



# Study on day-ahead optimal economic operation of active distribution networks based on Kriging model assisted particle swarm optimization with constraint handling techniques



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## HIGHLIGHTS

- Presenting the optimal economic operation and schedule model of the ADN.
- Developing a Kriging model assisted modified fuzzy adaptive PSO algorithm.
- A constraint-handling method based on the basic principle of PSO is developed.
- A dynamic update method is developed in KMA-MFAPSO to rebuild the Kriging model.

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## ABSTRACT

The distribution system is demanded to be more efficient, more flexible and more intelligent due to the continued growth of the electricity loads, the high efficiency of energy utilization and the environmental protection. The traditional distribution systems are facing the challenge of evolving from passive networks to the active distribution networks (ADN) with the integration of multiple controllable resources. This paper presents an optimal operation and schedule model of the ADN, considering a variety of controllable resources such as distributed generations, battery storages and interruptible loads. To solve the optimization problem, a modified fuzzy adaptive PSO assisted by Kriging model (KMA-MFAPSO) is developed in this paper. In KMA-MFAPSO, a novel constraint handling technique (CHT) based on the PSO is proposed to handle the constraints effectively. In addition, under the premise of ensuring the accuracy of the calculation, the Kriging model is used in KMA-MFAPSO to calculate the power flow the ADN approximately, which greatly speeds up the solving process. Finally, the effectiveness of the proposed algorithm is tested on a modified IEEE-123 system. The optimal results obtained by the proposed method are compared with the results obtained by using other solving algorithms. The simulation results indicate that KMA-MFAPSO is very robust and fast to solve the optimization problem so that it can be used in practical systems.

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## 1. Introduction

Nowadays, the power system is demanded to be more efficient, more flexible and more intelligent due to the continued growth of electricity loads and the shortage of traditional energy sources. What is distinct about the modern distribution system is the

large-scale integration of distributed generations (DGs), especially the integration of wind turbines and photovoltaic power generations. However, high penetration rate of DGs will have a huge influence on traditional distribution system mainly in the following aspects: changing the voltage profiles of distribution system, increasing the difficulties of relay protection, deteriorating the power quality and influencing the reliability of the system and so on [1,2]. Although the maturing technology of micro-grid has provided a solution to the integration of DGs, due to the capacity

