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# Further results for the outage performance of multi-antenna relay cooperation in absence of direct link

#### 3 **Q1** Himanshu Katiyar\*

Electronics and Communication Engg. Department, Babu Banarasi Das Northern India Institute of Technology, Lucknow, Uttar Pradesh, India

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#### ABSTRACT

Motivated from the recent works related to multi-antenna fixed relay network, this letter investigates the outage performance of a system in which source communicates with destination via two multi-antenna relays. A new protocol has been proposed for this scheme which outperforms the previous scheme published in [1]. A closed-form expression of outage probability and average transmitted power have been derived for more versatile Nakagami-*m* fading channel, with integer values of *m*. The effect of number of relay antennas, relay placement and swapping of relay assignment in various fading condition have also been studied.

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#### 18 **1. Introduction**

19**Q3** Cooperative relaying is an efficient way for providing diversity gain which dramatically improves the system performance [2]. 20 In this system multiple wireless nodes share their antennas and 21 creates virtual antenna array [3]. An overview of such cooperation 22 schemes and issues related to their implementation have been 23 discussed in [4,5]. Wireless cooperative nodes are generally battery 24 powered and have to process the signals of other cooperative nodes 25 so they have to consume its precious battery power which is the 26 main bottleneck for such type of system. Hence, in [6-8], various 27 power allocation schemes for minimizing the energy consumption 28 in cooperative relay network have been discussed. Infrastructure 29 based fixed relays are in close proximity of power so cooperation 30 from other nodes do not face the scarcity of power. Motivated from 31 this fact, infrastructure based multi-antenna relay nodes in a coop-32 erative network have been proposed in [9]. This work is further 33 extended in [10] and closed form expressions of outage probability 34 and bit error rate (BER) for binary phase shift keying (BPSK) are 35 derived for the case where communication between source and 36 destination is supported by multi-antenna relay and both relay and 37 destination perform maximum ratio combining (MRC) of signals 38 in Rayleigh fading channel. MRC requires tracking of amplitude 39 and phase of fading envelop hence it enhances the complexity 40 of hardware. Complexity of MRC can be simplified with the help 41 of selection combining (SC) which only tracks the SNR. A closed 42 form expressions of outage probability and average error rate have 43

**Q2** \* Tel.: +91 9956956574.

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been derived in [11] for the case when source and destination perform SC of the signals. Similarly outage probability and average error rate of two-hop multi-antenna relay based system for the case when relay performs SC of signals and destination performs MRC of signals are analyzed in [12,13], respectively. Here, authors have assumed that the relay has limited hardware processing capability but relaxed the hardware constraints at destination. Average capacity and SNR analysis is also a major performance metrics so it is analyzed in [14] for similar type of cooperative relay network. Antennas installed on multi-antenna relay are some time suffer from correlated fading due to insufficient spacing among the installed antennas. Hence in [15], closed form expressions for outage probability and BER have been derived when multi-antenna cooperative relay network operates in correlated Nakagami-m fading channel and both the relay as well as destination perform MRC of the signals. Multiple antennas can be installed at transmitting end and receiving end of relay station with relatively higher signal processing cost which further improve the system performance. A two-way relay pre-coder for MIMO relay is designed in [16] in presence of full channel state information (CSI). In case of high mobility scenario among the nodes, availability of exact CSI is not possible so the analysis of cooperative relay network in presence of partial CSI is presented in [17].

This work is extension of previously published work in [1] for the cooperative communication scenario. Here, we have assumed more versatile Nakagami-m fading channel compared to the assumption of Rayleigh fading channel in previous work. A new protocol has been developed which outperforms the previous one in some specific placement of relays at cost of increase in average transmitted power. Performance of proposed system is also enhanced by swapped assignment of relays in certain placement of relays. In

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this system model, communication between source and destina-75 tion takes place with the help of two multi-antenna relay nodes. 76 Relays work in adaptive decode forward mode hence they remain 77 silent if received signal strength is below a predefined threshold. 78 Source and destination are outfitted with single antenna due to 79 their small size and limited signal processing capability. However, 80 relays are assumed to be infrastructure type fixed nodes and hence 81 they can accommodate multiple antennas. It is assumed that the 82 signals received directly at destination from the source are too 83 weak to make any contribution; hence the signals received via only 84 relays are combined at the destination. Such assumption is justified 85 because in highly dense urban environment, direct link may not be 86 available when the destination is located behind the sky scrapers 87 or inside the buildings. In such cases, communication is possible 88 through intermediate relay terminals. In a harsh multi-path fad-89 ing environment, diversity systems are employed to reduce signal 90 outage and increase the availability of the link between source 91 and destination. Hence, the proposed system contains two relay 92 terminals which helps to attain diversity gain. Relays operate in 93 half-duplex mode [18], so the support of large number of relays 94 in such type of communication is not recommended due to loss 95 of spectral efficiency. Interference among the nodes is negligible because the nodes transmit in orthogonal time slots. 97

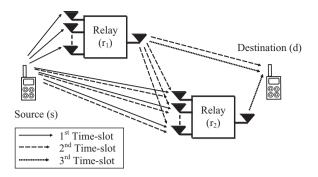
The channel seen by receiving antenna elements of relays are assumed to be statistically identical fading parameters 99 whereas channel seen by different pair of nodes (i.e. source-relay, 100 relay-relay and relay-destination) have been considered to be 101 non-identical statistical parameters, whereas identical fading 102 parameters are assumed for the channels seen by the receiving 103 antenna elements of relays. Performance of such system model 104 is analyzed by amending the placement of relays and antennas 105 installed on it in Nakagami-*m* fading channel with different fading 106 conditions. The contributions of this work are summarized below: 107

- A new protocol has been proposed which outperforms previous one [1].
- Average transmitted power of proposed protocol has been analyzed which is compared with previous one [1].
- Study the effect of exchanging roles and responsibilities of relays
   is conducted for proposed protocol.
- Analysis of proposed protocol is done for Nakagami-*m* fading channel which is more versatile fading channel.

