



On the elements aa^\dagger and $a^\dagger a$ in a ring



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ABSTRACT

We study various functions, principal ideals and annihilators depending on the projections aa^\dagger and $a^\dagger a$ for a Moore–Penrose invertible ring element, extending recent work of O.M. Baksalary and G. Trenkler for matrices.

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1. Introduction

Throughout this paper, the symbol \mathcal{R} will denote a unital ring (1 will be its unit) with an involution. Let us recall that an *involution* in a ring \mathcal{R} is a map $a \mapsto a^*$ in \mathcal{R} such that $(a + b)^* = a^* + b^*$, $(ab)^* = b^*a^*$ and $(a^*)^* = a$, for any $a, b \in \mathcal{R}$.

We say that $a \in \mathcal{R}$ is *regular* if there exists $b \in \mathcal{R}$ such that $aba = a$. It can be proved that for any $a \in \mathcal{R}$, there is at most one $a^\dagger \in \mathcal{R}$ (called the *Moore–Penrose inverse* of a) such that

$$aa^\dagger a = a, \quad a^\dagger aa^\dagger = a^\dagger, \quad (aa^\dagger)^* = aa^\dagger, \quad (a^\dagger a)^* = a^\dagger a.$$

In [9] it was proved that any complex matrix has a unique Moore–Penrose inverse, however, let us notice that the proof given therein is valid to guarantee the uniqueness – if the Moore–Penrose inverse exists – in a ring with involution. If there exists such a^\dagger we will say that a is *Moore–Penrose invertible*. The subset of \mathcal{R} composed of all Moore–Penrose invertible elements will be denote by \mathcal{R}^\dagger . We write \mathcal{R}^{-1} for the set of all invertible elements in \mathcal{R} . The word *projection* will be reserved for an element q of \mathcal{R} which is self-adjoint and idempotent, that is $q^* = q = q^2$. A ring \mathcal{R} is called **-reducing* if every element a of \mathcal{R} obeys the implication $a^*a = 0 \Rightarrow a = 0$.

Let $x \in \mathcal{R}$ and let $p \in \mathcal{R}$ be an idempotent ($p = p^2$). Then we can write

$$x = pxp + px(1 - p) + (1 - p)xp + (1 - p)x(1 - p)$$

and use the notations

$$x_{11} = pxp, \quad x_{12} = px(1 - p), \quad x_{21} = (1 - p)xp, \quad x_{22} = (1 - p)x(1 - p).$$

Every projection $p \in \mathcal{R}$ induces a matrix representation which preserves the involution in \mathcal{R} , namely $x \in \mathcal{R}$ can be represented by means of the following matrix:

$$x = \begin{bmatrix} pxp & px(1 - p) \\ (1 - p)xp & (1 - p)x(1 - p) \end{bmatrix} = \begin{bmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{bmatrix}. \quad (1.1)$$

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The purpose of this paper is to study several ideals involving the projections aa^\dagger and $a^\dagger a$, when $a \in \mathcal{R}^\dagger$. We shall consider two kinds of ideals. The *principal ideals* (also called image ideals) generated by $b \in \mathcal{R}$ are defined by $b\mathcal{R} = \{bx : x \in \mathcal{R}\}$ and $\mathcal{R}b = \{xb : x \in \mathcal{R}\}$. The *annihilators* (also called kernel ideals) of $b \in \mathcal{R}$ are defined by $b^\circ = \{x \in \mathcal{R} : bx = 0\}$ and ${}^\circ b = \{x \in \mathcal{R} : xb = 0\}$. If \mathcal{R} is a ring with the unit and $p \in \mathcal{R}$, then it is quickly seen that $p\mathcal{R}p = \{pxp : x \in \mathcal{R}\}$ is a sub-ring whose unity is p . From now on, for an arbitrary projection p , we shall denote $\bar{p} = 1 - p$.

The following elementary lemma will be many times used in the sequel.

Lemma 1.1. *Let \mathcal{R} be a ring with involution and $a \in \mathcal{R}$. Then*

- (i) $a \in \mathcal{R}^\dagger \iff a^* \in \mathcal{R}^\dagger$. Furthermore, $(a^*)^\dagger = (a^\dagger)^*$.
- (ii) If $a \in \mathcal{R}^\dagger$, then $a^\dagger \in \mathcal{R}^\dagger$ and $(a^\dagger)^\dagger = a$.
- (iii) If $a \in \mathcal{R}^\dagger$, then $a^*a, aa^* \in \mathcal{R}^\dagger$ and $(a^*a)^\dagger = a^\dagger(a^*)^\dagger, (aa^*)^\dagger = (a^*)^\dagger a^\dagger, a^\dagger = (a^*a)^\dagger a^* = a^*(aa^*)^\dagger, a^* = a^\dagger aa^* = a^*aa^\dagger$.
- (iv) If \mathcal{R} is $*$ -reducing, then $a^*a \in \mathcal{R}^\dagger \Rightarrow a \in \mathcal{R}^\dagger$ and $aa^* \in \mathcal{R}^\dagger \Rightarrow a \in \mathcal{R}^\dagger$.

