



## Note

The  $k$ -restricted edge connectivity of undirected Kautz graphs<sup>☆</sup>Shiying Wang<sup>\*</sup>, Shangwei Lin

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## ABSTRACT

The  $k$ -restricted edge connectivity is a more refined network reliability index than edge connectivity. In this paper, we study the undirected Kautz graph  $UK(d, n)$ , an important model of networks, give an upper bound on the  $k$ -restricted edge connectivity of  $UK(d, n)$  for some small  $k$  and determine the 4-restricted edge connectivity of  $UK(2, n)$ .

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## 1. Introduction

For graph-theoretical terminology and notation not defined here we follow [4]. It is well known that the underlying topology of a processor interconnection network or a communications network can be modeled by a graph  $G = (V, E)$ , where the vertex set  $V$  corresponds to processors or switching elements and the edge set  $E$  corresponds to communication links. The Kautz graph [3,8,15] has been widely used in the design and analysis of interconnection networks. It can be defined as follows. The Kautz digraph, denoted by  $K(d, n)$ , where  $d, n$  are two given integers,  $d \geq 1, n \geq 2$ , has the vertex set  $V = \{x_1x_2 \cdots x_n : x_i \in \{0, 1, \dots, d\}, x_{i+1} \neq x_i, i = 1, 2, \dots, n-1\}$ , and the arc set  $A$ , where for every pair of vertices  $x, y \in V$ , if  $x = x_1x_2 \cdots x_n$ , then  $(x, y) \in A \Leftrightarrow y = x_2x_3 \cdots x_{n+1}, x_{n+1} \in \{0, 1, \dots, d\} - \{x_n\}$ . Define  $K(d, 1)$  as a complete digraph of order  $(d+1)$ . Clearly,  $K(d, n)$  is  $d$ -regular, i.e., for any  $x \in V(K(d, n))$ , both its out-degree  $d^+(x)$  and its in-degree  $d^-(x)$  are  $d$ . The undirected Kautz graph, denoted by  $UK(d, n)$ , is obtained from  $K(d, n)$  by deleting the orientation of all arcs and keeping one edge of a pair of multiple edges.

As a more refined index than the edge connectivity, the  $k$ -restricted edge connectivity was proposed in [5,6]. A set of edges  $S$  in a connected graph  $G$  is called a  $k$ -restricted edge cut if  $G - S$  is disconnected and every component of  $G - S$  has at least  $k$  vertices. The  $k$ -restricted edge connectivity of  $G$ , denoted by  $\lambda_k(G)$ , is defined as the cardinality of a minimum  $k$ -restricted edge cut. A connected graph  $G$  is said to be  $\lambda_k$ -connected if  $\lambda_k(G)$  exists. It is easy to see that if  $G$  is  $\lambda_k$ -connected for  $k \geq 2$ , then  $G$  is also  $\lambda_{k-1}$ -connected and  $\lambda_{k-1}(G) \leq \lambda_k(G)$ . In view of recent studies on  $k$ -restricted edge connectivity, it seems that the larger the  $\lambda_k(G)$ , the more reliable the network [11,10,16]. So, we expect  $\lambda_k(G)$  to be as large as possible. Clearly, the optimization of  $\lambda_k(G)$  requires an upper bound first. For subsets  $U$  and  $U'$  of  $V(G)$ , we denote by  $[U, U']$  the set of edges with one end in  $U$  and the other in  $U'$ . For any positive integer  $k$ , let  $\xi_k(G) = \min\{|[X, \bar{X}]| : X \subset V(G), |X| = k, G[X] \text{ is connected}\}$ , where  $\bar{X} = V(G) \setminus X$  and  $G[X]$  is the subgraph of  $G$  induced by  $X$ . It has been shown that  $\lambda_k(G) \leq \xi_k(G)$  holds for many graphs [5,12,19]. A connected graph  $G$  for which  $\lambda_k(G) \leq \xi_k(G)$  holds is called a  $\lambda_k$ -optimal graph if  $\lambda_k(G) = \xi_k(G)$ . Sufficient conditions for graphs to be  $\lambda_k$ -connected or  $\lambda_k$ -optimal were given by several authors [5,6,12,19,1,2,9,18,20]. In particular, the  $\lambda_k$ -optimality of undirected Kautz graphs has attracted much attention recently. In 2004, Ou and Zhang [14] proved that

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for  $n \geq 3$ ,  $UK(2, n)$  is  $\lambda_2$ -optimal. In the same year, Fan [7] proved that for  $n \geq 2$ ,  $UK(3, n)$  is  $\lambda_2$ -optimal. In 2005, Wang and Lin [17] showed that for  $d \geq 3$ ,  $n \geq 2$ ,  $UK(d, n)$  is  $\lambda_2$ -optimal. In 2007, Ou et al. [13] showed the following.

