



# Adjacent vertex distinguishing indices of planar graphs without 3-cycles



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## ABSTRACT

Given a proper edge  $k$ -coloring  $\phi$  and a vertex  $v \in V(G)$ , let  $C_\phi(v)$  denote the set of colors used on edges incident to  $v$  with respect to  $\phi$ . The adjacent vertex distinguishing index of  $G$ , denoted by  $\chi'_a(G)$ , is the least value of  $k$  such that  $G$  has a proper edge  $k$ -coloring which satisfies  $C_\phi(u) \neq C_\phi(v)$  for any pair of adjacent vertices  $u$  and  $v$ . In this paper, we show that if  $G$  is a connected planar graph with maximum degree  $\Delta \geq 12$  and without 3-cycles, then  $\Delta \leq \chi'_a(G) \leq \Delta + 1$ , and  $\chi'_a(G) = \Delta + 1$  if and only if  $G$  contains two adjacent vertices of maximum degree. This extends a result in Edwards et al. (2006), which says that if  $G$  is a connected bipartite planar graph with  $\Delta \geq 12$  then  $\chi'_a(G) \leq \Delta + 1$ .

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## 1. Introduction

Only simple and finite graphs are considered in this paper. A *plane* graph is a particular drawing of a planar graph on the Euclidean plane. Let  $V(G)$ ,  $E(G)$ ,  $F(G)$ ,  $\Delta(G)$  (for short  $\Delta$ ),  $\delta(G)$ , and  $g(G)$  denote the vertex set, the edge set, the face set, the maximum degree, the minimum degree, and the girth of a given plane graph  $G$ , respectively. A *proper edge  $k$ -coloring* of a graph  $G$  is a mapping  $\phi : E(G) \rightarrow \{1, 2, \dots, k\}$  such that  $\phi(e) \neq \phi(e')$  for any two adjacent edges  $e$  and  $e'$ . We use  $C_\phi(v)$  to denote the set of colors assigned to edges incident to a vertex  $v$ , i.e.,  $C_\phi(v) = \{\phi(uv) \mid uv \in E(G)\}$ . The coloring  $\phi$  is called an *adjacent vertex distinguishing edge coloring* or an *avd-coloring*, if  $C_\phi(u) \neq C_\phi(v)$  for any pair of adjacent vertices  $u$  and  $v$ . Two adjacent vertices  $u$  and  $v$  are *conflict* if  $C_\phi(u) = C_\phi(v)$ . If there is no confusion in the context, we usually omit the letter  $\phi$  in  $C_\phi(v)$ . A graph  $G$  is *normal* if it contains no isolated edges. Clearly,  $G$  has an avd-coloring if and only if  $G$  is normal. Thus, we always assume that  $G$  is normal in the following. The *adjacent vertex distinguishing chromatic index*  $\chi'_a(G)$  of a graph  $G$  is the smallest integer  $k$  such that  $G$  has a  $k$ -avd-coloring.

Zhang, Liu and Wang [12] first introduced and investigated the adjacent vertex distinguishing edge coloring of graphs (named as *adjacent strong edge coloring*). Based on the argument for some special classes of graphs, they proposed the following challenging conjecture:

**Conjecture 1.** *If  $G$  is a connected graph with  $|V(G)| \geq 3$  and  $G \neq C_5$ , then  $\chi'_a(G) \leq \Delta + 2$ .*

Balister et al. [1] confirmed Conjecture 1 for all bipartite graphs and graphs with  $\Delta = 3$ , and proved that if  $G$  is a normal graph with the (vertex) chromatic number  $k$ , then  $\chi'_a(G) \leq \Delta + O(\log k)$ . Using a powerful probabilistic method, Hatami [4] showed that every graph  $G$  with  $\Delta > 10^{20}$  has  $\chi'_a(G) \leq \Delta + 300$ . Edwards et al. [3] proved that  $\chi'_a(G) \leq \Delta + 1$  if  $G$  is

