



# Connected Hopf algebras of dimension $p^2$

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## ABSTRACT

Let  $H$  be a finite-dimensional connected Hopf algebra over an algebraically closed field  $\mathbf{k}$  of characteristic  $p > 0$ . We provide the algebra structure of the associated graded Hopf algebra  $\text{gr } H$ . Then, we study the case when  $H$  is generated by a Hopf subalgebra  $K$  and another element and the case when  $H$  is cocommutative. When  $H$  is a restricted universal enveloping algebra, we give a specific basis for the second term of the Hochschild cohomology of the coalgebra  $H$  with coefficients in the trivial  $H$ -bicomodule  $\mathbf{k}$ . Finally, we classify all connected Hopf algebras of dimension  $p^2$  over  $\mathbf{k}$ .

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## 1. Introduction

Let  $\mathbf{k}$  denote a base field, algebraically closed of characteristic  $p > 0$ . In [5], all graded cocommutative connected Hopf algebras of dimension less than or equal to  $p^3$  are classified by using W.M. Singer's theory of extensions of connected Hopf algebras [13]. In this paper, we classify all connected Hopf algebras of dimension  $p^2$  over  $\mathbf{k}$ . We use the theories of restricted Lie algebras and Hochschild cohomology of coalgebras for restricted universal enveloping algebras.

Let  $H$  denote a finite-dimensional connected Hopf algebra in the sense of [9, Def. 5.1.5] with primitive space  $P(H)$ , and  $K$  be a Hopf subalgebra of  $H$ . In Section 2, basic definitions related to and properties of  $H$  are briefly reviewed. In particular, we describe a few concepts concerning the inclusion  $K \subseteq H$ . We say that the  $p$ -index of  $K$  in  $H$  is  $n - m$  if  $\dim K = p^m$  and  $\dim H = p^n$ . The notion of the *first order* of the inclusion and a *level-one* inclusion are also given in Definition 2.3.

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In Section 3, the algebra structure of a finite-dimensional connected coradically graded Hopf algebra is obtained (Theorem 3.1) based on a result for algebras representing finite connected group schemes over  $\mathbf{k}$ . It implies that the associated graded Hopf algebra  $\text{gr } H$  is isomorphic to as algebras

$$\mathbf{k}[x_1, x_2, \dots, x_d]/(x_1^p, x_2^p, \dots, x_d^p)$$

for some  $d \geq 0$ .

Section 4 concerns a simple case when  $H$  is generated by  $K$  and another element  $x$ . Suppose the  $p$ -index of  $K$  in  $H$  is  $d$ . Under an additional assumption, the basis of  $H$  as a left  $K$ -module is given in terms of the powers of  $x$  (Theorem 4.5). Moreover, if  $K$  is normal in  $H$  [9, Def. 3.4.1], then  $x$  satisfies a polynomial equation as follows:

$$x^{p^d} + \sum_{i=0}^{d-1} a_i x^{p^i} + b = 0$$

for some  $a_i \in \mathbf{k}$  and  $b \in K$ .

Section 5 deals with the special case when  $H$  is cocommutative. It is proved in Proposition 5.2 that such Hopf algebra  $H$  is equipped with a series of normal Hopf subalgebras  $\mathbf{k} = N_0 \subset N_1 \subset N_2 \subset \dots \subset N_n = H$  satisfying certain properties. If we apply these properties to the case when  $P(H)$  is one-dimensional, then we have  $N_1$  is generated by  $P(H)$  and each  $N_i$  has  $p$ -index one in  $N_{i+1}$  (Corollary 5.3). In Theorem 5.4, we give locality criterion for  $H$  in terms of its primitive elements. This result, after dualization, is equivalent to a criteria for unipotency of finite connected group schemes over  $\mathbf{k}$ , as shown in Remark 5.5.

In Section 6, we take the Hopf subalgebra  $K = u(\mathfrak{g})$ , the restricted universal enveloping algebra of some finite-dimensional restricted Lie algebra  $\mathfrak{g}$ . We consider the Hochschild cohomology of the coalgebra  $K$  with coefficients in the trivial bicomodule  $\mathbf{k}$ , namely  $H^\bullet(\mathbf{k}, K)$ . Then the Hochschild cohomology can be computed as the homology of the cobar construction of  $K$ . In Proposition 6.2, we give a specific basis for  $H^2(\mathbf{k}, K)$ . We further show, in Lemma 6.5, that  $\bigoplus_{n \geq 0} H^n(\mathbf{k}, K)$  is a graded restricted  $\mathfrak{g}$ -module via the adjoint map. When the inclusion  $K \subseteq H$  has first order  $n \geq 2$ , the differential  $d^1$  in the cobar construction of  $H$  induces a restricted  $\mathfrak{g}$ -module map from  $H_n$  into  $H^2(\mathbf{k}, K)$ , whose kernel is  $K_n$  (Theorem 6.6). Concluded in Theorem 6.7, if  $K \neq H$ , we can find some  $x \in H \setminus K$  with the following comultiplication

$$\Delta(x) = x \otimes 1 + 1 \otimes x + \omega \left( \sum_i \alpha_i x_i \right) + \sum_{j < k} \alpha_{jk} x_j \otimes x_k$$

where  $\{x_i\}$  is a basis for  $\mathfrak{g}$ .

Finally, the classification of connected Hopf algebras of dimension  $p^2$  over  $\mathbf{k}$  is accomplished in Section 7. Assume  $\dim H = p^2$ . We apply results on  $H$  from previous sections, i.e., Corollary 5.3 and Theorem 6.7. The main result is stated in Theorem 7.4 and divided into two cases. When  $\dim P(H) = 2$ , based on the classification of two-dimensional Lie algebras with restricted maps (see Appendix A), there are five non-isomorphic classes

- (1)  $\mathbf{k}[x, y]/(x^p, y^p)$ ,
- (2)  $\mathbf{k}[x, y]/(x^p - x, y^p)$ ,
- (3)  $\mathbf{k}[x, y]/(x^p - y, y^p)$ ,
- (4)  $\mathbf{k}[x, y]/(x^p - x, y^p - y)$ ,
- (5)  $\mathbf{k}(x, y)/([x, y] - y, x^p - x, y^p)$ ,

where  $x, y$  are primitive. When  $\dim P(H) = 1$ ,  $H$  must be commutative and there are three non-isomorphic classes

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