  $\gamma(G) > C\gamma_2^d(G)$  for any given constant  $C$ .*

Unlike the somewhat negative result of Observation 2, we show that if  $G$  belongs to the class of trees, then the ratio  $\gamma(G)/\gamma_2^d(G)$  is bounded. More precisely, we prove the following result.

**Theorem 3.** *For every tree  $T$ , we have  $\gamma(T)/\gamma_2^d(T) < 2$  and this bound is asymptotically tight.*

\* Corresponding author.

E-mail addresses: [mahenning@uj.ac.za](mailto:mahenning@uj.ac.za) (M.A. Henning), [sinclair.marcon@gmail.com](mailto:sinclair.marcon@gmail.com) (S.A. Marcon).

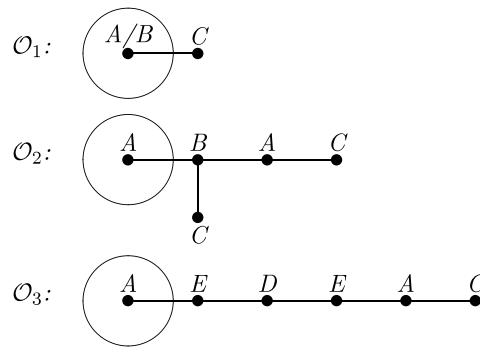


Fig. 1. The three operations  $\theta_1$ ,  $\theta_2$  and  $\theta_3$ .

1.1. Terminology and notation

For notation and graph theory terminology not defined herein, we refer the reader to [9]. Let  $G = (V, E)$  be a graph with vertex set  $V = V(G)$  of order  $n(G) = |V|$  and edge set  $E = E(G)$  of size  $m(G) = |E|$ , and let  $v$  be a vertex in  $V$ . We denote the degree of  $v$  in  $G$  by  $d_G(v)$ . A path on  $n$  vertices is denoted by  $P_n$ . For two vertices  $u$  and  $v$  in a connected graph  $G$ , the distance  $d_G(u, v)$  between  $u$  and  $v$  is the length of a shortest  $(u, v)$ -path in  $G$ . The maximum distance among all pairs of vertices of  $G$  is the diameter of  $G$ , which is denoted by  $\text{diam}(G)$ . A graph is nontrivial if  $n(G) \geq 2$ .

A rooted tree  $T$  distinguishes one vertex  $r$  called the root. For each vertex  $v \neq r$  of  $T$ , the parent of  $v$  is the neighbor of  $v$  on the unique  $(r, v)$ -path, while a child of  $v$  is any other neighbor of  $v$ . A descendant of  $v$  is a vertex  $u \neq v$  such that the unique  $(r, u)$ -path contains  $v$ . Thus, every child of  $v$  is a descendant of  $v$ . We let  $D(v)$  denote the set of descendants of  $v$ , and we define  $D[v] = D(v) \cup \{v\}$ . The maximal subtree at  $v$  is the subtree of  $T$  induced by  $D[v]$ , and is denoted by  $T_v$ . A leaf of  $T$  is a vertex of degree 1, while a support vertex of  $T$  is a vertex adjacent to a leaf. A double star is a tree with exactly two vertices that are not leaves.

The open neighborhood of a vertex  $v$  is the set  $N_G(v) = \{u \in V \mid uv \in E\}$  and the closed neighborhood of  $v$  is  $N_G[v] = \{v\} \cup N_G(v)$ . If the graph  $G$  is clear from the context, we simply write  $n, m, d(v), d(u, v), N(v)$ , and  $N[v]$  rather than  $n(G), m(G), d_G(v), d_G(u, v), N_G(v)$ , and  $N_G[v]$ , respectively.

By a weak partition of a set we mean a partition of the set in which some of the subsets may be empty. For our purposes we define a labeling of a tree  $T$  as a weak partition  $S = (S_A, S_B, S_C, S_D, S_E)$  of  $V(T)$ . We will refer to the pair  $(T, S)$  as a labeled tree. The label or status of a vertex  $v$ , denoted  $\text{sta}(v)$ , is the letter  $x \in \{A, B, C, D, E\}$  such that  $v \in S_x$ .

1.2. The family  $\mathcal{T}$

Let  $\mathcal{T}$  be the minimum family of labeled trees that: (i) contains  $(K_2, S_0^*)$  where  $S_0^*$  is the labeling that assigns to one vertex status  $A$  and to the other vertex status  $C$ ; and (ii) is closed under the three operations  $\theta_1, \theta_2$  and  $\theta_3$  that are listed below, which extend the tree  $T'$  to a tree  $T$  by attaching a tree to the vertex  $v \in V(T')$ , called the attacher of  $T'$ . We call the edge that joins  $v$  to the vertex of the attached tree, the attached edge.

- **Operation  $\theta_1$ .** Let  $v$  be a vertex with  $\text{sta}(v) \in \{A, B\}$ . Add a vertex  $u_1$  and the edge  $vu_1$ . Let  $\text{sta}(u_1) = C$ .
- **Operation  $\theta_2$ .** Let  $v$  be a vertex with  $\text{sta}(v) = A$ . Add a path  $u_1u_2u_3u_4$  and the edge  $vu_2$ . Let  $\text{sta}(u_1) = \text{sta}(u_4) = C$  and  $\text{sta}(u_2) = B, \text{sta}(u_3) = A$ .
- **Operation  $\theta_3$ .** Let  $v$  be a vertex with  $\text{sta}(v) = A$ . Add a path  $u_1u_2u_3u_4u_5$  and the edge  $vu_1$ . Let  $\text{sta}(u_1) = \text{sta}(u_3) = E, \text{sta}(u_2) = D, \text{sta}(u_4) = A$ , and  $\text{sta}(u_5) = C$ .

The three operations  $\theta_1, \theta_2$  and  $\theta_3$  are illustrated in Fig. 1.

2. Main result

Our aim in this paper is twofold: Firstly to present a tight upper bound for the domination number of a tree in terms of its disjunctive domination number, and secondly to provide a constructive characterization of the trees achieving equality in this bound.

The key to our constructive characterization is to find a labeling of the vertices that indicates the role each vertex plays in the sets associated with both parameters. This idea of labeling the vertices is introduced in [3], where trees with equal domination and independent domination numbers as well as trees with equal domination and total domination numbers are characterized.

We shall prove the following result that establishes a tight upper bound for the domination number of a tree in terms of its disjunctive domination number, and provides a constructive characterization of the trees achieving equality in this upper bound. We remark that Theorem 3 is an immediate consequence of Theorem 4.

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