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a bipartite planar graph with  $\Delta \geq 12$ . Wang and Wang [8] verified [Conjecture 1](#) for the class of graphs with the smaller maximum average degree, and their results were further extended by Hocquard and Montassier [5,6]. More recently, it was characterized in [9] that the adjacent vertex distinguishing chromatic index of a  $K_4$ -minor-free graph  $G$  with  $\Delta \geq 5$  is equal to  $\Delta$  or  $\Delta + 1$ . For planar graphs, Horňák et al. [7] proved that  $\chi'_a(G) \leq \Delta + 2$  for planar graphs with maximum degree at least 12. Bu et al. [2] showed that if  $G$  is a planar graph with  $g(G) \geq 6$ , then  $\chi'_a(G) \leq \Delta + 2$ . This result was improved to the case  $g(G) \geq 5$  [10]. Further, it was shown in [11] that if  $G$  is a planar graph with  $g(G) \geq 4$  and  $\Delta \geq 6$ , then  $\chi'_a(G) \leq \Delta + 2$ .

In this paper, we will determine the adjacent vertex distinguishing chromatic indices of planar graphs with  $\Delta \geq 12$  and without 3-cycles, which reinforces obviously the result of [3]. More precisely, we show the following.

**Theorem 2.** *Suppose that  $G$  is a connected planar graph without 3-cycles.*

(A) *Let  $T(G) = \max\{12, \Delta + 1\}$ , then  $\chi'_a(G) \leq T(G)$ .*

(B) *If  $\Delta \geq 12$ , then  $\Delta \leq \chi'_a(G) \leq \Delta + 1$ , and  $\chi'_a(G) = \Delta + 1$  if and only if  $G$  contains two adjacent vertices of degree  $\Delta$ .*

To obtain our main result, we need to introduce some notations. Suppose that  $H$  is a subgraph of a given plane graph  $G$ . For  $x \in V(H) \cup F(H)$ , let  $d_H(x)$  denote the degree of  $x$  in  $H$ . For a face  $f \in F(H)$ , we use  $b(f)$  to denote the boundary walk of  $f$  and write  $f = [u_1 u_2 \dots u_m]$  if  $u_1, u_2, \dots, u_m$  are the vertices of  $b(f)$  in clockwise order. Repeated occurrences of a vertex are allowed. A vertex of degree  $k$  (at least  $k$ , at most  $k$ , resp.) is called a  $k$ -vertex ( $k^+$ -vertex,  $k^-$ -vertex, resp.). We use  $N_k^H(v)$  to denote the set of  $k$ -vertices adjacent to  $v$  in  $H$ , and  $n_k^H(v) = |N_k^H(v)|$ . Similarly, we can define  $n_{k^+}^H(v)$  and  $n_{k^-}^H(v)$ . Let  $m_k^H(f)$  denote the number of  $k$ -vertices incident to a face  $f$ . If there is no confusion, we usually omit the letter  $G$  in  $d_G(x)$ ,  $n_k^G(x)$ ,  $n_{k^+}^G(x)$  and  $n_{k^-}^G(x)$ . For two positive integers  $p, q$  with  $p < q$ , we use  $[p, q]$  to denote the set of all integers between  $p$  and  $q$  (including  $p$  and  $q$ ).

## 2. Proof of Theorem 2(A)

### 2.1. Unavoidable configurations

Our proof proceeds by *reductio ad absurdum*. Assume that  $G$  is a counterexample to [Theorem 2\(A\)](#) such that  $|V(G)| + |E(G)|$  is as small as possible. Obviously,  $G$  is connected. We may assume that  $\Delta \geq 4$  by a result in [1].

With a similar argument as for Claims 1–3 in [11], we can derive the following [Claims 1–3](#).

