



# Neurobiological basis of bipolar disorder: Mitochondrial dysfunction hypothesis and beyond

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## ABSTRACT

Bipolar disorder is one of two major psychotic disorders together with schizophrenia and causes severe psychosocial disturbance. Lack of adequate animal models hampers development of new mood stabilizers. We proposed a mitochondrial dysfunction hypothesis and have been studying the neurobiology of bipolar disorder based on this hypothesis. We showed that deletions of mitochondrial DNA ( $\Delta$ mtDNA) play a pathophysiological role at least in some patients with bipolar disorder possibly by affecting intracellular calcium regulation. Mutant polymerase  $\gamma$  transgenic mice that accumulate  $\Delta$ mtDNA in the brain showed recurrent spontaneous depression-like episodes which were prevented by a serotonin-selective reuptake inhibitor and worsened by lithium withdrawal. The animal model would be useful to develop new mood stabilizers.

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## 1. Introduction

Bipolar disorder is a major mental disorder showing manic and depressive episodes, which frequently accompany psychotic symptoms (Goodwin and Jamison, 2007). Because genetic factors are known to contribute to this disorder based on twin studies among others (Kato, 2015), genetics-based animal models would be useful to understand its neurobiology. Because causative genes of bipolar disorder have not been identified yet, animal models of Mendelian diseases that frequently have comorbid bipolar disorder, such as Darier's disease (Nakamura et al., 2016), Wolfram disease (Kato et al., 2008), and mitochondrial disease (Kasahara et al., 2016), would be one strategy. In this review, we summarize our ongoing research project of neurobiological basis of bipolar disorder based on the mitochondrial dysfunction hypothesis.

## 2. Mitochondrial disease and bipolar disorder

In 1990's, we had studied the brain phosphorous metabolism in patients with bipolar disorder using phosphorus-31 magnetic resonance spectroscopy ( $^{31}\text{P}$ -MRS) and found that phosphocreatine was decreased in the frontal lobe of patients with bipolar depression (Kato et al., 1992, 1994), decrease of phosphocreatine in the occipital cortex associated with photic stimulation was enhanced in a subgroup of patients (Murashita et al., 2000), intracellular pH in the frontal lobe (Kato et al., 1993, 1998a) and whole brain (Hamakawa et al., 2004) was

decreased in the euthymic state. Decrease of phosphocreatine (Barbiroli et al., 1993) and enhanced response of phosphocreatine to photic stimulation (Kato et al., 1998b) was also reported in patients with mitochondrial diseases, and thus we focused on the possible role of mitochondrial dysfunction in bipolar disorder. Although the results of recent  $^{31}\text{P}$ -MRS studies are not always consistent with each other, they also show some abnormalities of energy metabolism in bipolar disorder or improvement by treatment (Dudley et al., 2015, 2016; Jensen et al., 2008; Shi et al., 2012, 2015; Sikoglu et al., 2013; Stork and Renshaw, 2005; Weber et al., 2013).

After the proposal of mitochondrial dysfunction hypothesis of bipolar disorder, three groups performed structured interviews in patients with mitochondrial diseases (Fattal et al., 2007; Inczedy-Farkas et al., 2012; Mancuso et al., 2013) and reported that the prevalence of bipolar disorder in mitochondrial diseases is 16–21%, nearly 20 times higher than general population (Goodwin and Jamison, 2007). This suggests that having mitochondrial disease is a strong risk factor for bipolar disorder.

