



# Optimization method for multiple heat source operation including ground source heat pump considering dynamic variation in ground temperature



Shintaro Ikeda<sup>a</sup>, Wonjun Choi<sup>b,\*</sup>, Ryoza Ooka<sup>b</sup>

<sup>a</sup> Department of Architecture, The University of Tokyo, 4-6-1, Komaba, Meguro-ku, Tokyo 153-8505, Japan

<sup>b</sup> Institute of Industrial Science, The University of Tokyo, 4-6-1, Komaba, Meguro-ku, Tokyo 153-8505, Japan

## HIGHLIGHTS

- An optimization method εDE-RJ is proposed for optimal operations of GSHP system.
- The method can find an optimal solution without approximating non-linear behavior.
- Operating costs reduced by 3.78–12.56% compared to empirical operations.
- Proposed method can be utilized for practical problems due to fast calculation.

## ARTICLE INFO

### Article history:

Received 22 November 2016

Received in revised form 27 January 2017

Accepted 19 February 2017

### Keywords:

Hybrid ground source heat pump (GSHP)

Day-ahead optimization

Metaheuristics

Differential evolution

Epsilon-constrained handling method

Optimal heat source operation

## ABSTRACT

Recent years have witnessed the widespread use of highly efficient energy systems as an important measure to reduce not only energy consumption but also operating costs. A ground source heat pump system has been attracting considerable attention because of its high efficiency. Although many studies have been conducted to investigate and evaluate the ground source heat pump's performance, they have not sufficiently studied its optimal operation considering dynamic ground temperature variation caused by the high thermal capacity of the ground. Calculations considering both thermal history of the ground and optimal load dispatch are complicated and thus entail high computation costs. In this paper, an efficient optimization method is proposed to determine optimal operations of a hybrid ground source heat pump system that is used to handle the cooling load and hot water demand. The proposed method, namely epsilon-constrained differential evolution with random jumping, can solve nearly all possible configurations and is a suitable method for the nonlinear configuration used herein because the ground source heat pump has highly nonlinear characteristics and the ground temperature calculation cannot be simplified to a linear formulation. The optimal operations achieved by the proposed method can reduce operating costs by at least 3.78% and at most 12.56% compared to empirical operations. In addition, the proposed method derives the solution rapidly while maintaining high computation accuracy. Therefore, it can be used in practical situations to determine an optimal operating schedule as a day-ahead optimization.

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## 1. Introduction

In recent years, efficient utilization of energy sources has emerged as an important target owing to ever-increasing energy consumption and CO<sub>2</sub> emissions. Toward this end, it is necessary to achieve energy saving and efficient operation of energy systems

in a building section. Moreover, minimization of the operating cost and life cycle cost is an important issue in a building section. Thus, many studies have focused on optimizing the operation and planning of energy systems [1–4]. In terms of renewable energy source technology, a ground source heat pump (GSHP) has recently emerged as a promising heat source/sink for reducing energy consumption and carbon dioxide emissions [5,6]. Although many studies have been conducted with the aim of investigating and evaluating GSHP [7–12], when and how much heat should be generated from GSHP considering ground temperature variation has

\* Corresponding author.

E-mail addresses: [s-ikeda@iis.u-tokyo.ac.jp](mailto:s-ikeda@iis.u-tokyo.ac.jp), [shintaro.ikeda77research@gmail.com](mailto:shintaro.ikeda77research@gmail.com) (S. Ikeda), [wonjun@iis.u-tokyo.ac.jp](mailto:wonjun@iis.u-tokyo.ac.jp) (W. Choi), [ooka@iis.u-tokyo.ac.jp](mailto:ooka@iis.u-tokyo.ac.jp) (R. Ooka).

## Nomenclature

### Glossary

|              |   |
|--------------|---|
| $C$          | volumetric heat capacity [J/m <sup>3</sup> K]                                     |
| $c_e, c_g$   | amount of electricity and gas consumption, respectively [kW h], [m <sup>3</sup> ] |
| $F$          | mutation rate   |
| $g$          | generation  |
| $h_i$        | convective heat transfer coefficient of pipe inner surface [W/(m <sup>2</sup> K)] |
| $L_r$        | machine load rate   |
| $Nu$         | Nusselt number  |
| $Pr$         | Prandtl number  |
| $P$          | decision variable   |
| $P^*$        | temporary decision variable   |
| $p_e, p_g$   | unit price of electricity [yen/kW h] and gas [yen/m <sup>3</sup> ], respectively  |
| $\mathbb{P}$ | population vector   |
| $q$          | heat rate per unit length of BHE [W/m]  |
| $r$          | radius, radial direction [m]  |
| $R$          | thermal resistance [m K/W]  |
| $Re$         | Reynolds number   |
| $t$          | time, time step used for GSHP [s]   |
| $t_k$        | time step used for BHE calculation [–]  |
| $t_s$        | time step used for system optimization [–]  |
| $T$          | temperature [°C]  |
| $T_0$        | initial ground temperature [°C]   |
| $T_b$        | BHE wall temperature [°C]   |
| $T_{bf}$     | average borefiled wall temperature [°C]   |
| $\bar{T}_f$  | average fluid temperature [°C]  |
| $v$          | velocity [m/s]  |
| $\bar{v}$    | averaged velocity [m/s]   |
| $V_f$        | volumetric flow rate [m <sup>3</sup> /s]  |
| $x_s$        | half of the shank spacing [m]   |

