



## Linear-time algorithm for sliding tokens on trees

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### ABSTRACT

Suppose that we are given two independent sets  $I_b$  and  $I_r$  of a graph such that  $|I_b| = |I_r|$ , and imagine that a token is placed on each vertex in  $I_b$ . Then, the SLIDING TOKEN problem is to determine whether there exists a sequence of independent sets which transforms  $I_b$  into  $I_r$  so that each independent set in the sequence results from the previous one by sliding exactly one token along an edge in the graph. This problem is known to be PSPACE-complete even for planar graphs, and also for bounded treewidth graphs. In this paper, we thus study the problem restricted to trees, and give the following three results: (1) the decision problem is solvable in linear time; (2) for a yes-instance, we can find in quadratic time an actual sequence of independent sets between  $I_b$  and  $I_r$  whose length (i.e., the number of token-slides) is quadratic; and (3) there exists an infinite family of instances on paths for which any sequence requires quadratic length.

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## 1. Introduction

Recently, *reconfiguration problems* have attracted the attention in the field of theoretical computer science. The problem arises when we wish to find a step-by-step transformation between two feasible solutions of a problem such that all intermediate results are also feasible and each step conforms to a fixed reconfiguration rule (i.e., an adjacency relation defined on feasible solutions of the original problem). This kind of reconfiguration problem has been studied extensively for several well-known problems, including INDEPENDENT SET [2,5,7,11,12,14,16,20,22,23,25], SATISFIABILITY [10,21], SET COVER, CLIQUE, MATCHING [14], VERTEX-COLORING [3,6,8,25], LIST EDGE-COLORING [15,18], LIST  $L(2, 1)$ -LABELING [17], SUBSET SUM [13], SHORTEST PATH [4,19], and so on. (See also a recent survey [24].)

### 1.1. SLIDING TOKEN

The SLIDING TOKEN problem was introduced by Hearn and Demaine [11] as a one-player game, which can be seen as a reconfiguration problem for INDEPENDENT SET. Recall that an *independent set* of a graph  $G$  is a vertex subset of  $G$  in which

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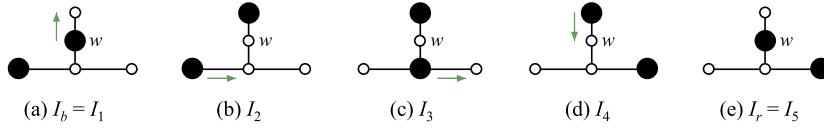


Fig. 1. A sequence  $\langle I_1, I_2, \dots, I_5 \rangle$  of independent sets of the same graph, where the vertices in independent sets are depicted by large black circles (tokens).



Fig. 2. Two distinct independent sets  $I_b$  and  $I_r$  of the same star. This is a yes-instance for ISRECONF under the TJ rule, but is a no-instance for the SLIDING TOKEN problem.

no two vertices are adjacent. (Fig. 1 depicts five different independent sets in the same graph.) Suppose that we are given two independent sets  $I_b$  and  $I_r$  of a graph  $G = (V, E)$  such that  $|I_b| = |I_r|$ , and imagine that a token (coin) is placed on each vertex in  $I_b$ . Then, the SLIDING TOKEN problem is to determine whether there exists a sequence  $\langle I_1, I_2, \dots, I_\ell \rangle$  of independent sets of  $G$  such that

- (a)  $I_1 = I_b$ ,  $I_\ell = I_r$ , and  $|I_i| = |I_b| = |I_r|$  for all  $i$ ,  $1 \leq i \leq \ell$ ; and
- (b) for each  $i$ ,  $2 \leq i \leq \ell$ , there is an edge  $\{u, v\}$  in  $G$  such that  $I_{i-1} \setminus I_i = \{u\}$  and  $I_i \setminus I_{i-1} = \{v\}$ , that is,  $I_i$  can be obtained from  $I_{i-1}$  by sliding exactly one token on a vertex  $u \in I_{i-1}$  to its adjacent vertex  $v$  along  $\{u, v\} \in E$ .

Such a sequence is called a *reconfiguration sequence* between  $I_b$  and  $I_r$ . Fig. 1 illustrates a reconfiguration sequence  $\langle I_1, I_2, \dots, I_5 \rangle$  of independent sets which transforms  $I_b = I_1$  into  $I_r = I_5$ . Hearn and Demaine proved that SLIDING TOKEN is PSPACE-complete for planar graphs, as an example of the application of their tool, called the nondeterministic constraint logic model, which can be used to prove PSPACE-hardness of many puzzles and games [11], [12, Sec. 9.5].

### 1.2. Related and known results

As the (ordinary) INDEPENDENT SET problem is a key problem among thousands of NP-complete problems, SLIDING TOKEN plays an important role since several PSPACE-hardness results have been proved using reductions from it. In addition, reconfiguration problems for INDEPENDENT SET (ISRECONF, for short) have been studied under different reconfiguration rules, as follows.

- *Token Sliding* (TS rule) [6,7,11,12,20,25]: This rule corresponds to SLIDING TOKEN, that is, we can slide a single token only along an edge of a graph.
- *Token Jumping* (TJ rule) [7,16,20,25]: A single token can “jump” to any vertex (including a non-adjacent one) if it results in an independent set.
- *Token Addition and Removal* (TAR rule) [2,5,14,20,22,23,25]: We can either add or remove a single token at a time if it results in an independent set of cardinality at least a given threshold. Therefore, under the TAR rule, independent sets in the sequence do not have the same cardinality.

We note that the existence of a desired sequence depends deeply on the reconfiguration rules. (See Fig. 2 for example.) However, ISRECONF is PSPACE-complete under any of the three reconfiguration rules for planar graphs [6,11,12], for perfect graphs [20], and for bounded bandwidth graphs [25]. The PSPACE-hardness implies that, unless  $NP = PSPACE$ , there exists an instance of SLIDING TOKEN which requires a super-polynomial number of token-slides even in a minimum-length reconfiguration sequence. In such a case, tokens should make “detours” to avoid violating independence. (For example, see the token placed on the vertex  $w$  in Fig. 1(a); it is moved twice even though  $w \in I_b \cap I_r$ .)

We here explain only the results which are strongly related to this paper, that is, SLIDING TOKEN on trees; see the references above for the other results.

#### 1.2.1. Results for TS rule (SLIDING TOKEN)

Kamiński et al. [20] gave a linear-time algorithm to solve SLIDING TOKEN for cographs (also known as  $P_4$ -free graphs). They also showed that, for any yes-instance on cographs, two given independent sets  $I_b$  and  $I_r$  have a reconfiguration sequence such that no token makes a detour.

Very recently, Bonsma et al. [7] proved that SLIDING TOKEN can be solved in polynomial time for claw-free graphs. Note that neither cographs nor claw-free graphs contain trees as a (proper) subclass. Thus, the complexity status for trees was open under the TS rule.

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