Proof. It is evident that (i)–(iii) hold. We will prove only the first implication of (iv) since to prove the other one, it is sufficient to make the same argument for a^* instead of a . Assume that $a^*a \in \mathcal{R}^\dagger$ and let $x = (a^*a)^\dagger a^*$. Observe that the Moore–Penrose inverse of a selfadjoint Moore–Penrose invertible element is again self-adjoint, and thus, $(a^*a)^\dagger$ is self-adjoint. Now $(ax)^* = [a(a^*a)^\dagger a^*]^* = a(a^*a)^\dagger a^* = ax; xa = (a^*a)^\dagger a^*a$ is selfadjoint; $xax = (a^*a)^\dagger a^*a(a^*a)^\dagger a^* = (a^*a)^\dagger a^* = x$. Finally, $a^*axa = a^*a(a^*a)^\dagger a^*a = a^*a$, and since \mathcal{R} is $*$ -reducing, we get $axa = a$. \square

A consequence of Lemma 1.1 is that

$$\text{if } x \in \mathcal{R}^\dagger \text{ is self-adjoint, then } xx^\dagger = x^\dagger x. \tag{1.2}$$

For the class of Moore–Penrose invertible elements $x \in \mathcal{R}$ such that $xx^\dagger = x^\dagger x$, the reader is referred to [3].

2. Group inverses

Let \mathcal{R} be a ring (possibly without an involution). If $a \in \mathcal{R}$, then there is at most one $x \in \mathcal{R}$ such that

$$axa = a, \quad xax = x, \quad ax = xa.$$

When such x exists, we will write $x = a^\#$ and we will say that x is the *group inverse* of a and a is *group invertible*. The symbol $\mathcal{R}^\#$ will denote the set of all group invertible elements of \mathcal{R} .

In this paragraph, let F be a square complex matrix. In [1, p. 10215] it was given a list of several equivalent conditions (involving the orthogonal projectors FF^\dagger and $F^\dagger F$) for F to have the group inverse. The proof given therein relies in rank matrix theory and a matrix decomposition given by Hartwig and Spindelböck [4]. However, as we shall see, many of these equivalences can be stated in a ring setting, and proved by algebraic reasonings.

We shall use the following result [10, Prop. 8.22], whose proof is included for the convenience of the reader and which implies that in a commutative ring, group invertibility is the same as the existence of a generalized inverse.

Theorem 2.1. *Let \mathcal{R} be a unital ring and $a \in \mathcal{R}$. Then a is group invertible if and only if there exist $x, y \in \mathcal{R}$ such that $a^2x = a$ and $ya^2 = a$.*

Proof. If $a \in \mathcal{R}^\#$ we have $a^2a^\# = a = a^\#a^2$.

Reciprocally, assume that there exist $x, y \in \mathcal{R}$ such that $a^2x = a$ and $ya^2 = a$. We will prove $yax = a^\#$. First, let us see that $ax = ya^2x = ya$. Now, $a(yax) = a(ya)x = a^2x^2 = ax$ and $(yax)a = y(ax)a = y^2a^2 = ya$ implies that $a(yax) = (yax)a$. Finally $a(yax)a = ya^2 = a$ and $(yax)a(yax) = yayax = yax$. \square

Obviously, Theorem 2.1 implies that in a commutative ring, group invertibility is the same as regularity.

Observe that under the hypothesis of Theorem 2.1, one has

$$a^2x = a \text{ and } ya^2 = a \Rightarrow a^\# = yax. \tag{2.1}$$

Let us notice that by Theorem 2.1 one can deduce that for $a \in \mathcal{R}$,

$$a \in \mathcal{R}^\# \iff a\mathcal{R} = a^2\mathcal{R} \text{ and } \mathcal{R}a = \mathcal{R}a^2.$$

This latter equivalence can be viewed as a ring version of “for a matrix $F \in \mathbb{C}_{n,n}$, there exists $F^\#$ if and only if $\text{rank}(F^2) = \text{rank}(F)$ ” (see [5, Section 4.4]).

It was mentioned in [1, p. 10215] that for a given square complex matrix F , there exists $F^\#$ if and only if $\mathcal{R}(F) \cap \mathcal{N}(F) = \{0\}$, where $\mathcal{R}(\cdot)$ and $\mathcal{N}(\cdot)$ denotes, respectively, the column space and the null space of a matrix. Let us notice

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