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## Nomenclature

$T$	total scheduling periods	$tap_i^{low}, tap_i^{upp}$	actual lower and upper limit of tap positions of voltage regulator $i$
$\Delta t$	time interval	$MT_i$	maximum tap operating number of voltage regulator $i$ during the scheduling periods
$C_{i,t}^{buy}$	electricity price of substation $i$ at time interval $t$	$MC_i$	maximum switch operating number of capacitor bank $i$ during the scheduling periods
$C_i^{dg}$	generating cost of distributed generation $i$	$p_{i,t}^{L,upp}$	upper limit of load curtailment of interruptible load $i$ at time interval $t$
$C_i^{batcha}, C_i^{batdis}$	charging and discharging costs of battery storage $i$	$MIL_i$	maximum load curtailment amount of interruptible load $i$ during the scheduling periods
$C_i^{IL}$	cost of the interruptible load $i$	$N_{swarm}$	the population size of particles
$C_i^{tap}$	operating cost of voltage regulator $i$	$pbest_i$	the best position recorded by particle $i$
$C_i^{cap}$	operating cost of capacitor bank $i$	$gbest$	the best position among all particles
$N_{sub}$	number of substation	$x_i^k, v_i^k$	the position and the velocity of particle $i$ at iteration $k$
$N_{dg}$	number of distributed generations	$\omega$	inertia weight
$N_{bat}$	number of battery storages	$c_1, c_2$	the individual and social acceleration coefficients
$N_{IL}$	number of interruptible loads	$v_{ij}^{min,k}, v_{ij}^{max,k}$	variable range of the velocity of the $j$ th decision variable of particle $i$ at iteration number $k$
$N_{tap}$	number of voltage regulators	$v_{ij}^{upp,k}, v_{ij}^{low,k}$	the upper and lower boundaries of particle's velocity at iteration $k$ .
$N_{cap}$	number of capacitor banks	$x_{ij}^{upp}, x_{ij}^{low}$	the lower and upper boundaries of the $j$ th dimension of particle $i$
$p_{i,t}^{buy}$	active power of substation $i$ buying from the transmission system at time interval $t$	$x_{ij}^{max,k}, x_{ij}^{min,k}$	the flying range of the $j$ th decision variable of particle $i$ at iteration $k$
$p_{i,t}^{dg}$	generated active power of the $i$ th DG at time interval $t$	$\Delta soc_{ij,t}^k$	variation of SOC of the $j$ th battery storage of particle $i$ at iteration number $k$
$p_{i,t}^{bat}$	charging and discharging power of the $i$ th battery storage at time interval $t$	$\Delta soc_j^{low}, \Delta soc_j^{upp}$	the lower and upper boundaries of the $j$ th dimension of variation of SOC
$p_{i,t}^{IL}$	load curtailment of interruptible load $i$ at time interval $t$	$\Delta E_{ij,t}^k$	the excessive amount of SOC constraint for the $j$ th battery storage of particle $i$ at iteration number $k$
$tap_{i,t}$	actual tap position of the voltage regulator $i$ at time interval $t$	$MR_{ij,t}^k$	the maximum reduction amount of SOC without interfering the natural process of PSO
$tap_{i,t}^c$	the mapped continuous tap position of the voltage regulator $i$ at time interval $t$	$\Delta LE_{ij}^k$	the excess amount of load reduction of the $j$ th interruptible load of particle $i$ at iteration number $k$
$cap_{i,t}$	actual switch status of the capacitor bank $i$ at time interval $t$	$\Delta IL_{ij}^{max,k}$	the maximum reduction amounts for all time intervals in the flying range of the $j$ th interruptible load of particle $i$ at iteration number $k$
$cap_{i,t}^c$	the mapped continuous switch status of the capacitor bank $i$ at time interval $t$	$\lambda_{ij}^{k,m}$	the tap positions adjustment coefficient of time period $m$ of the $j$ th voltage regulator of particle $i$ at iteration number $k$
$s_{i,t}^{batcha}, s_{i,t}^{batdis}$	charging and discharging states of battery storage $i$ at time interval $t$	$t_{ij,ini}^{k,m}, t_{ij,end}^{k,m}$	the first and the last time intervals of period $m$
$P_{i,t}^{G,p}, P_{i,t}^{L,p}$	active power and active load of node $i$ at time interval $t$ of phase $p$	$\alpha_{ij,t}^{k,m}, \beta_{ij,t}^{k,m}$	forward-adjustment coefficient and backward-adjustment coefficient of the tap position at time interval $t$ in the period $m$
$Q_{i,t}^{G,p}, Q_{i,t}^{L,p}$	reactive power and reactive load of node $i$ at time interval $t$ of phase $p$	$\sigma_{ij,t}^{k,m}$	the adjustment coefficient of switch state of the time interval $t$ in time period $m$ of the $j$ th capacitor bank of particle $i$ at iteration number $k$
$V_{i,t}^p$	voltage magnitude node $i$ at time interval $t$ of phase $p$	$\varphi_{ij}^{k,m}$	the adjustment coefficient of switch state of time period $m$ of the $j$ th capacitor bank of particle $i$ at iteration number $k$
$V_{i,t}^m$	voltage magnitude node $j$ at time interval $t$ of phase $m$		
$V^{low}, V^{upp}$	the minimum and the maximum allowable bus voltage magnitude		
$G_{ij}^{pm}, B_{ij}^{pm}$	real and imaginary parts of node admittance matrix		
$\theta_{ij,t}^{pm}$	voltage phase angle difference		
$N_{bus}$	number of bus		
$S_{l,t}, S_l^{upp}$	transmission power of line $l$ at time interval $t$ ; the upper limit of transmission capacity of line $l$		
$N_l$	number of transmission lines		
$p_{i,t}^{dg}$	power factor of DG $i$ at time interval $t$		
$p_i^{dg,low}, p_i^{dg,upp}$	lower and upper limits of power factor of DG $i$		
$p_i^{maxdis}, p_i^{maxcha}$	maximum discharging and charging power of battery storage $i$		
$SOC_{i,t}$	state of charge (SOC) of battery storage $i$ at time interval $t$		
$SOC_i^{low}, SOC_i^{upp}$	lower and upper limits of SOC of battery storage $i$		
$\eta_i^{cha}, \eta_i^{dis}$	charging and discharging efficiencies of battery storage $i$		
$B_i$	battery capacity of battery storage $i$		
		KMA-MFAPSO	Kriging model assisted modified fuzzy adaptive PSO
		CHT-MFAPSO	constraint handling technique based modified fuzzy adaptive PSO
		FAPSO	fuzzy adaptive particle swarm optimization
		GA	genetic algorithm
		ACO	ant colony optimization

limitation of it, the large scale DGs' integration problem still cannot be settled effectively [3]. With the great development of the distributed generation technologies, energy storage technologies and power electronics, the grid-connection problem of DGs has been settled to a large extent [4]. However, owing to the lack of efficient optimization strategy, the low degree of automation and

the lack of demand response in the traditional distribution system, the renewable energy consumption capability of the system is greatly limited, which restricts the development and the application of the clean and renewable energy sources and is not conducive to the energy structure adjustment [5]. In this situation, the active distribution network (ADN) technology emerges and is

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