



# Locally o-minimal structures and structures with locally o-minimal open core

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## ABSTRACT

We study first-order expansions of ordered fields that are definably complete, and moreover either are locally o-minimal, or have a locally o-minimal open core. We give a characterisation of structures with locally o-minimal open core, and we show that dense elementary pairs of locally o-minimal structures have locally o-minimal open core.

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## 1. Introduction

We study locally o-minimal structures and structures with locally o-minimal open core. All structures considered will be definably complete expansions of ordered fields: structures which are locally o-minimal but not definably complete (e.g., weakly o-minimal structures), while important and interesting, are outside the scope of this article; we refer the reader interested in locally o-minimal structures which are not definably complete to [20].

One of the natural generalisations of o-minimality (for definably complete structures) is requiring that every unary set definable (with parameters) is *locally* a finite union of points and intervals.

**Definition 1.1.**  $\mathbb{K}$  is **locally o-minimal** if, for every  $X \subset \mathbb{K}$  definable, and for every  $x \in \mathbb{K}$ , there exists  $y > x$  such that, either  $(x, y) \subseteq X$ , or  $(x, y) \subseteq \mathbb{K} \setminus X$ .

Much of the theory of o-minimal structures can be generalised to locally o-minimal ones: for instance, a version of the Monotonicity Theorem (Section 5.1; see also [19]) and Miller's dichotomy (Theorem 5.18) hold also for locally o-minimal structures; moreover, a weak version of cell decomposition holds (Theorem 5.6; see also [19] for a different kind of cell decomposition); besides, A. Pillay's theorem that a group definable in an o-minimal structure can be equipped with a definable

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topology making it a topological group can be extended to locally o-minimal structures (Theorem 5.25). In Section 3.1 and Section 5 we will study locally o-minimal structures more in details. One advantage of locally o-minimal structures over o-minimal ones is that they form an elementary class: that is, an ultraproduct of locally o-minimal structures is locally o-minimal.

A further generalisation of local o-minimality is given by structures with locally o-minimal open core.

**Definition 1.2.** The **open core** of  $\mathbb{K}$  is the reduct of  $\mathbb{K}$  generated by all definable open subsets of  $\mathbb{K}^n$ , for every  $n \in \mathbb{N}$ .

**Definition 1.3.**  $\mathbb{K}$  has locally o-minimal open core if the structure generated by all open definable sets is locally o-minimal.

The main results of this article can be summed up in the following two theorems.

**Theorem A.** (See Theorem 3.3.) *The open core of  $\mathbb{K}$  is locally o-minimal iff every definable discrete subset of  $\mathbb{K}$  is bounded.*

In [6, §9] we defined d-minimal topological structures, and we proved some results about the theory of dense elementary pairs of such structures (see Definition 6.2). We will show that locally o-minimal structures are d-minimal topological structures; thus, we will be able to apply the results in [6] to the present situation, and we will spell out some consequences.

Given  $d \leq n \in \mathbb{N}$ , we denote by  $\prod_d^n : \mathbb{K}^n \rightarrow \mathbb{K}^d$  the projection onto the first  $d$  coordinates. Given  $X \subseteq \mathbb{K}^{n+m}$  and  $\bar{c} \in \mathbb{K}^n$ , we define  $X_{\bar{c}} := \{\bar{y} \in \mathbb{K}^m : (\bar{c}, \bar{y}) \in X\}$ .

**Definition 1.4.** (See [6, 9.1].) Let  $\mathbb{K}^* \succ \mathbb{K}$  be  $\omega$ -saturated.  $\mathbb{K}$  is a **d-minimal topological structure** if:

- (DM1) For every  $X \subseteq \mathbb{K}^*$  definable (with parameters in  $\mathbb{K}^*$ ), if  $X$  has empty interior, then  $X$  is a finite union of discrete sets;
- (DM2) For every  $X \subseteq \mathbb{K}^n$  definable and discrete,  $\prod_1^n(X)$  has empty interior;
- (DM3) Given  $X \subseteq \mathbb{K}^2$  and  $U \subseteq \prod_1^2(X)$  definable sets, if  $U$  is open and nonempty, and  $X_a$  has nonempty interior for every  $a \in U$ , then  $X$  has nonempty interior.

Notice that in the original definition [6, 9.1] there are some additional conditions; but those conditions are trivially satisfied when  $\mathbb{K}$  is an ordered field.

**Theorem B.** (See Section 6.) *Let  $\mathbb{K}$  be locally o-minimal. Then,  $\mathbb{K}$  is a d-minimal topological structure. Moreover, let  $A$  be a proper, dense, elementary substructure of  $\mathbb{K}$ , and let  $\langle \mathbb{K}, A \rangle$  be the expansion of  $\mathbb{K}$  with a new predicate for  $A$ . Then,  $\mathbb{K}$  is the open core of  $\langle \mathbb{K}, A \rangle$ . Moreover, the theory of  $\langle \mathbb{K}, A \rangle$  is uniquely determined by the theory of  $\mathbb{K}$ .*

As it is clear from Theorem A, the class of structures with locally o-minimal open core is also elementary; a related question about structures with o-minimal open core is still open (see the discussion after Corollary 3.6).

Definably complete structures were explicitly defined and studied in [13]. The open core of  $\mathbb{K}$  was defined already in [15], where they study the case when  $\mathbb{K}$  is an expansion of  $\mathbb{R}$ . Structures with o-minimal open core are the main topic of [2]; here, instead, they are only a side remark, because we show that many of the results of [2] can be generalised to structures with locally o-minimal open core; moreover, we answer some questions left open there. Besides, some of the techniques used in [2] will be also employed here (see Section 2.4 and Section 4). Locally o-minimal structures were introduced in [14], and [2] proved some results on definably complete locally o-minimal structures (see Section 3.1). In his recent preprints [19,18], H. Schoutens studies locally o-minimal structures without the assumption that they expand a field (he calls them “DCTC structures”), as a step in the project of studying “o-minimalistic” structures (i.e., structures that are elementarily equivalent to ultraproducts of o-minimal structures: see also [17] for a development in that direction); notice that our motivation is different: we study structures with locally o-minimal open core as a necessary step in the study of definably complete structures. Schoutens also independently obtains some of the results exposed here; in particular, he achieves a “quasi-cell decomposition” for sets definable in locally o-minimal structures, and a kind of cell decomposition for a certain class of locally o-minimal structures, that he calls “tame”.

One of the natural examples of structures with o-minimal open core is given by dense elementary pairs of o-minimal structures  $A < B$ , studied in [21]. The main results of [21] can be generalised to elementary pairs of locally o-minimal structures, with a similar proof (Theorem B; see Section 6). Similar results hold in the more general setting of d-minimal structures, which will be studied in another article [4].

While o-minimal structures are geometric (that is, they eliminate the quantifier  $\exists^\infty$  and the algebraic closure  $\text{acl}$  satisfies the Exchange Principle), no such result is true for locally o-minimal structures: more precisely, if  $\mathbb{K}$  is a sufficiently saturated locally o-minimal non-o-minimal structure, then  $\mathbb{K}$  does *not* eliminate the quantifier  $\exists^\infty$  and  $\text{acl}$  does *not* satisfy the Exchange Principle (this is true not only for locally o-minimal structures, but for d-minimal structures in general: the proof will be given in [4]). However, we still have a notion of dimension for locally o-minimal structures, given by the topology

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