



Compact covers and function spaces ☆

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ABSTRACT

For a Tychonoff space X , we denote by $C_p(X)$ and $C_c(X)$ the space of continuous real-valued functions on X equipped with the topology of pointwise convergence and the compact-open topology respectively. Providing a characterization of the Lindelöf Σ -property of X in terms of $C_p(X)$, we extend Okunev's results by showing that if there exists a surjection from $C_p(X)$ onto $C_p(Y)$ (resp. from $L_p(X)$ onto $L_p(Y)$) that takes bounded sequences to bounded sequences, then νY is a Lindelöf Σ -space (respectively K -analytic) if νX has this property. In the second part, applying Christensen's theorem, we extend Pelant's result by proving that if X is a separable completely metrizable space and Y is first countable, and there is a quotient linear map from $C_c(X)$ onto $C_c(Y)$, then Y is a separable completely metrizable space. We study also a non-separable case, and consider a different approach to the result of J. Baars, J. de Groot, J. Pelant and V. Valov, which is based on the combination of two facts: Complete metrizability is preserved by ℓ_p -equivalence in the class of metric spaces (J. Baars, J. de Groot, J. Pelant). If X is completely metrizable and ℓ_p -equivalent to a first-countable Y , then Y is metrizable (V. Valov). Some additional results are presented.

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1. Introduction

All spaces considered in this article are assumed to be completely regular and Hausdorff. We use terminology and notation as in [10]. We say that a set A in a space X is *functionally bounded* in X if every continuous real-valued function on X is bounded on A . A space X is a μ -space if every closed functionally bounded subspace of X is compact. A *Polish space* is a separable completely metrizable space. The symbol ω denotes the smallest infinite ordinal (so ω is the set of all non-negative integers).

We denote by $C_p(X)$ and $C_c(X)$ the spaces of continuous real-valued functions on X endowed with the topology of pointwise convergence and the compact-open topology respectively. $L_p(X)$ is the topological dual of $C_p(X)$ endowed with the weak* topology. We assume that X is a subspace of $L_p(X)$ by virtue of the standard embedding $x \mapsto \hat{x}$ where $\hat{x}(f) = f(x)$ for each $f \in C_p(X)$.

Recall that Nagata's theorem states that if the topological rings $C_p(X)$ and $C_p(Y)$ are topologically isomorphic, then X and Y are homeomorphic, see [5]. This suggests the following problem, see [2].

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Two spaces X and Y are said to be t -equivalent (ℓ_p -equivalent) if the spaces $C_p(X)$ and $C_p(Y)$ are homeomorphic (linearly homeomorphic). We say that a topological property $\mathcal{P}$ is preserved by t -equivalence (ℓ_p -equivalence) if whenever two spaces X and Y are t -equivalent (ℓ_p -equivalent) and X has the property $\mathcal{P}$, Y has the property $\mathcal{P}$ too.

What topological properties are preserved by the relations of t -equivalence and ℓ_p -equivalence?

Clearly, a property $\mathcal{P}$ is preserved by the relation of t -equivalence (ℓ_p -equivalence) if and only if there is a dual topological (linear topological) property $\mathcal{Q}$ such that X has $\mathcal{P}$ if and only if $C_p(X)$ has $\mathcal{Q}$; in different words, if $\mathcal{P}$ “admits a description in terms of the (linear) topological structure of $C_p(X)$ ”.

We will say that two spaces X and Y are ℓ_c -equivalent if the spaces $C_c(X)$ and $C_c(Y)$ are linearly homeomorphic. Note that if X and Y are ℓ_p -equivalent and X is a μ -space, then the spaces X and Y are also ℓ_c -equivalent, see [4]. It is well known (by a combination of Milyutin's and Pestov's results, see [4, Theorem 3]) that $[0, 1]$ and $[0, 1] \times [0, 1]$ are ℓ_c -equivalent but not ℓ_p -equivalent. On the other hand, if X and Y are ℓ_p -equivalent and X is Dieudonné complete (in particular, if X is paracompact or realcompact), then X and Y are ℓ_c -equivalent, see [4, Theorem 1].

We say that a space X ℓ_c -covers a space Y if there is a continuous open linear mapping from $C_c(X)$ onto $C_c(Y)$. Clearly, if X and Y are ℓ_c -equivalent, then each of the two ℓ_c -covers the other.

There are many known results about preservation and non-preservation of various topological properties by t -equivalence and ℓ_p -equivalence; see, e.g., [4–6, 20, 27]. For example, metrizability, local compactness, the countability of weight, normality and paracompactness are not ℓ_p -invariant. On the other hand, hemicompactness, the property of being an $\aleph_0$ -space, the Lindelöf Σ -property, K -analyticity and analyticity are preserved by ℓ_p -equivalence.

