

# On the dualization of hypergraphs with bounded edge-intersections and other related classes of hypergraphs<sup>☆</sup>

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## Abstract

Given a finite set  $V$ , and integers  $k \geq 1$  and  $r \geq 0$ , let us denote by  $\mathbb{A}(k, r)$  the class of hypergraphs  $\mathcal{A} \subseteq 2^V$  with  $(k, r)$ -bounded intersections, i.e. in which the intersection of any  $k$  distinct hyperedges has size at most  $r$ . We consider the problem  $\text{MIS}(\mathcal{A}, \mathcal{I})$ : given a hypergraph  $\mathcal{A}$ , and a subfamily  $\mathcal{I} \subseteq \mathcal{I}(\mathcal{A})$  of its maximal independent sets (MIS)  $\mathcal{I}(\mathcal{A})$ , either extend this subfamily by constructing a new MIS  $I \in \mathcal{I}(\mathcal{A}) \setminus \mathcal{I}$  or prove that there are no more MIS, that is  $\mathcal{I} = \mathcal{I}(\mathcal{A})$ . It is known that, for hypergraphs of bounded dimension  $\mathbb{A}(1, \delta)$ , as well as for hypergraphs of bounded degree  $\mathbb{A}(\delta, 0)$  (where  $\delta$  is a constant), problem  $\text{MIS}(\mathcal{A}, \mathcal{I})$  can be solved in incremental polynomial time. In this paper, we extend this result to any integers  $k, r$  such that  $k + r = \delta$  is a constant. More precisely, we show that for hypergraphs  $\mathcal{A} \in \mathbb{A}(k, r)$  with  $k + r \leq \text{const}$ , problem  $\text{MIS}(\mathcal{A}, \mathcal{I})$  is NC-reducible to the problem  $\text{MIS}(\mathcal{A}', \emptyset)$  of generating a single MIS for a partial subhypergraph  $\mathcal{A}'$  of  $\mathcal{A}$ . In particular, this implies that  $\text{MIS}(\mathcal{A}, \mathcal{I})$  is polynomial, and we get an incremental polynomial algorithm for generating all MIS. Furthermore, combining this result with the currently known algorithms for finding a single maximally independent set of a hypergraph, we obtain efficient parallel algorithms for incrementally generating all MIS for hypergraphs in the classes  $\mathbb{A}(1, \delta)$ ,  $\mathbb{A}(\delta, 0)$ , and  $\mathbb{A}(2, 1)$ , where  $\delta$  is a constant. We also show that, for  $\mathcal{A} \in \mathbb{A}(k, r)$ , where  $k + r \leq \text{const}$ , the problem of generating all MIS of  $\mathcal{A}$  can be solved in incremental polynomial-time and with space polynomial only in the size of  $\mathcal{A}$ .

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## 1. Introduction

Let  $\mathcal{A} \subseteq 2^V$  be a hypergraph (set family) on a finite vertex set  $V$ . A vertex set  $I \subseteq V$  is called *independent* if  $I$  contains no hyperedge of  $\mathcal{A}$ . Let  $\mathcal{I}(\mathcal{A}) \subseteq 2^V$  denote the family of all maximal independent sets (MIS) of  $\mathcal{A}$ .

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We assume that  $\mathcal{A}$  is given by the list of its hyperedges, and consider the problem  $GEN-MIS(\mathcal{A})$  of incrementally generating all sets in  $\mathcal{I}(\mathcal{A})$ :

$GEN-MIS(\mathcal{A})$ : Given a hypergraph  $\mathcal{A}$ , generate all maximal independent sets of  $\mathcal{A}$ .

Clearly, this problem can be solved by performing  $|\mathcal{I}(\mathcal{A})| + 1$  calls to the following problem:

$MIS(\mathcal{A}, \mathcal{I})$ : Given a hypergraph  $\mathcal{A}$  and a collection  $\mathcal{I} \subseteq \mathcal{I}(\mathcal{A})$  of its maximal independent sets, either find a new maximal independent set  $I \in \mathcal{I}(\mathcal{A}) \setminus \mathcal{I}$ , or prove that the given collection is complete,  $\mathcal{I} = \mathcal{I}(\mathcal{A})$ .

Note that if  $I \in \mathcal{I}(\mathcal{A})$  is an independent set, the complement  $B = V \setminus I$  is a transversal to  $\mathcal{A}$ ; that is,  $B \cap A \neq \emptyset$  for all  $A \in \mathcal{A}$ , and vice versa. Hence  $\{B \mid B = V \setminus I, I \in \mathcal{I}(\mathcal{A})\} = \mathcal{A}^d$ , where

$$\mathcal{A}^d \stackrel{\text{def}}{=} \{B \mid B \text{ is a minimal transversal to } \mathcal{A}\}$$

is the transversal or dual hypergraph of  $\mathcal{A}$ . For this reason, the problems  $GEN-MIS(\mathcal{A})$  and  $MIS(\mathcal{A})$  can be equivalently stated as the following hypergraph dualization problems:

$GEN-DUAL(\mathcal{A})$ : Given a hypergraph  $\mathcal{A}$ , generate all minimal transversals of  $\mathcal{A}$ .

