



Searching for Zimin patterns



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ABSTRACT

In the area of pattern avoidability the central role is played by special words called Zimin patterns. The symbols of these patterns are treated as variables and the rank of the pattern is its number of variables. Zimin type of a word x is introduced here as the maximum rank of a Zimin pattern matching x . We show how to compute Zimin type of a word on-line in linear time. Consequently we get a quadratic time, linear-space algorithm for searching Zimin patterns in words. Then we demonstrate how the Zimin type of the length n prefix of the infinite Fibonacci word is related to the representation of n in the Fibonacci numeration system. Using this relation, we prove that Zimin types of such prefixes and Zimin patterns inside them can be found in logarithmic time. Finally, we give some upper bounds on the function $f(n, k)$ such that every k -ary word of length at least $f(n, k)$ has a factor that matches the rank n Zimin pattern.

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1. Introduction

Pattern avoidability is a well-established area studying the problems involving words of two kinds: “usual” words over the alphabet of constants and *patterns* over the alphabet of variables.³ A pattern X *embeds* in a word w if w has a factor of the form $h(X)$ where h is a non-erasing morphism. An *unavoidable* pattern is a pattern that embeds in any long enough word over any finite alphabet. In the problem of pattern (un)avoidability the crucial role is played by Zimin words [10]. The Zimin word (or *Zimin pattern*) of rank k is defined as follows:

$$\forall k > 1 \quad Z_k = Z_{k-1} \cdot x_k \cdot Z_{k-1}, \quad \text{and} \quad Z_1 = x_1.$$

Hence

$$Z_2 = x_1 x_2 x_1, \quad Z_3 = x_1 x_2 x_1 x_3 x_1 x_2 x_1, \quad Z_4 = x_1 x_2 x_1 x_3 x_1 x_2 x_1 x_4 x_1 x_2 x_1 x_3 x_1 x_2 x_1.$$

The seminal result in the area is the unavoidability theorem by Bean, Ehrenfeucht, McNulty, and Zimin ([2,10]; see [9] for an optimized proof). The theorem contains two conditions equivalent to unavoidability of a pattern X with k variables. The first condition is the existence of a successful computation in some nondeterministic reduction procedure on X , and the second, more elegant, condition says that X embeds in the word Z_k . On the other hand, it is still a big open problem whether

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³ In a more general setting, which is not discussed in this paper, patterns may contain constants along with variables.

unavoidability of a pattern can be checked in the time polynomial in its length [4, Problem 17]. This problem belongs to NP and is tractable for a fixed k . The general case is strongly suspected to be NP-complete, though no proof has been given.

Another natural computationally hard problem concerning avoidability is the embedding problem: given a word and a pattern, decide whether the pattern embeds in the word. This problem is NP-complete; Angluin [1] proved this fact for patterns with constants, but his proof can be adjusted for the patterns without constants as well. Note that the unavoidability problem does not refer to a (potentially long) Zimin word as a part of the input, and hence is not a particular case of the embedding problem. On the other hand, the inverse problem of embedding a Zimin pattern in a given word is a particular case of the embedding problem. Here we show that this particular case is quite simple.

In the first part of the paper (Section 2) we address the following decision problem:

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Input: a word w and an integer k ;

Output: yes if Z_k embeds in w .

We give an algorithm solving this problem in quadratic time and linear space. The main step of the algorithm is an online linear-time computation of the characteristic we call *Zimin type* of a word. Zimin type of a finite word w is the maximum number k such that w is an image of the Zimin word Z_k under a non-erasing morphism. By definition, the empty word has Zimin type 0. In Lothaire's book, Zimin types of words are referred to as the orders of sesquipowers [6, Ch. 4].

Example 1.1. Zimin type of $u = \text{adbaccccadbad}$ is 3, because u is the image of Z_3 under the morphism

$$x_1 \rightarrow \text{ad}, \quad x_2 \rightarrow b, \quad x_3 \rightarrow \text{cccc}.$$

The Zimin decomposition of u is: $u = \text{ad } b \text{ ad } \text{cccc} \text{ ad } b \text{ ad}$.

The answer of **Searching Zimin patterns** for $k = 3$ and the word $w = \text{ccccadbaccccadbadcccc}$ is *yes* (w has the word u of Zimin type 3 as a factor), but for $k = 3$ and $w = \text{aabbbaabbaa}$ the answer is *no*.

In the second part of the paper (Section 3) we study Zimin types and the embeddings of Zimin patterns for Fibonacci words. First we relate the type of the length n prefix of the infinite Fibonacci word to the representation of n in the Fibonacci numeration system (Theorem 3.2). This result and the fact that for Fibonacci words Zimin types of prefixes dominate Zimin types of other factors (Theorem 3.5) allow us to solve **Searching Zimin patterns** for this particular case in logarithmic time (Theorem 3.8).

In the last part of the paper (Section 4) we consider a couple of combinatorial problems. In Section 4.1 we analyze the fastest possible growth of the sequence of Zimin types for the prefixes of an infinite word. Finally, in Section 4.2 we give some results on the length such that the given Zimin pattern embeds in any word of this length over a given alphabet.

2. Algorithmic problems

2.1. Recurrence for Zimin types of prefixes of a word

Recall that a factor (prefix, suffix) of a word is called *proper* if it does not coincide with the word itself. A *border* of a word w is any word that is both a proper prefix and a proper suffix of w . Thus, any border of a border of w is a border of w as well. We call a border *short* if its length is $< \frac{|w|}{2}$. The notation $\text{Bord}(w)$ and $\text{ShortBord}(w)$ stand for the longest border of w and the longest short border of w , respectively. Clearly, any of these borders can coincide with the empty word.

Example 2.1. For $w = \text{aabaabcaabaabaabcaabaab}$ we have:

$$\text{Bord}(w) = \text{aabaabcaabaab}, \quad \text{ShortBord}(w) = \text{aabaab}.$$

Observe that in this particular example $\text{ShortBord}(w)$ is the second longest border of w , but for any $k \geq 1$ there are examples where $\text{ShortBord}(w)$ is the k th longest border of w (the word a^{2^k-1} is such an example).

For a given word x denote by $\text{Ztype}[i]$ the Zimin type of $x[1..i]$.

Lemma 2.2. Zimin type of a non-empty word can be computed iteratively through the equation

$$\text{Ztype}[i] = 1 + \text{Ztype}[j], \quad \text{where } j = |\text{ShortBord}(x[1..i])| \quad (2.1)$$

Proof. Since $u = \text{ShortBord}(w)$ implies $w = uvu$ for some non-empty word v , the left-hand part of (2.1) majorizes the right-hand part. At the same time, $\text{Ztype}[i] = \text{Ztype}[j] + 1$, where j is the length of *some* short border of $x[1..i]$. Hence, it suffices to show that increasing the length of the border within the interval $(0; i/2)$ cannot decrease its Zimin type.

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