We denote by $\mathbb{P}$ the space ω^ω endowed with the Tychonoff product topology (with all the factors discrete). We equip the space $\mathbb{P}$ with the natural partial order: $p \leq q$ if and only if $p(n) \leq q(n)$ for all $n \in \omega$. For an element p of $\mathbb{P}$ and a natural number k we denote by $p|k$ the finite sequence $(p(1), \dots, p(k))$. Given a finite sequence $\sigma = (\sigma_1, \dots, \sigma_n)$ of natural numbers, we denote by $W(\sigma)$ the set $\{p \in \mathbb{P} : p|n = \sigma\}$. Clearly, for every $p \in \mathbb{P}$, the family of sets $\{W(p|n) : n \in \omega\}$ is a base of open neighborhoods of p in $\mathbb{P}$.

A family of subspaces $\mathcal{R} = \{A_p : p \in \mathbb{P}\}$ of a space X is called a *resolution* of X if it covers X and $A_p \subset A_q$ whenever $p \leq q$. We say that a resolution $\mathcal{R}$ is *compact* if each element A_p of $\mathcal{R}$ is compact. If X is a topological vector space, we say that a resolution $\mathcal{R}$ of X is *bounded* if each element of $\mathcal{R}$ is bounded in X (that is, absorbed by any neighborhood of zero). A resolution $\mathcal{R}$ *swallows compact sets* if every compact subspace of X is contained in some element of $\mathcal{R}$.

As usual, a set-valued mapping $T : X \rightarrow Y$ is called *compact valued* if the set $T(x)$ is compact for every $x \in X$, and is *upper semicontinuous* if for every open set V in Y , the set $\{x \in X : T(x) \subset V\}$ is open. We abbreviate “compact valued upper semicontinuous” as “usco”. For a set $A \subset X$ we denote $T(A) = \bigcup \{T(x) : x \in A\}$, and we say that T is *onto* Y if $T(X) = Y$. We denote the family of all compact subspaces of a space X by $\mathcal{K}(X)$ (so compact-valued mappings to X are the same as functions to $\mathcal{K}(X)$).

In Section 2 we find a characterization of Lindelöf Σ -property of νX in terms of the linear topological structure of $C_p(X)$ and use it to show that if νX is a Lindelöf Σ -space or a K -analytic space and there exists a surjection from $L_p(X)$ onto $L_p(Y)$ that takes bounded sequences to bounded sequences, then νY is a Lindelöf Σ -space (respectively, K -analytic); we prove a similar statement for the Lindelöf Σ -property of νX and mappings between $C_p(X)$ and $C_p(Y)$. This supplements some earlier results of Okunev [18].

A. Arhangel'skii asks in [3, Problem 20] if a first-countable space Y which is ℓ_p -equivalent to a metrizable space X must also be metrizable. In [6, Theorem 3.3] J. Baars, J. de Groot and J. Pelant proved that complete metrizability is preserved by ℓ_p -equivalence in the class of metrizable spaces. They also gave an alternative proof for separable metrizable spaces by using Christensen's theorem (Theorem 1 below), [6, Theorem 5.1, Theorem 5.3]. Later on, Valov proved, using the results in [25], that the answer to Arhangel'skii's problem is positive for Čech-complete spaces Y , see [27, Corollary 4.6]. The combination of the above facts yields: *The property of being a completely metrizable space is preserved by the ℓ_p -equivalence for spaces satisfying the first axiom of countability.*

In Section 3 of this article we discuss the preservation of complete metrizability by ℓ_c -equivalence. We give a different proof of the preservation of complete metrizability in the case of ℓ_c -equivalent spaces X and Y where X is Polish and Y is first countable, and discuss the non-separable case. Note however that from Valov's quite technical [27, Corollary 4.6] it follows that if X is completely metrizable, Y is paracompact first countable, and there is a continuous surjection from $C_c(X)$ onto $C_c(Y)$, then Y is completely metrizable. Our approach is different and uses a property of $C_c(X)$ which is preserved by linear open maps and characterizes spaces X with a compact resolution swallowing compact sets (Theorem 14, Corollary 15). The importance of this concept stems from the following deep result of J.P.R. Christensen [8, Theorem 3.3].

Theorem 1. *A metrizable space X is Polish if and only if X admits a compact resolution swallowing compact sets.*

We partially extend Theorem 1 to the non-separable case, see Proposition 16 below, and we apply this extension to show that if a space Y is ℓ_c -covered by a completely metrizable space of weight κ , then Y admits a compact cover swallowing compact sets similar to a resolution. In particular, if Y is of pointwise countable type and X is Polish, then Y is separable and completely metrizable (Corollary 24). The separable case will be deduced from Theorem 21 showing that if X is an $\aleph_0$ -space and there is an open continuous linear mapping from $C_c(X)$ onto $C_c(Y)$, then Y is an $\aleph_0$ -space. Indeed, the latter fact and Theorem 1 apply to prove that if for a separable completely metrizable space X there exists a quotient linear map from $C_c(X)$ onto $C_c(Y)$ and Y is first countable, then Y is separable and completely metrizable.

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