### Subscripts

|       |                         |
|-------|-------------------------|
| $AB$  | auxiliary boiler        |
| $b$   | borehole                |
| $bhe$ | borehole heat exchanger |
| $c$   | convective, cooling     |
| $chw$ | chilled water           |
| $f$   | circulating fluid       |

|       |                |
|-------|----------------|
| $g$   | grout          |
| $gas$ | gas            |
| $h$   | heating        |
| $hw$  | hot water      |
| $HP$  | heat pump      |
| $i$   | inner          |
| $in$  | inlet          |
| $j$   | dimension      |
| $k$   | time step      |
| $m$   | machine number |
| $o$   | outer          |
| $out$ | outlet         |
| $p$   | pipe           |
| $s$   | soil           |
| $tot$ | total          |

### Greek letters

|               |   |
|---------------|---|
| $\alpha$      | exponent of power law                   |
| $\lambda$     | thermal conductivity [W/(m K)]          |
| $\mu$         | dynamic viscosity [kg/(m s)]            |
| $\nu$         | kinematic viscosity [m <sup>2</sup> /s] |
| $\rho$        | density [kg/m <sup>3</sup> ]            |
| $\mathcal{U}$ | uniformly distributed random number     |

### Acronyms, abbreviations

|                  |  |
|------------------|--|
| $AB$             | auxiliary boiler   |
| $ASHP$           | air source heat pump   |
| $BHEs$           | borehole heat exchangers                                       |
| $COP$            | coefficient of performance                                     |
| $DE$             | differential evolution   |
| $GAs$            | genetic algorithms   |
| $GSHP$           | ground source heat pump  |
| $GSHPc$          | ground source heat pump for cooling                            |
| $GSHPh$          | ground source heat pump for heating                            |
| $GXP$            | pump for ground heat exchanger loop                            |
| $HSP$            | heat source machine pump                                       |
| $ILS$            | infinite line source   |
| $m$ -PSO         | mutation particle swarm optimization                           |
| $PSO$            | particle swarm optimization                                    |
| $\epsilon$ DE-RJ | epsilon-constrained differential evolution with random jumping |

not been studied thus far. Some studies have been conducted to optimize borefield configurations [13,14] and several machine parameters, such as the capacity and set-point temperature of GSHP [15–19]. Pardo et al. [15] optimized an energy system component that included GSHP and thermal energy storage throughout a long-term calculation. Cui et al. [16] and Sayyaadi et al. [17] adopted a multi-objective evolutionary algorithm to find a pareto-front curve in terms of two objective functions: thermodynamic and economic. They set a fixed temperature difference between inlet and outlet temperatures of ground fluid loop and thus, dynamic variation of a GSHP performance corresponds to the inlet fluid temperature of heat pump was not considered. Sanaye and Niroomand [18] used a hybrid method of the Nelder–Mead simplex algorithm and a genetic algorithm to optimize some parameters that were used in modeling GSHP. Retkowski and Thöming [19] considered nonlinear characteristics of GSHP and calculated dynamic variation of entering fluid temperature of GSHP in detail.

However, previous studies have not optimized the operating schedule. Instead of optimizing the operation, previous studies have only compared some operating scenarios to reduce the com-

putation costs, because the calculation of ground temperature variation under multiple boreholes generally involves complicated calculations and high computation costs. Furthermore, some studies that have proposed optimal operating strategies have only compared limited scenarios [20–23]. Liu et al. [20] compared some scenario-based strategies on an actual energy system including GSHP to propose an optimal strategy. Zhou et al. [21] investigated the energy efficiency of a GSHP system over a 10-year simulation using TRNSYS. They considered the variation in fluid temperature of ground loop. However, the operating scenarios were of only two patterns: with and without a free-cooling system included. Thus, it was not enough to optimize the operation. Yavuzturk and Spittler [22] compared 10 different operating strategies under an energy system consisting of GSHP and a cooling tower. Gang et al. [23] proposed suitable operating strategies to control a hybrid energy system consisted of GSHP and a cooling tower. An artificial neural network was used to predict the dynamic variation in fluid temperature of ground loop. However, no optimization of thermal output combinations was conducted.

In terms of optimizing the operating schedule, although Edwards and Finn [24] proposed a decision-making strategy for

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