

# On the hereditary proximity to $\ell_1$

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## Abstract

In the first part of the paper we present and discuss concepts of local and asymptotic hereditary proximity to  $\ell_1$ . The second part is devoted to a complete separation of the hereditary local proximity to  $\ell_1$  from the asymptotic one. More precisely for every countable ordinal  $\xi$  we construct a separable Hereditarily Indecomposable reflexive space  $\mathfrak{X}_\xi$  such that every infinite-dimensional subspace of it has Bourgain  $\ell_1$ -index greater than  $\omega^\xi$  and the space itself has no  $\ell_1$ -spreading model.

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## 1. Introduction

Concepts of proximity to a classical  $\ell_p$  (or  $c_0$ ) space play a significant role to the understanding of the structure of a Banach space. They are categorized as follows:

The first one is the global proximity to  $\ell_p$  which simply means that  $\ell_p$  is isomorphic to a subspace  $Y$  of  $X$ . The local proximity which occurs more frequently, due to J.L. Krivine's theorem [21], is measured through the Bourgain  $\ell_p$ -index [10]. The last concept is the asymptotic proximity that varies from A. Brunel and L. Sucheston  $\ell_p$ -spreading models [11], to

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the asymptotic  $\ell_p$  spaces. The latter class of Banach spaces, introduced by V. Milman and N. Tomczak-Jaegermann in [25], is modelled on B.S. Tsirelson space [31] that answered in negative the famous Banach's problem by showing that global proximity to some  $\ell_p$  is not always possible.

It is well known that the hereditary global proximity, i.e. saturation by subspaces isomorphic to  $\ell_p$ , does not imply asymptotic structure of the whole space, see for example [19,18]. If we allow passing to infinite-dimensional subspaces, it is easy to see that the global proximity to  $\ell_p$  is the strongest one followed by the asymptotic one. The local proximity is the weakest among them. It is also known that the three classes are separated for each  $\ell_p$ . Namely there are spaces with arbitrarily large local proximity to  $\ell_p$  but admitting no  $\ell_p$  asymptotic subspace, and similarly there are  $\ell_p$  asymptotic spaces with no subspaces isomorphic to  $\ell_p$ . The present paper is mainly devoted to the separation of the local and asymptotic proximity to  $\ell_1$  when the first one is hereditarily large. In particular our work is motivated by a result of the third named author stated as follows.

**Theorem.** (See [28].) *Let  $X$  be a separable Banach space and  $\xi$  be a countable ordinal. If  $X$  is boundedly distortable and has hereditary Bourgain  $\ell_1$ -index greater than  $\omega^\xi$  then it is saturated by asymptotic  $\ell_1^\xi$  spaces.*

Let's recall that the hereditary Bourgain  $\ell_p$ -index of a Banach space  $X$  is the minimum of Bourgain  $\ell_p$ -index of its subspaces. In the sequel by the  $\ell_p$ -index we will mean the Bourgain  $\ell_p$ -index.

In view of the above theorem it is natural to ask how critical is the bounded distortion of  $X$  for the final conclusion. It is also worth adding that heredity assumptions for the local proximity to  $\ell_1$  could yield large asymptotic one. In this direction we prove the following

**Proposition.** *Let  $(e_n)$  be a Schauder basis of a Banach space  $X$  such that the Bourgain  $\ell_1$ -tree supported by any subsequence of  $(e_n)_{n \in \mathbb{N}}$  has order greater than  $\omega^\xi$ . Then there exists a subsequence generating an  $\ell_1^\xi$ -spreading model.*

Our aim is to show that large hereditary  $\ell_1$ -structure in a Banach space  $X$  does not imply in general any asymptotic one. More precisely the main goal at the present paper is to prove the next

**Theorem A.** *For every countable ordinal  $\xi$  there exists a separable Hereditarily Indecomposable reflexive space  $\mathfrak{X}_\xi$  with the hereditary  $\ell_1$ -index greater than  $\omega^\xi$  such that  $\mathfrak{X}_\xi$  does not admit an  $\ell_1$ -spreading model. Moreover the dual  $\mathfrak{X}_\xi^*$  has hereditary  $c_0$ -index greater than  $\omega^\xi$  and does not admit  $c_0$  as a spreading model.*

The space  $\mathfrak{X}_\xi$  satisfies the following structural property yielding that its hereditary  $\ell_1$  index is greater than  $\omega^\xi$ . For any block sequence  $(x_n)_n$  of the basis  $(e_n)_n$  of  $\mathfrak{X}_\xi$  there exists a further block  $(x_s)_{s \in \mathcal{T}}$  with  $\mathcal{T}$  a well-founded tree of order greater than  $\omega^\xi$  such that for each chain  $s_1, \dots, s_k$  in  $\mathcal{T}$ ,  $(x_{s_i})_{i=1}^k$  is  $C$ -equivalent to the standard basis  $(e_i)_{i=1}^k$  of  $\ell_1^k$  for a universal constant  $C$ .

To some extent the spaces  $\mathfrak{X}_\xi$ ,  $\xi < \omega_1$ , are the reflexive analogue of the famous Gowers tree-space (cf. [15]) and its variants (cf. [3]). For the construction of  $\mathfrak{X}_\xi$  we employ the method of attractors, appeared in [8] and extensively used in [3]. The definition of the space  $\mathfrak{X}_\xi$  requires

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