**Proposition 1.1** ([13]). *The undirected Kautz graph  $UK(2, n)$  is  $\lambda_3$ -optimal when  $n \geq 3$ , that is,  $\lambda_3(UK(2, n)) = \xi_3(UK(2, n)) = 6$ .*

In this paper, we give an upper bound on  $\lambda_k(UK(d, n))$  for some small  $k$  and show that  $UK(2, n)$  is  $\lambda_4$ -optimal when  $n \geq 4$ .

## 2. Preliminaries

We begin with the basic structure and some useful properties of Kautz graphs. Clearly,  $|V(UK(d, n))| = d^n + d^{n-1}$ . A vertex  $x = x_1x_2 \cdots x_n$  of  $UK(d, n)$  is called a binary vertex if  $x_1 = x_3 = \cdots = a \neq b = x_2 = x_4 = \cdots$ . Two binary vertices  $x = x_1x_2 \cdots x_n, y = y_1y_2 \cdots y_n$  are said to be symmetric if  $x_1 = y_2$  and  $x_2 = y_1$ . It is easy to see that  $x, y$  are symmetric binary vertices if and only if  $xyx$  is a directed 2-cycle in  $K(d, n)$ . Combining this with the definition of  $UK(d, n)$ , we have the following.

**Lemma 2.1.** *For  $d \geq 2, n \geq 2$ ,  $UK(d, n)$  has the minimum degree  $2d - 1$ , while the maximum degree is  $2d$  for  $n \geq 3$  and  $2d - 1$  for  $n = 2$ . Furthermore, the degree  $d(x)$  of the vertex  $x$  is  $2d - 1$  if and only if  $x$  is a binary vertex.*

For  $d \geq 2, n \geq 3$ , a vertex  $x = x_1x_2 \cdots x_n$  of  $UK(d, n)$  is called a trinary vertex if there exist distinct  $a, b, c \in \{0, 1, \dots, d\}$  such that  $x_1 = x_4 = \cdots = a, x_2 = x_5 = \cdots = b, x_3 = x_6 = \cdots = c$ . Two trinary vertices  $x = x_1x_2 \cdots x_n, y = y_1y_2 \cdots y_n$  are said to be consistent if either  $y_1 = x_2, y_2 = x_3, y_3 = x_1$  or  $x_1 = y_2, x_2 = y_3, x_3 = y_1$ .

For a vertex  $x$  of  $UK(d, n)$ , denote by  $N^+(x)$  and  $N^-(x)$  the out-neighbourhood and in-neighbourhood of  $x$  in  $K(d, n)$ , respectively. For three distinct vertices  $x, y, z$  of  $UK(d, n)$ ,  $xyzx$  is called a triangle of  $UK(d, n)$  if  $xy, yz, zx$  are edges of  $UK(d, n)$ .

**Lemma 2.2.** *For  $d \geq 2, n \geq 3$ ,  $xyzx$  is a triangle of  $UK(d, n)$  if and only if  $x, y, z$  are pairwise consistent trinary vertices.*

**Proof.** Suppose that  $x = x_1x_2 \cdots x_n, y = y_1y_2 \cdots y_n, z = z_1z_2 \cdots z_n$  are pairwise consistent trinary vertices of  $UK(d, n)$ . Since both  $x, y$  and  $x, z$  are consistent, without loss of generality, we can assume that  $y_1 = x_2, y_2 = x_3, y_3 = x_1$  and  $x_1 = z_2, x_2 = z_3, x_3 = z_1$ . Combining this with the fact that  $x, y, z$  are trinary, it follows that  $y_i = x_{i+1}, z_i = y_{i+1}, x_i = z_{i+1}$  for  $i = 1, 2, \dots, n - 1$ . By definition,  $xyzx$  is a directed triangle of  $K(d, n)$  and so a triangle of  $UK(d, n)$ .

Now suppose conversely that  $xyzx$  is a triangle in  $UK(d, n)$ . Without loss of generality, assume  $y \in N^+(x)$ . Since any two vertices in  $N^+(x)$  are not adjacent and  $y, z$  are adjacent, we have  $z \in N^-(x)$ , that is,  $x \in N^+(z)$ . Similarly, we have  $y \in N^-(z)$ . It follows that  $xyzx$  is a directed triangle in  $K(d, n)$ . By the definition of  $K(d, n)$ , we have that  $x, y, z$  are pairwise consistent trinary vertices.  $\square$