## 3. Evidence of mitochondrial DNA (mtDNA) deletion

Among mitochondrial diseases, chronic progressive ophthalmoplegia (CPEO) is an adult onset disease characterized by accumulation of partially deleted mitochondrial DNA ( $\Delta$ mtDNA). In an autopsied case of CPEO with comorbid recurrent severe retarded depression, more  $\Delta$ mtDNA was accumulated in the brain than in muscles, suggesting that the phenotype caused by accumulation of  $\Delta$ mtDNA in the brain includes recurrent depression (Suomalainen et al., 1992). Stimulated by this report, we quantified the levels of  $\Delta$ mtDNA in the

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postmortem brains of patients with bipolar disorder, and found that it was significantly increased (Kato et al., 1997). Although this finding was later replicated by another group (Sequeira et al., 2012), the finding is not always consistent across samples and brain regions (Mamdani et al., 2014). A report of a family of CPEO with  $\Delta$ mtDNA, in which all affected members had bipolar disorder, also supported the role of  $\Delta$ mtDNA in bipolar disorder (Mancuso et al., 2008).

Initially, we also focused on the association of bipolar disorder with mtDNA polymorphisms (Kato et al., 2001) and showed that mtDNA 10398 polymorphism, which was associated with bipolar disorder, is associated with mitochondrial calcium signaling (Kazuno et al., 2006). We also reported a genetic association of a complex I subunit gene with bipolar disorder (Washizuka et al., 2004). However, later it was revealed that genetic association studies in a modest number of samples can show false positive findings (Chanock et al., 2007), and recent genome wide association studies (GWAS) also suggested that the statistical threshold to observe genuine genetic association should be more conservative. To examine whether or not mtDNA polymorphisms are associated with bipolar disorder, tens of thousands of samples should be examined. Most recent GWAS including 9784 bipolar patients, in which mtDNA is not considered, did not show association with mitochondria related genes (Hou et al., 2016). Re-analysis of GWAS data did not show significant association of mtDNA polymorphisms with bipolar disorder (Sequeira et al., 2012). We should await the results of further large scale GWAS to conclude whether or not mtDNA polymorphisms or polymorphisms of other mitochondria-related genes are associated with bipolar disorder.

#### 4. Mitochondrial dysfunction and calcium signaling

Based on the initial findings, we proposed mitochondrial dysfunction hypothesis of bipolar disorder in 2000 (Kato and Kato, 2000). In this hypothesis, we proposed that mtDNA mutations as well as common variations may confer a risk of bipolar disorder by affecting intracellular calcium signaling systems. In spite that calcium levels are maintained low in cells, higher concentration of calcium is accumulated in two organelles, mitochondria and endoplasmic reticulum (De Stefani et al., 2016), where calcium released from endoplasmic reticulum is taken up by mitochondria (Fig. 1). Elevation of intracellular calcium levels has been reported in blood cells (Warsh et al., 2004). Lithium, the most established mood stabilizer, acts on intracellular calcium signaling by inhibiting inositol monophosphatase and upregulating mitochondrial Bcl-2 on the mitochondrial outer membrane (Chen et al., 1999). GWAS showed association of bipolar disorder with *CACNA1C*, encoding  $\alpha$ 1C subunit of voltage gated calcium channel (Ferreira et al., 2008). Exome or whole genome sequencing also suggested a possible role of

genes related to calcium signaling (Ament et al., 2015; Kataoka and Matoba et al., 2016), though they did not show mutations significantly associated with bipolar disorder at the genome wide significance level. These findings altogether suggest a role of intracellular calcium signaling in bipolar disorder. Genetic factors, such as rare transmitted mutations, de novo mutations, and polymorphisms, may contribute to dysfunctional intracellular calcium signaling in bipolar disorder, and mitochondria-related genes affecting mitochondrial calcium signaling would be one of these factors.

#### 5. Multiple lines of evidence of mitochondrial dysfunction

Since then, numerous studies on mitochondrial dysfunction in bipolar disorder have been published. Downregulation of mitochondria-related genes (Konradi et al., 2004; Sun et al., 2006) and upregulation of a subset of mitochondria-related genes (Iwamoto et al., 2005) in postmortem brains, increase of lactate in the brain (Dager et al., 2004), decrease of complex I in postmortem brains (Andreazza et al., 2010), abnormal mitochondrial structure in cells of bipolar patients (Cataldo et al., 2010), and elevation of isocitrate in cerebrospinal fluid associated with impaired function of isocitrate dehydrogenase (Yoshimi et al., 2016). A recent study showed that neurons derived from induced pluripotent stem cells (iPSCs) of patients with bipolar disorder had hyperexcitability associated with upregulation of mitochondrial genes, increased mitochondrial membrane potential, and smaller size of mitochondria (Mertens et al., 2015). In addition to the landmark studies noted above, numerous studies have been reported in this area, and a PubMed search by “(mitochondria OR mitochondrial) and (bipolar disorder)” returns 325 papers (August 30/2016).

