



# Estimates for the spectrum on logarithmic interpolation spaces



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## ABSTRACT

We study spectral properties of operators on logarithmic perturbations of the real interpolation spaces with  $\theta = 0$  or 1. We also establish estimates for the measure of non-compactness of operators interpolated by those methods.

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## 1. Introduction

The theory of the real interpolation method  $(A_0, A_1)_{\theta, q}$  is well-established and has found important applications in function spaces and operator theory as it can be seen in the monographs by Bergh and Löfström [4], Triebel [27], König [22], Bennett and Sharpley [3] or Brudnyĭ and Krugljak [5]. Inside this theory, the spectral properties of operators on spaces  $(A_0, A_1)_{\theta, q}$  for  $0 < \theta < 1$  and  $1 \leq q \leq \infty$  are one of the topics that have been investigated (see the papers by Zafran [28], Albrecht [2], Aksoy and Tylli [1] and the references given there).

Logarithmic perturbations of the real method  $(A_0, A_1)_{\theta, q, \mathbb{A}}$  have been also extensively studied. The norm in  $(A_0, A_1)_{\theta, q, \mathbb{A}}$  is

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$$\|a\|_{(A_0, A_1)_{\theta, q, \mathbb{A}}} = \left( \int_0^\infty (t^{-\theta} \ell^{\mathbb{A}}(t) K(t, a))^q \frac{dt}{t} \right)^{1/q}$$

where  $K$  is the  $K$ -functional of Peetre,  $1 \leq q \leq \infty$ ,  $\mathbb{A} = (\alpha_0, \alpha_\infty) \in \mathbb{R}^2$ ,  $\ell(t) = 1 + |\log t|$ ,  $\ell^{\mathbb{A}}(t) = \ell^{\alpha_0}(t)$  if  $0 < t \leq 1$ ,  $\ell^{\mathbb{A}}(t) = \ell^{\alpha_\infty}(t)$  if  $1 < t < \infty$  and  $0 \leq \theta \leq 1$ . We refer to the papers by Evans and Opic [18], Evans Opic and Pick [19], Cobos and Segurado [14] and the references given there. The case  $\theta = 1$  [respectively,  $\theta = 0$ ] is of special interest since it produces spaces very close to  $A_1$  [respectively,  $A_0$ ]. Spaces  $(A_0, A_1)_{1, q, \mathbb{A}}$ ,  $(A_0, A_1)_{0, q, \mathbb{A}}$  are connected with limiting interpolation methods studied by Cobos, Fernández-Cabrera, Kühn and Ullrich [8] and Cobos and Kühn [13] among other authors. Logarithmic spaces with  $\theta = 1, 0$  are useful in the work of Edmunds and Opic [17] on limiting variants of Krasnosel’skiĭ’s compact interpolation theorem and in the subsequent abstract versions established by the present authors [11] and by Cobos and Segurado [14]. They also play a role in the work of Cobos and Domínguez [7] where they compare two kinds of Besov spaces with smoothness close to zero.

In this paper we continue the investigation on spaces  $(A_0, A_1)_{1, q, \mathbb{A}}$  and  $(A_0, A_1)_{0, q, \mathbb{A}}$ . We show that if  $A_0 \cap A_1$  is dense in  $A_0$  and  $A_1$  and  $T \in \mathcal{L}((A_0, A_1), (A_0, A_1))$  with  $T : A_1 \rightarrow A_1$  being a Riesz operator, then  $T : (A_0, A_1)_{1, q, \mathbb{A}} \rightarrow (A_0, A_1)_{1, q, \mathbb{A}}$  is also a Riesz operator. Moreover, the spectrum of  $T$  on  $(A_0, A_1)_{1, q, \mathbb{A}}$  coincides with the spectrum of  $T$  on  $A_1$ . We also establish the corresponding results for the case  $\theta = 0$ .

Those spectral properties are derived by using the estimates for the measure of non-compactness of interpolated operators that we show first and which are of independent interest. Let us recall that the behaviour under the real interpolation method of the measure of non-compactness was considered by Edmunds and Teixeira [26] assuming an additional approximation condition in the last couple, and by Cobos, Fernández-Martínez and Martínez [12] in the general case. Later some new ideas were shown by the present authors [9] where, among other things, they considered the case of logarithmic methods with  $0 < \theta < 1$ ; previous results on that case are due to Cordeiro [15] and Szwedek [25]. Here we study the behaviour when  $\theta = 1, 0$ , obtaining results which apply to general Banach couples and work for the whole range of parameters. The special case when  $\theta = 1, 1 < q < \infty$ ,  $\mathbb{A} = (-1, 0)$  and  $A_1 \hookrightarrow A_0, B_1 \hookrightarrow B_0$  or  $A_0 \hookrightarrow A_1, B_0 \hookrightarrow B_1$  has been already studied in [10].

The plan of the paper is as follows. In Section 2 we recall some basic results on logarithmic interpolation spaces and we establish norm estimates for interpolated operators. Section 3 deals with the measure of non-compactness and in the final Section 4 we derive the spectral properties of operators on spaces  $(A_0, A_1)_{1, q, \mathbb{A}}$  and  $(A_0, A_1)_{0, q, \mathbb{A}}$ .

## 2. Logarithmic interpolation spaces

Let  $\mathbb{A} = (\alpha_0, \alpha_\infty) \in \mathbb{R}^2$ . Given a function  $f : (0, \infty) \rightarrow (0, \infty)$ , we put

$$f^{\mathbb{A}}(t) = \begin{cases} (f(t))^{\alpha_0} & \text{if } 0 < t \leq 1, \\ (f(t))^{\alpha_\infty} & \text{if } 1 < t < \infty. \end{cases}$$

We shall mainly work with the functions

$$\ell(t) = 1 + |\log t| \quad \text{and} \quad \ell\ell(t) = \ell(\ell(t)) = 1 + \log(1 + |\log t|).$$

Let  $\bar{A} = (A_0, A_1)$  be a *Banach couple*, that is, two Banach spaces  $A_0, A_1$  which are continuously embedded in some Hausdorff topological vector space. For  $t > 0$ , the *Peetre’s K- and J-functionals* are given by

$$K(t, a) = K(t, a; A_0, A_1) = \inf\{\|a_0\|_{A_0} + t\|a_1\|_{A_1} : a_i \in A_i\}, \quad a \in A_0 + A_1,$$

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