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On crown-free families of subsets

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ABSTRACT

The crown \mathcal{O}_{2t} is a height-2 poset whose Hasse diagram is a cycle of length $2t$. A family \mathcal{F} of subsets of $[n] := \{1, 2, \dots, n\}$ is \mathcal{O}_{2t} -free if \mathcal{O}_{2t} is not a weak subposet of (\mathcal{F}, \subseteq) . Let $\text{La}(n, \mathcal{O}_{2t})$ be the largest size of \mathcal{O}_{2t} -free families of subsets of $[n]$. De Bonis–Katona–Swanepoel proved $\text{La}(n, \mathcal{O}_4) = \binom{n}{\lfloor \frac{n}{2} \rfloor} + \binom{n}{\lceil \frac{n}{2} \rceil}$. Griggs and Lu proved that $\text{La}(n, \mathcal{O}_{2t}) = (1 + o(1)) \binom{n}{\lfloor \frac{n}{2} \rfloor}$ for all even $t \geq 4$. In this paper, we prove $\text{La}(n, \mathcal{O}_{2t}) = (1 + o(1)) \binom{n}{\lfloor \frac{n}{2} \rfloor}$ for all odd $t \geq 7$.

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1. Introduction

We are interested in estimating the maximum size of a family of subsets of the n -set $[n] := \{1, \dots, n\}$ avoiding a given (weak) subposet P . The first of this kind result is Sperner's theorem from 1928 [19], which determined that the maximum size of an antichain in the Boolean lattice $\mathcal{B}_n := (2^{[n]}, \subseteq)$ is $\binom{n}{\lfloor \frac{n}{2} \rfloor}$.

For partially ordered sets, (Posets) $P = (P, \leq)$ and $P' = (P', \leq')$, we say P' is a *weak subposet of P* if there exists an injection $f: P' \rightarrow P$ that preserves the partial ordering, meaning that whenever $u \leq' v$ in P' , we have $f(u) \leq f(v)$ in P (see [20]). Throughout

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the paper, we mean (weak) subposet. The *height* $h(P)$ of poset P is the maximum size over all chains in P .

We will view a family \mathcal{F} of subsets of $[n]$ as a subposet of \mathcal{B}_n . If \mathcal{F} contains no subposet P , we say \mathcal{F} is P -free. We are interested in determining the largest size of a P -free family of subsets of $[n]$, denoted $\text{La}(n, P)$.

In this notation, Sperner’s theorem [19] gives that $\text{La}(n, \mathcal{P}_2) = \binom{n}{\lfloor \frac{n}{2} \rfloor}$, where \mathcal{P}_k denotes the path poset on k points, usually called a chain of size k . Let $\mathcal{B}(n, k)$ be the middle k levels in the Boolean lattice \mathcal{B}_n and $\Sigma(n, k) := |\mathcal{B}(n, k)|$. Erdős [10] proved that $\text{La}(n, \mathcal{P}_k) = \sum(n, k-1)$. Let diamonds \mathcal{D}_k be the poset consisting of $A < B_1, \dots, B_k < C$ and harps $\mathcal{H}(l_1, l_2, \dots, l_k)$ (assuming $l_1 < l_2 < \dots < l_k$) be the posets obtained from chains $\mathcal{P}_{l_1}, \mathcal{P}_{l_2}, \dots, \mathcal{P}_{l_k}$ with their top elements identified and their bottom elements identified. Griggs–Li–Lu [15] showed that the similar results hold for some diamonds \mathcal{D}_k ($k = 3, 4, 7, 8, 9, 15, 16, \dots$) and all harps $\mathcal{H}(l_1, l_2, \dots, l_k)$.

For any poset P , we define $e(P)$ to be the maximum m such that for all n , the union of the m middle levels $\mathcal{B}(n, m)$ does not contain P as a subposet. For any $\mathcal{F} \subset 2^{[n]}$, define its Lubell value $h_n(\mathcal{F}) := \sum_{F \in \mathcal{F}} 1/\binom{n}{|F|}$. Let $\lambda_n(P) = \max\{h_n(\mathcal{F}) : \mathcal{F} \subset 2^{[n]}, P\text{-free}\}$. A poset P is called *uniform-L-bounded* if $\lambda_n(P) \leq e(P)$ for all n . Griggs–Li [14] proved $\text{La}(n, P) = \Sigma(n, e(P))$ if P is uniform-L-bounded. The uniform-L-bounded posets include \mathcal{P}_k (for any $k \geq 1$), diamonds \mathcal{D}_k (for $k = 3, 4, 7, 8, 9, 15, 16, \dots$), and harps $\mathcal{H}(l_1, l_2, \dots, l_k)$ (for $l_1 > l_2 > \dots > l_k$), and other posets.

For any poset P , Griggs–Lu [16] conjectured the limit $\pi(P) := \lim_{n \rightarrow \infty} \frac{\text{La}(n, P)}{\binom{n}{\lfloor \frac{n}{2} \rfloor}}$ exists and is an integer. This conjecture is based on various known cases. For $r \geq 2$, let the r -fork \mathcal{V}_r be the poset: $A < B_1, \dots, B_r, r \geq 2$. Katona and Tarján [17] obtained bounds on $\text{La}(n, \mathcal{V}_2)$ that Katona and De Bonis [7] extended in 2007 to general $\mathcal{V}_r, r \geq 2$, proving that

$$\left(1 + \frac{r-1}{n} + \Omega\left(\frac{1}{n^2}\right)\right) \binom{n}{\lfloor \frac{n}{2} \rfloor} \leq \text{La}(n, \mathcal{V}_r) \leq \left(1 + 2\frac{r-1}{n} + O\left(\frac{1}{n^2}\right)\right) \binom{n}{\lfloor \frac{n}{2} \rfloor}.$$

While the lower bound is strictly greater than $\binom{n}{\lfloor \frac{n}{2} \rfloor}$, we see that $\text{La}(n, \mathcal{V}_r) \sim \binom{n}{\lfloor \frac{n}{2} \rfloor}$. Earlier, Thanh [21] had investigated the more general class of broom-like posets. Griggs and Lu [16] studied the even more general class of baton posets. These are tree posets (meaning that their Hasse diagrams are trees). Griggs and Lu [16] proved that $\pi(T) = 1$ for any tree poset T of height 2. Bukh [4] proved that $\pi(T) = e(T)$ for any general tree poset T .

The most notable unsolved case is the diamond poset D_2 . Griggs and Lu first observed $\pi(\mathcal{D}_2) \in [2, 2.296]$. Axenovich, Manske, and Martin [3] came up with a new approach which improved the upper bound to 2.283. Griggs, Li, and Lu [15] further improve the upper bound to $2.27\dot{3} = 2\frac{3}{11}$. Recently, Kramer–Martin–Young [18] proved $\pi(\mathcal{D}_2) \leq 2.25$.

For $k \geq 2$, the crown \mathcal{O}_{2t} is a height-2 poset whose Hasse diagram is a cycle of length $2t$. For $t = 2$, \mathcal{O}_4 is also known as the butterfly poset; De Bonis–Katona–Swanepoel [8] proved $\text{La}(n, \mathcal{O}_4) = \Sigma(n, 2)$. Griggs and Lu [16] proved that

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