For  $d \geq 2, n \geq 3$ , let  $x = x_1x_2 \cdots x_n$  be a vertex of  $UK(d, n)$ , and let  $x^{(1)} = x_1x_2 \cdots x_{n-1}, x^{(2)} = x_2x_3 \cdots x_n$ . Then  $x^{(1)}, x^{(2)}$  are vertices of  $UK(d, n - 1)$  and  $x^{(1)}x^{(2)}$  is an edge of  $UK(d, n - 1)$ . For a path  $P = u_0u_1u_2 \cdots u_k$  of  $UK(d, n)$ , denote by  $G(P)$  the subgraph of  $UK(d, n - 1)$  with vertex set  $\cup_{i=0}^k \{u_i^{(1)}, u_i^{(2)}\}$  and edge set  $\cup_{i=0}^k \{u_i^{(1)}u_i^{(2)}\}$ . Since  $u_i$  and  $u_{i+1}$  are adjacent, we have  $\{u_i^{(1)}, u_i^{(2)}\} \cap \{u_{i+1}^{(1)}, u_{i+1}^{(2)}\} \neq \emptyset, i = 0, 1, \dots, k - 1$ .

**Lemma 2.3.** *For  $d \geq 2, n \geq 3$ , let  $P = u_0u_1 \cdots u_k$  be a path of  $UK(d, n)$ . Then  $G(P)$  is a connected subgraph of  $UK(d, n - 1)$  with at most  $k + 1$  edges.*

**Proof.** By induction on the length  $k$  of  $P$ . This is clearly true for  $k = 0$ . Suppose, then, that the lemma holds for any path of  $UK(d, n)$  with length less than  $k$ , where  $k \geq 1$ . Since  $\{u_{k-1}^{(1)}, u_{k-1}^{(2)}\} \cap \{u_k^{(1)}, u_k^{(2)}\} \neq \emptyset$ , we can assume, without loss of generality,  $u_{k-1}^{(2)} = u_k^{(1)}$ . Let  $P' = u_0u_1 \cdots u_{k-1}$ . Then  $V(G(P)) = V(G(P')) \cup \{u_k^{(2)}\}$  and  $E(G(P)) = E(G(P')) \cup \{u_{k-1}^{(2)}u_k^{(2)}\}$ . By the induction hypothesis,  $G(P')$  is a connected subgraph of  $UK(d, n - 1)$  with at most  $k$  edges. It follows that  $G(P)$  is a connected subgraph of  $UK(d, n - 1)$  with at most  $k + 1$  edges. The proof is complete.  $\square$

**Lemma 2.4.** (a) *For  $d \geq 2, n \geq 2$ , let  $x, y$  be two binary vertices of  $UK(d, n)$ . If they are not symmetric, then the distance between them is at least  $n - 1$ , that is,  $d(x, y) \geq n - 1$ .*

(b) *For  $d \geq 2, n \geq 3$ , let  $x, y$  be two trinary vertices of  $UK(d, n)$ . If they are not consistent, then  $d(x, y) \geq n - 1$ .*

**Proof.** First, we prove Part (a). Clearly, Part (a) is true for  $n = 2$ . Suppose Part (a) fails and we take the minimal  $n \geq 3$  for which there are two non-symmetric binary vertices  $x, y$  in  $UK(d, n)$  with  $d(x, y) = m \leq n - 2$ . Since  $x, y$  are not symmetric, we have  $m \geq 2$ . Let  $P = u_0u_1 \cdots u_m$  be a shortest path from  $x$  to  $y$ , where  $u_0 = x, u_m = y$ , and let  $Q = u_1 \cdots u_{m-1}$ . Since  $u_0u_1, u_{m-1}u_m \in E(UK(d, n))$ , it follows that  $\{u_i^{(1)}, u_i^{(2)}\} \cap \{u_{i+1}^{(1)}, u_{i+1}^{(2)}\} \neq \emptyset, i = 0, m - 1$ . So, we can assume, without loss of generality, that  $u_0^{(2)} \in \{u_1^{(1)}, u_1^{(2)}\}, u_m^{(1)} \in \{u_{m-1}^{(1)}, u_{m-1}^{(2)}\}$ , which implies that  $u_0^{(2)}, u_m^{(1)}$  are two vertices in  $G(Q)$ . By Lemma 2.3,  $G(Q)$  is a connected subgraph of  $UK(d, n - 1)$  with at most  $m - 1 \leq n - 3$  edges. It follows that the distance between  $u_0^{(2)}$  and  $u_m^{(1)}$  is at most  $n - 3$ . On the other hand, since  $u_0, u_m$  are two non-symmetric binary vertices in  $UK(d, n)$ , it follows that  $u_0^{(2)}, u_m^{(1)}$  are two non-symmetric binary vertices in  $UK(d, n - 1)$ . By the minimality of  $n, d(u_0^{(2)}, u_m^{(1)}) \geq (n - 1) - 1 = n - 2$ , a contradiction completing the proof of Part (a). Similarly, we can prove Part (b).  $\square$

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