**Claim 1.** *No 1-vertex is adjacent to a  $6^-$ -vertex.*

**Remark 1.** By [Claim 1](#), for any edge  $e \in E(G)$ ,  $G - e$  is a normal planar graph without 3-cycles, and henceforth  $\chi'_a(G - e) \leq T(G - e) \leq T(G)$  by the minimality of  $G$ .

**Claim 2.** *No  $k$ -vertex, with  $2 \leq k \leq 3$ , is adjacent to at least two  $k$ -vertices.*

A  $k$ -vertex  $v$ ,  $2 \leq k \leq 3$ , is called *bad* if it is adjacent to exactly one  $k$ -vertex. A 4-cycle  $x_1 x_2 x_3 x_4 x_1$  is called *bad* if  $d(x_1) = d(x_3) = 2$ .

**Claim 3.** *No 2-vertex is adjacent to a 3-vertex.*

In what follows, we say that a recoloring for  $E(G)$  is *reasonable* if it gives a proper edge coloring and no pairs of adjacent conflicting vertices are produced. Let  $C = \{1, 2, \dots, T(G)\}$  denote a set of  $T(G)$  colors.

**Claim 4.** (1) *Every edge  $uv$  with  $d(u) = d(v) = 2$  is in a 4-cycle.*

(2) *No 5-cycle contains three 2-vertices.*

**Proof.** (1) Assume to the contrary that  $uv$  is not in any 4-cycle. Let  $u_1$  (resp.,  $v_1$ ) be the neighbor of  $u$  (resp.,  $v$ ) other than  $v$  (resp.,  $u$ ). Then  $u_1 \neq v_1$  and  $u_1 v_1 \notin E(G)$  by the assumption. By [Claims 1](#) and [2](#),  $d(u_1), d(v_1) \geq 3$ . Let  $H = G - v + uv_1$ . Then  $H$  is a simple normal planar graph without 3-cycles, which admits a  $T(G)$ -avd-coloring  $\phi$  with the color set  $C$  by the minimality of  $G$ . Note that  $\phi(uu_1) \neq \phi(uv_1)$ . We extend  $\phi$  to the whole graph  $G$  by coloring  $vv_1$  with  $\phi(uv_1)$  and  $uv$  with a color in  $C \setminus \{\phi(uu_1), \phi(uv_1)\}$ .

(2) Assume to the contrary that  $G$  contains a 5-cycle  $v_1 v_2 \dots v_5 v_1$  such that  $d(v_1) = d(v_2) = d(v_4) = 2$  by [Claim 2](#). By [Claim 4\(1\)](#),  $v_1 v_2$  is in some 4-cycle, i.e.,  $v_3 v_5 \in E(G)$ . It follows that  $G$  contains a 3-cycle  $v_3 v_4 v_5 v_3$ , contradicting the assumption.  $\square$

**Claim 5.** *There is no edge  $uv \in E(G)$  such that  $d(u) \leq 3$  and  $4 \leq d(v) \leq 5$ .*

**Proof.** Assume to the contrary that  $G$  contains such an edge  $uv$ . Then  $G - uv$  has a  $T(G)$ -avd-coloring  $\phi$  using the color set  $C$  by [Remark 1](#). Note that  $u$  has at most one conflict vertex by [Claim 2](#) and  $v$  has at most four conflict vertices. Since  $|C| \geq 12$  and  $|C| - (d(u) - 1) - (d(v) - 1) \geq 6$ , we may color  $uv$  with a color in  $C \setminus (C(u) \cup C(v))$  such that neither  $u$  nor  $v$  conflicts with its neighbors.  $\square$

In the proof of [Claims 6–9](#) and [11](#), we always assume that  $v$  is a  $k$ -vertex with neighbors  $v_1, v_2, \dots, v_k$  such that  $d(v_1) \leq d(v_2) \leq \dots \leq d(v_k)$ . If  $d(v_i) = 2$ , we use  $u_i$  to denote the neighbor of  $v_i$  different from  $v$ .

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