



# A three-ball intersection property for $u$ -ideals

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## Abstract

First introduced by Casazza and Kalton,  $u$ -ideals are generalizations of  $M$ -ideals. We characterize  $u$ -ideals of Banach spaces using intersection properties of balls. We also give examples showing that our results are best possible.

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## 1. Introduction

Let  $X$  be a closed subspace of a Banach space  $Y$ . In [4], Godefroy, Kalton and Saphar introduced the notion of an *ideal*.  $X$  is an ideal in  $Y$  if there exists a norm one projection  $P$  on  $Y^*$  with  $\ker P = X^\perp$ , the annihilator of  $X$ . According to Casazza and Kalton [2]  $X$  is a  *$u$ -ideal* in  $Y$  if  $I - 2P$  is an isometry.

Godefroy, Kalton and Saphar studied  $u$ -ideals and related notions in [4]. Following [4] we introduce the following notation that will be used throughout. Let  $X$  be a closed subspace of a Banach space  $Y$  and let  $i_X$  be the natural embedding  $i_X : X \rightarrow Y$ . If  $P$  is a norm one projection on  $Y^*$  with  $\ker P = X^\perp$  we may define a norm one operator  $T : Y \rightarrow X^{**}$  by letting

$$\langle i_X^* y^*, T(y) \rangle = \langle y, P(y^*) \rangle \quad (1.1)$$

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for all  $y \in Y$  and  $y^* \in Y^*$ . Then  $T(x) = x$  for all  $x \in X$  and if  $I - 2P$  is an isometry then  $\|y - 2j_X^{**}T(y)\| = \|y\|$  for all  $y \in Y$ . Furthermore, if we let  $V = P(Y^*)$ , then  $X$  being a u-ideal in  $Y$  means that  $Y^* = V \oplus X^\perp$  and  $\|v + \eta\| = \|v - \eta\|$  for all  $v \in V$  and  $\eta \in X^\perp$ .  $X$  is said to be an *M-ideal* in  $Y$  [1,5] if this is an  $\ell_1$  sum, i.e.  $Y^* = V \oplus_1 X^\perp$ .

In this paper we will characterize u-ideals using intersection properties of balls. Characterizations of M-ideals by intersection properties of balls can be found already in Alfsen and Effros [1] where M-ideals were introduced (see e.g. [1, Theorems 5.8 and 5.9]).

In [7, Theorem 6.17] the second named author proved the following.

**Theorem 1.1.** (See [7].) *Let  $X$  be a closed subspace of a Banach space  $Y$ . The following statements are equivalent.*

- (a)  $X$  is an M-ideal in  $Y$ .
- (b) For every  $y \in B_Y$  the intersection  $X \cap \bigcap_{i=1}^3 B(y + x_i, 1 + \varepsilon) \neq \emptyset$  for every collection of three points  $(x_i)_{i=1}^3 \subset B_X$  and  $\varepsilon > 0$ .

The following version of Lemma 3.3 in [4] motivates why we consider the type of balls we do in this paper.

**Lemma 1.2.** (See [4].) *Let  $X$  be a closed subspace of a Banach space  $Y$ . If  $X$  is a u-ideal in  $Y$  then for every  $\varepsilon > 0$ ,  $y \in Y$  and  $x \in X$  there is an  $x_0 \in X$  such that*

$$\|y + x - 2x_0\| < \|y - x\| + \varepsilon.$$

This inequality can be written  $2x_0 \in B(y + x, \|y - x\| + \varepsilon)$ . Using this we now state our first main result.

**Theorem 1.3.** *Let  $X$  be a closed subspace of a Banach space  $Y$  and let  $y \in Y \setminus X$  and  $Z = \text{span}(X, \{y\})$ . The following statements are equivalent.*

- (a)  $X$  is a u-ideal in  $Z$ .
- (b)  $X^{\perp\perp} \cap \bigcap_{x \in X} B_{Z^{**}}(y + x, \|y - x\|) \neq \emptyset$ .
- (c)  $X \cap \bigcap_{i=1}^n B_Z(y + x_i, \|y - x_i\| + \varepsilon) \neq \emptyset$  for every finite collection  $(x_i)_{i=1}^n \subset X$  and  $\varepsilon > 0$ .
- (d)  $X \cap \bigcap_{i=1}^3 B_Z(y + x_i, \|y - x_i\| + \varepsilon) \neq \emptyset$  for every collection of three points  $(x_i)_{i=1}^3 \subset X$  and  $\varepsilon > 0$ .

Theorem 1.3 will be proved in Section 2. That section also contains a general result, Proposition 2.6, about centers of symmetry for compact convex sets inspired by the proof of Theorem 1.3.

From Theorem 1.1 we see that  $X$  is an M-ideal in  $Y$  if and only if  $X$  is an M-ideal in  $Z$  for every subspace  $Z$  of  $Y$  containing  $X$  such that  $\dim Z/X = 1$ . It is also known (see e.g. [3, Théorème 2.14] or [8, Proposition 2.1]) that  $X$  is an ideal in  $Y$  if and only if  $X$  is an ideal in  $Z$  for every subspace  $Z$  of  $Y$  with  $\dim Z/Y < \infty$ ; and this is *not* equivalent to  $X$  being an ideal in  $Z$  for every subspace  $Z$  of  $Y$  with  $\dim Z/X = 1$  by an example of Lindenstrauss [9, p. 78]. For u-ideals we have the following.

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