



Separation Algorithm for Tree Partitioning Inequalities

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Abstract

We consider the tree partition problem to partition the node set of a tree into subsets where the induced subgraph by each subset is connected and the total weight of nodes in a subset cannot exceed the capacity of the subset. We identify exponentially many valid inequalities for an integer programming formulation of the problem and develop a linear time separation algorithm for the valid inequalities.

Keywords: tree partitioning, integer programming, valid inequality, separation algorithm

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1 Introduction

Given a tree $G = (V, E)$, the *tree partition problem* into at most k sub-trees is to partition the node set V into k subsets N_1, \dots, N_k at minimum total cost of inner-subset edges such that the induced graph by each non-empty subset is connected (subsets N_i may be empty.) We assume each subset N_i has its own capacity U_i . That is, the sum of the weights w_v of the nodes v in each subset N_i cannot exceed U_i . Assuming a uniform capacity $U_i = U$ for all i , Lukes [4] developed a pseudo-polynomial time dynamic programming algorithm. In our paper we assume that capacities U_i may be different from each other.

We formulate the tree partition problem as an integer programming problem. A partition $\Pi = (N_1, \dots, N_k)$ is encoded into a binary incidence vector (x, y) where $x_{vt} = 1$ if node $v \in N_t$ for $v \in V, t \in \{1, \dots, k\}$, and $y_e = 1$ if edge e is not cut by the partition for $e \in E$; i.e., e is an inner-subset edge. We refer to y_e as an *edge variable*. The objective is to minimize the total cost of uncut (inner-subset) edges, $\sum_{e \in E} c_e y_e$ where c_e is the cost of edge e .

Without the connectivity restriction of each subset, the convex hull of the feasible binary incidence vectors is known in [2] to be spanned by the integer solutions which satisfy the following system of linear constraints:

- (1) $(x, y) \geq 0$,
- (2) $\sum_{t=1}^k x_{vt} = 1$ for all nodes v ,
- (3) $x_{ut} + x_{wt} - y_{uw} \leq 1$ for all edges uw and all $t = 1, \dots, k$,
- (4) $\left. \begin{matrix} x_{ut} - x_{wt} + y_{uw} \leq 1 \\ -x_{ut} + x_{wt} + y_{uw} \leq 1 \end{matrix} \right\}$ for all edges uw and all $t = 1, \dots, k$,
- (5) $\sum_{u \in V} w_u x_{ut} \leq U_t$ for all $t = 1, \dots, k$.

We refer to (2) as *partitioning equations*, (3) as *edge inequalities*, the pair of inequalities in (4) as *arc inequalities* and (5) as *capacity inequalities*, respectively.

Additional constraints are required for the induced subgraph by each subset N_i to be connected. The *path inequalities* are defined to be

(6) $x_{ut} + x_{vt} - x_{ht} \leq 1$ for all t and for all $u \neq v \in V$ and all $h \in \text{Path}(u, v)$,

where $\text{Path}(u, v)$ denotes the set of intermediate vertices in the path from u to v ($\text{Path}[u, v]$ denotes the set of vertices in the path including u and v .) Note that the number of the path inequalities is $O(kn^3)$ in an arbitrary tree and

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