Rest of this letter is organized as follows: Section 2, briefly discusses the system model of two multi-antenna cooperative relay network. Outage of this system model is analyzed in Section 3. In
Section 4, transmitted power for this system model is presented. Numerical results are discussed in Section 5. Finally, conclusions are drawn in Section 6.

#### 122 2. System model

A setup for cooperative communication network is shown in 123 Fig. 1. Here, direct path between source (s), two multi-antenna 124 relays  $(r_1, r_2)$  and destination (d) is present but no direct path is 125 available between *s* and *d*. Here,  $r_1$  and  $r_2$  are outfitted with one 126 transmitting antenna and  $n_1$ ,  $n_2$  receiving antennas, respectively. 127 In this work, we have assumed that the channel between two nodes 128 is quasi-static and flat faded. It remain unchanged in a particular 129 time-slot but changes independently from one time-slot to another 130 time-slot. In setup of original assignment, s broadcasts the message 131 which is received by  $r_1$  and  $r_2$  in first time-slot (i.e.  $s \rightarrow r_1$  and  $s \rightarrow r_2$ ). 132 Relays  $r_1$  and  $r_2$  are half-duplex in nature and receive the signals via 133 134 multiple antennas. On the other hand,  $r_1$  will become inactive if the 135 received signal strength at  $r_1$  is less than the predefined threshold,



**Fig. 1.** Two-hop multi-antenna cooperative relaying in absence of direct link between *s* and *d*.

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it will broadcast single bit negative acknowledgement signal. After receiving negative acknowledgement signal, s re-transmit same information to  $r_2$  in second time-slot. The relay  $r_2$  executes the process of co-phasing and combining of the message sent by s in the first time-slot and signals sent by s or  $r_1$  in the second time-slot. If the message signal strength at  $r_2$  is above a predefined threshold, message is regenerated and transmitted to d (i.e.  $r_2 \rightarrow d$ ) in the third time-slot. In case the received SNR at  $r_2$  is below the threshold,  $r_2$ will remain silent and broadcast single bit negative acknowledgement signal. After receiving negative acknowledgement signal,  $r_1$ re-transmits same information to d in third time-slot. Destination d coherently combines the buffered copies of signals sent by  $r_1$  in the second time-slot and signals sent by  $r_1$  or  $r_2$  in the third-time slot. However, in swapped assignment, roll and responsibility of  $r_1$  and r<sub>2</sub> is exchanged from each other. The fading envelope of the wireless environment among all the nodes is supposed to be Nakagami-m distributed, so the probability density function (PDF) of received SNR ( $\gamma_{ii}$ ) can be written as [19]

$$f_{\gamma_{ij}}(\gamma_{ij}) = \frac{(\lambda_{ij}m_{ij})^{m_{ij}\eta}\gamma_{ij}^{(m_{ij}\eta-1)}}{\Gamma(m_{ij}\eta)} \exp(-\lambda_{ij}m_{ij}\gamma_{ij})$$
(1) 154

here  $i \in \{s, r_1, r_2\}, j \in \{r_1, r_2, d\}, \lambda_{ij} = (2\ell_{ij}/\ell_{sd})^{\psi}/\omega, \psi$  is the path loss exponent,  $\ell_{ij}$  represents distance between the nodes i and j, which is normalized by reference distance  $\ell_{sd}/2$ ,  $\omega$  is average received SNR at reference point,  $\Gamma(\cdot)$  is gamma function [20, Eq.(8.310.1)] and  $m_{ij}$  represents severity of fading. Value of  $\eta$  will be  $n_1, n_2, 1$ , if j is assign to  $r_1, r_2, d$ , respectively. As stated earlier, it is assumed that the relays operates in half-duplex mode and can not transmit and receive the signal simultaneously (i.e.  $\{i, j\} \notin r_1$  or  $\{i, j\} \notin r_2$ at same time). Relays  $r_1$  and  $r_2$  regenerate the message if the signal strength is above a particular threshold. In such circumstances, mutual information (I) should be greater than target data rate R(spectral efficiency) [18]:

$$I = \frac{1}{3}\log_2(1 + SNR) > R.$$
 (2)

#### 3. Outage analysis

In this section, we will derive the PDF of total received SNR at *d*, after that we will derive the expression for outage probability.

#### 3.1. Probability of $r_1$ is in inactive mode

Probability of  $r_1$  is in inactive mode, if upper bound of the broadcasted information between  $s \rightarrow r_1$  (i.e.  $I_{sr_1}$ ) is below R i.e.

$$P[r_1^{inactive}] = P[I_{sr_1} \le R] = P[\gamma_{sr_1} \le \chi],$$
(3) 174

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