$DUAL(\mathcal{A}, \mathcal{B})$ : Given a hypergraph  $\mathcal{A}$  and a collection  $\mathcal{B} \subseteq \mathcal{A}^d$  of minimal transversals to  $\mathcal{A}$ , either find a new minimal transversal  $B \in \mathcal{A}^d \setminus \mathcal{B}$  or show that  $\mathcal{B} = \mathcal{A}^d$ .

These problems have applications in combinatorics, graph theory, artificial intelligence, game theory [18,19,26], reliability theory, database theory, integer programming, and learning theory (see, e.g. [5,11]). It is an open question as to whether the problem  $DUAL(\mathcal{A}, \mathcal{B})$ , or equivalently  $MIS(\mathcal{A}, \mathcal{I})$ , can be solved in polynomial time for arbitrary hypergraphs. The fastest currently known algorithm [14] for  $DUAL(\mathcal{A}, \mathcal{B})$  is quasi-polynomial and runs in time  $O(nm) + m^{o(\log m)}$ , where  $n = |V|$  and  $m = |\mathcal{A}| + |\mathcal{B}|$ . The fastest known randomized parallel algorithm [22], for problem  $MIS(\mathcal{A}, \emptyset)$  of computing a single MIS of a hypergraph  $\mathcal{A}$  on  $n$  vertices, runs in time  $O(\sqrt{n})$  on  $n^{3/2}$  processors.

It was shown in [6,11] that in the case of hypergraphs of bounded dimension,

$$\dim(\mathcal{A}) \stackrel{\text{def}}{=} \max_{A \in \mathcal{A}} |A| \leq \text{const.} \quad (1)$$

problem  $MIS(\mathcal{A}, \mathcal{I})$  can be solved in polynomial time. Moreover, [4] shows that the problem can be efficiently solved in parallel,  $MIS(\mathcal{A}, \mathcal{I}) \in NC$  for  $\dim(\mathcal{A}) \leq 3$  and  $MIS(\mathcal{A}, \mathcal{I}) \in RNC$  for  $\dim(\mathcal{A}) = 4, 5, \dots$ . Let us also mention that for graphs,  $\dim(\mathcal{A}) \leq 2$ , all MIS can be generated with polynomial delay, see [20] and also [29].

In [10], a total polynomial time generation algorithm was obtained for hypergraphs of bounded degree,

$$\deg(\mathcal{A}) \stackrel{\text{def}}{=} \max_{v \in V} |\{A : v \in A \in \mathcal{A}\}| \leq \text{const.} \quad (2)$$

This result was recently strengthened in [12], where a polynomial delay algorithm was obtained for a wider class of hypergraphs.

In this paper, we consider the class  $\mathbb{A}(k, r)$  of hypergraphs with  $(k, r)$ -bounded intersections:  $\mathcal{A} \in \mathbb{A}(k, r)$  if the intersection of each (at least)  $k$  distinct hyperedges of  $\mathcal{A}$  is of cardinality at most  $r$ . We will always assume that  $k \geq 1$  and  $r \geq 0$  are fixed integers whose sum is bounded,  $k + r \leq \delta = \text{const}$ . Note that

$$\dim(\mathcal{A}) \leq r \quad \text{iff} \quad \mathcal{A} \in \mathbb{A}(1, r) \quad \text{and} \quad \deg(\mathcal{A}) < k \quad \text{iff} \quad \mathcal{A} \in \mathbb{A}(k, 0),$$

and hence the class  $\mathbb{A}(k, r)$  contains both the bounded-dimension and bounded-degree hypergraphs as subclasses.

It will be shown that problem  $MIS(\mathcal{A}, \mathcal{I})$  can be solved in polynomial time for hypergraphs with  $(k, r)$ -bounded intersections. It is not difficult to see that for any hypergraph  $\mathcal{A} \in \mathbb{A}(k, r)$ , the following property holds for every vertex-set  $X \subseteq V$  (see Lemma 1 below):  $X$  is contained in a hyperedge of  $\mathcal{A}$  whenever each subset of  $X$  of cardinality at most  $\delta = k + r$  is contained in a hyperedge of  $\mathcal{A}$ . Hypergraphs  $\mathcal{A} \subseteq 2^V$  with this property were introduced by Berge [3] under the name of  $\delta$ -conformal hypergraphs, and clearly define a wider class of hypergraphs than  $\mathbb{A}(k, r)$  with  $k + r = \delta$ . In fact, we will prove our results for this wider class of  $\delta$ -conformal hypergraphs.

**Theorem 1.** For the  $\delta$ -conformal hypergraphs,  $\delta \leq \text{const}$ , and in particular for  $\mathcal{A} \in \mathbb{A}(k, r)$ ,  $k + r \leq \delta = \text{const}$ , problem  $MIS(\mathcal{A}, \mathcal{I})$  can be solved in polynomial time. Hence  $\mathcal{I}(\mathcal{A})$ , the set all MIS of  $\mathcal{A}$ , can be generated in incremental polynomial time.

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