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Riesz-type criteria and theta transformation analogues



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ABSTRACT

We give character analogues of a generalization of a result due to Ramanujan, Hardy and Littlewood, and provide Riesz-type criteria for Riemann Hypotheses for the Riemann zeta function and Dirichlet L -functions. We also provide analogues of the general theta transformation formula and of recent generalizations of the transformation formulas of W.L. Ferrar and G.H. Hardy for real primitive Dirichlet characters.

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1. Introduction

In 1916, Riesz [16] gave the following equivalent criterion for the Riemann Hypothesis:

Let the function $F(x)$ be defined by

$$F(x) := \sum_{n=1}^{\infty} \mu(n) \frac{x}{n^2} e^{-x/n^2}.$$

The estimate $F(x) = O_{\delta} \left(x^{\frac{1}{4}+\delta} \right)$ for all $\delta > 0$ is a necessary and sufficient condition for the validity of the Riemann Hypothesis.

The following variant is due to Hardy and Littlewood [11, p. 156, Section 2.5]:

Consider the function

$$P(y) := \sum_{k=1}^{\infty} \frac{\mu(k)}{k} e^{-y/k^2} = \sum_{m=1}^{\infty} \frac{(-y)^m}{m! \zeta(2m+1)}. \tag{1.1}$$

Then, the estimate $P(y) = O_{\delta} \left(y^{-\frac{1}{4}+\delta} \right)$ as $y \rightarrow \infty$ for all positive values of δ is equivalent to the Riemann Hypothesis.

Their intuition and motivation came from a beautiful identity in Ramanujan’s notebooks [15] (see also [3, p. 468, Entry 37]). The corrected version of this identity was given by Hardy and Littlewood [11, p. 156, Equation 2.516]. Various aspects of this identity have been presented by Berndt [3, p. 470], Bhaskaran [5], Paris and Kaminski [14, p. 143] and Titchmarsh [17, p. 219, Section 9.8]. A one-variable generalization of this identity was obtained in [8]. Motivated by these works and the aforementioned variant of Riesz’s criterion, we establish the following theorem. An analogue for Dirichlet L -functions is given in Section 4.

Theorem 1.1. Fix $z \in \mathbb{C}$. Consider the function

$$\mathcal{P}_z(y) := \sum_{n=1}^{\infty} \frac{\mu(n)}{n} e^{-y/n^2} \cosh \left(\frac{\sqrt{y}z}{n} \right). \tag{1.2}$$

Then we have the following:

- (1) The Riemann Hypothesis implies $\mathcal{P}_z(y) = O_{z,\delta} \left(y^{-\frac{1}{4}+\delta} \right)$ as $y \rightarrow \infty$ for all $\delta > 0$.
- (2) (a) If $z = 0$, the estimate $\mathcal{P}_z(y) = O_{z,\delta} \left(y^{-\frac{1}{4}+\delta} \right)$ as $y \rightarrow \infty$ for all $\delta > 0$ implies the Riemann Hypothesis.
- (b) If $z \neq 0$ and $\arg(z) \neq \pm \frac{\pi}{4}$, the estimate $\mathcal{P}_z(y) = O_{z,\delta} \left(y^{-\frac{1}{4}+\delta} \right)$ as $y \rightarrow \infty$ for all $\delta > 0$ implies that $\zeta(s)$ has at most finitely many non-trivial zeros off the critical line.

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