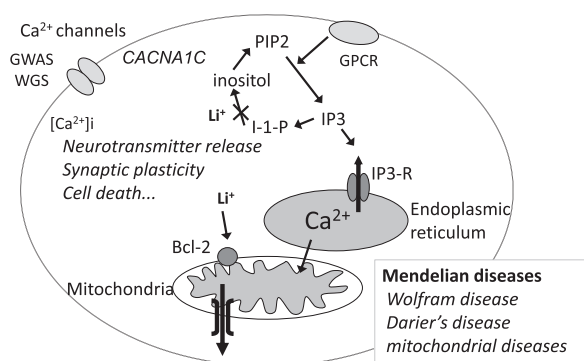
#### 6. An animal model of mitochondrial DNA deletions in neurons

To develop an animal model of bipolar disorder based on mitochondrial dysfunction hypothesis, we focused on the accumulation of  $\Delta$ mtDNA in the brain, which is observed in patients with bipolar disorder and CPEO. To this end, we introduced a point mutation, D181A, in polymerase  $\gamma$  (*Polg1*), the mtDNA polymerase, to remove exonuclease activity and generate  $\Delta$ mtDNA (Kasahara et al., 2006). After we finished the generation of mice with neuron-specific mutant *Polg1*, it was discovered that *POLG1* is one of causative genes of CPEO with comorbid depression (Van Goethem et al., 2001). Although knock in mice of D257A mutation of *Polg1* (Kujoth et al., 2005; Trifunovic et al., 2004) were also reported, these mice show muscular impairment and are not suitable for behavioral analysis (Fuke et al., 2014). The neuron specific transgenic mice of D181A mutation of *Polg1* (*mPolg1* Tg mice) showed accumulation of  $\Delta$ mtDNA specifically in the brain. They did not show gross abnormality in sensorimotor functions and learning and memory. The *mPolg1* Tg mice were found to have intracellular calcium signaling abnormality, shown by attenuation of G-protein-coupled receptor-mediated calcium increase in hippocampal neurons (Kubota et al., 2006).

#### 7. Behavioral phenotypes of mutant *Polg1* transgenic mice

By an extensive behavioral analysis, we found that the *mPolg1* Tg mice show altered intra-day wheel running activity rhythm (Kasahara et al., 2006). This was improved by electroconvulsive stimulation (Kasahara et al., 2008). Furthermore, by observing the wheel running activity for one month, we found that female *mPolg1* Tg mice show fluctuation of wheel running activity associated with estrous cycle. This was flattened by lithium treatment.

We further extended the length of behavioral observation to more than half a year (Kasahara et al., 2016). The female mice showed recurrent spontaneous hypoactivity episodes, lasting approximately 2 weeks. This disappeared after ovariectomy, suggesting a role for female hormones. It is well known that prevalence of depression is twice as high in females than males, and women with bipolar disorder experience



**Fig. 1.** Role of mitochondria in intracellular calcium signaling. Intracellular calcium level is maintained low, but two organelles, endoplasmic reticulum and mitochondria, have high levels of calcium. Mendelian diseases that accompany bipolar disorder are the diseases of these two organelles. GPCR, G protein coupled receptor; PIP2, Phosphatidylinositol 4,5-bisphosphate; I-1-P, inositol 1-phosphate; IP3, Inositol triphosphate; IP3-R, inositol triphosphate receptor; Bcl-2, B-cell lymphoma 2.

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