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# On the axiomatic approach to Harnack's inequality in doubling quasi-metric spaces<sup>☆</sup>

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## ABSTRACT

We introduce a novel approach towards Harnack's inequality in the context of spaces of homogeneous type. This approach, based on the so-called critical density property and doubling properties for weights, avoids the explicit use of covering lemmas and BMO.

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## 1. Introduction and main results

Let  $\Omega \subset \mathbb{R}^n$  be a domain and let  $\mathcal{B}_\Omega$  denote the collection of Euclidean balls  $B$  such that  $4B \subset \Omega$ . By means of his celebrated iterative procedure J. Moser proved in [34] that positive subsolutions to homogeneous divergence-form uniformly elliptic PDEs satisfy weak reverse-Hölder inequalities of the form

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$$\sup_B u \leq C \left( \frac{1}{|B|} \int_{2B} u^q dx \right)^{\frac{1}{q}}, \quad B \in \mathcal{B}_\Omega, \quad q > 0, \quad (1.1)$$

where  $C$  depends on  $n$ ,  $q$ , and the ellipticity constants and  $|B|$  stands for Lebesgue measure of  $B$ . Now, if  $u$  is a positive solution, the previous result applied to  $1/u$  yields, in addition, the inequalities

$$\left( \frac{1}{|B|} \int_{2B} u^{-q} dx \right)^{-\frac{1}{q}} \leq C \inf_B u, \quad B \in \mathcal{B}_\Omega, \quad q > 0. \quad (1.2)$$

Then, Moser's Harnack inequality for  $u$ , namely,

$$\sup_B u \leq C \inf_B u, \quad B \in \mathcal{B}_\Omega, \quad (1.3)$$

follows after proving that  $\log u \in BMO(\Omega)$ , whenever  $u$  is a positive supersolution. Indeed, the John–Nirenberg inequality renders the equivalence between  $\log u \in BMO(\Omega)$  and the existence of  $q_0 > 0$  such that  $u^{q_0} \in A_2(\mathcal{B}_\Omega)$  (the  $A_2$  Muckenhoupt class associated to  $\mathcal{B}_\Omega$ , see (2.12)). Finally, the choice  $q := q_0$  in (1.1) and (1.2) yields (1.3). Moser's approach remains a cornerstone in the study of regularity properties of solutions to PDEs. It is flexible enough to be carried out in the context of other divergence-form PDEs in doubling quasi-metric spaces that sustain a Poincaré-type inequality (for instance, see [1] for the  $p(x)$ -Laplacian, [4] for quasi-minimizers of  $p$ -Dirichlet integrals, [13] for degenerate elliptic PDEs, [16] for infinite graphs, [23] for Dirichlet forms in homogeneous spaces, [26] for  $X$ -elliptic operators, etc.).

The variational tools (e.g., Caccioppoli's inequality and other energy estimates) in the divergence-form context were replaced by measure-theoretic/probabilistic ones in the setting of non-divergence-form elliptic PDEs. Most notably, by the so-called *critical density property* for non-negative supersolutions: there exist  $\varepsilon, \gamma \in (0, 1)$  such that for  $B \in \mathcal{B}_\Omega$

$$|\{x \in 2B : u(x) > 1\}| \geq \varepsilon |2B| \Rightarrow \inf_B u > \gamma. \quad (1.4)$$

Property (1.4) lies at the heart of the techniques developed by Krylov and Safonov [30,31] to prove Harnack's inequality for non-negative solutions to non-divergence-form elliptic and parabolic PDEs. In fact, this measure-theoretic approach, greatly simplified and enriched by L. Caffarelli in [9], appears to capture the essence of ellipticity (see Remark 6) in non-variational settings including fully non-linear elliptic PDEs [9] and degenerate elliptic PDEs such as the linearized Monge–Ampère equation [11,12] as well as variational ones including divergence-form elliptic PDEs with *a priori* energy estimates (see [17] in the Euclidean case and [29] for metric spaces with a calculus of order 1, that is, those metric spaces admitting Sobolev or Poincaré-type inequalities) and adjoint solutions to non-divergence elliptic operators [20], just to name a few. We mention that, in all rigor, the linearized Monge–Ampère equation possesses a double nature, variational and non-variational. It was precisely the pioneering work of Caffarelli and Gutiérrez on the linearized Monge–Ampère equation [11,12], where convex functions prescribe the relevant geometric and measure-theoretic framework, that led to the axiomatization of the Krylov–Safonov–Caffarelli approach in the context of doubling quasi-metric spaces [3, 18,39]. Some notation is in order.

**Definition 1.** Let  $(X, d, \mu)$  be a doubling quasi-metric space (see Section 2). Following [18],  $\mathbb{K}_\Omega$  denotes a family of  $\mu$ -measurable functions with domain contained in an open set  $\Omega$ , and if  $u \in \mathbb{K}_\Omega$  and  $A \subset \text{dom}(u)$  then we write  $u \in \mathbb{K}_\Omega(A)$ . Here  $\text{dom}(u)$  stands for the domain of the function  $u$ . We say that  $\mathbb{K}_\Omega$  is closed under *multiplication by positive constants* if whenever  $u \in \mathbb{K}_\Omega$  and  $\tau > 0$ , then  $\tau u \in \mathbb{K}_\Omega$ . Also, we say that  $\mathbb{K}_\Omega$  is closed under *multiplication by small constants* if whenever  $u \in \mathbb{K}_\Omega$  and  $\tau \in (0, 1)$ , then  $\tau u \in \mathbb{K}_\Omega$ .

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