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Invited commentary

## Response to antipsychotic drugs in treatment-resistant schizophrenia: Conclusions based on systematic review

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Schizophrenia affects 1% of general population and one of its features is the heterogeneity of response to treatment. 20–30% of individuals with schizophrenia have treatment-resistant schizophrenia (TRS) (Lieberman, 1999). Correctly identifying these patients could contribute to reduce burden in patients themselves, in society and in economy. In fact, TRS constitutes about 60–70% of schizophrenia's cost burden (Kennedy et al., 2014).

TRS definition was coined by Kane and colleagues in 1988 (Kane et al., 1988). In this groundbreaking trial, they demonstrated superiority in response rate of clozapine over chlorpromazine (30% vs 4%) in well-defined cohort of patients who did not respond to three well documented antipsychotic trials and one prospective trial with high doses of haloperidol. After that, TRS and treatment response concepts have experienced several variations, as analyzed in the review by Suzuki and colleagues (Suzuki et al., 2012), underlining heterogeneity of definitions and proposing consensus definition.

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For these reasons, meta-analyses in this field (Samara et al., 2016; Chakos et al., 2001) could include heterogeneous samples, in part due to unclear or lax TRS definitions. Hence, they are less helpful when searching for evidence based treatment recommendations for TRS (Miyamoto et al., 2015). Another important factors that contribute to this heterogeneity among studies are: dosage differences, investigator bias combined with the difficulty of blinding clozapine treatment assignment, and the effect of prior antipsychotic treatment (Kane and Correll, 2016).

We performed a systematic and critical review of current literature about efficacy of drugs in well-defined TRS. We analyzed key aspects of methodology and quality, definitions of resistance and response, efficacy variables (response rate and mean improvement) and safety outcomes. Here, in this letter, our aim is to present our conclusions about the antipsychotics efficacy and the problems affecting the interpretation of studies on TRS.

Double-blinded randomized trials (DBRT) on TRS were searched by: 1. a systematic search in April 2015 by the following search strategy: schizophrenia[Title] AND (“ultra-resistant”[Title] OR “treatment-refractory”[Title] OR “treatment-resistant”[Title]) AND “English”[Language] from Scopus, PubMed and CINAHL (EBSCO) databases, 2. manual search. We included only studies on treatment efficacy in a clear-defined TRS population according to criteria proposed by Suzuki et al. (2012):

1. History of treatment failure with two or more antipsychotics with different binding profile, clearly documented or prospective validation.
2. Requirement in dose and duration: each treatment with an antipsychotic has continued for six consecutive weeks at chlorpromazine-equivalent doses of  $\geq 600$  mg/day.
3. Requirement in rating scales: each treatment has resulted in a failure defined with both Clinical Global Impression (CGI)  $\geq 4$  and Functional Assessment for Comprehensive Treatment of Schizophrenia (FACT-Sz)  $\leq 49$  or Global Assessment of Functioning (GAF)  $\leq 50$  or Positive and Negative Syndrome Scale (PANSS)  $\geq 75$ /Brief Psychiatric Rating Scale (BPRS)  $\geq 45$ .

**Table 1**  
Double blinded randomized trials about antipsychotic efficacy in treatment-resistant schizophrenia.

Trial	Study description	Compared drugs (mg/d)	Response rate	Completion rate	Improvement of symptoms from baseline	Commentaries
<b>FGA vs FGA</b>						
Lal et al., 2006 (1)	n = 31 15 weeks ITT Inpatients	Levomepromazine (810)/chlorpromazine (760)	53%/42%	90%/73%	– 10/– 7	– No differences in efficacy. – Industry sponsored.
<b>SGA vs FGA</b>						
Kane et al., 2007 (2)	n = 300 6 weeks PP	Aripiprazole (30)/perphenazine (40)	27%/25%	71%/79%	– 10/– 10	– No differences in efficacy. – Missing 116 patients between open-trial and BRDT. – TRS definition was incomplete. – Industry sponsored.
Kane et al., 2006 (3)	n = 306 12 weeks ITT	Ziprasidone (155)/chlorpromazine (740)	58%/55%	90%/88%	NR	– No differences in efficacy. – Unclear results, not reported baseline severity. – Trial conducted in India. – TRS definition was incomplete. – Industry sponsored.
Wirshing et al., 1999 (4)	n = 67 8 weeks PP	Risperidone (7.5)/haloperidol (19)	32%/14%	85%/87%	– 10/– 12	– No differences in efficacy. – Mix TRS and intolerant patients.
Conley et al., 1998 (5)	n = 84 8 weeks ITT and CA Inpatients	Olanzapine (25)/chlorpromazine (1173) + BZT	7%/0%	71%/69%	– 1/+2	– Industry sponsored. – No differences in efficacy. – No industry sponsored.
<b>SGA vs SGA</b>						
Meltzer et al., 2014 (6)	n = 160 24 weeks	RLAI 50/RLAI 100 (biweekly)	45%/45%	72%/70%	– 18/– 18	– No significant differences in efficacy. – Mix TRS patients and poor responders. – Mix SAD and SCZ. – Industry sponsored.
Kane et al., 2011 (7)	n = 321 12 weeks ITT	Risperidone (9)/sertindole (18)	58%/45%	71%/68%	– 21/– 19	– Risperidone had more responders. – Modified version of PANSS. – Lax TRS criteria, unclear selection of participants. – Industry sponsored.
<b>Clozapine vs FGA</b>						
Kane et al., 2001 (8)	n = 71 6 months ITT In- and outpatient	Clozapine (520)/haloperidol (19) + BZT	57%/25%	65%/33%	– 10/– 5	– Clozapine had more efficacy. – Favorable discontinuation rate in clozapine. – Lax response definition. – Industry sponsored.
Hong et al., 1997 (9)	n = 40 12 weeks CA Inpatients	Clozapine (543)/chlorpromazine (1163)	29%/0%	90%/89%	– 8/– 1	– Clozapine had more efficacy. – Conducted in China. – No industry sponsored.
Rosenheck et al., 1997 (10)	n = 423 1 year ITT Inpatients	Clozapine (552)/haloperidol (28) + BZT	37%/32%	57%/28%	– 12/– 8	– No differences in response rate, but favorable discontinuation rate and total improvement in clozapine. – No industry sponsored.
Kane et al., 1988 (11)	n = 268 6 weeks ITT Inpatients	Clozapine (450)/chlorpromazine (900) + BZT	30%/4%	88%/87%	– 16/– 5	– Clozapine had more efficacy. – Industry sponsored.
<b>Clozapine vs SGA</b>						
Sacchetti et al., 2010 (12)	n = 147 18 weeks ITT	Clozapine (365)/ziprasidone (137)	55%/68%	62%/62%	– 24.5/– 25	– Non-inferiority of ziprasidone. – No differences in EPS. – Mix TRS patients and intolerants. – Non-inferiority trial. – Industry sponsored.
Meltzer et al., 2008 (13)	n = 40 24 weeks PP Outpatients	Clozapine (564)/olanzapine (34)	60%/50%	48%/74%	– 20/– 21	– No differences in efficacy. – Mix SAD and SCZ. – High-doses of olanzapine were used. – Industry sponsored.
Tollefson et al., 2001 (14)	n = 180 18 weeks PP In- and outpatients	Clozapine (304)/olanzapine (20.5)	34%/38%	59%/60%	– 14/– 15	– Non-inferiority of olanzapine. – Non-inferiority trial. – Industry sponsored.
Azorin et al., 2001 (15)	n = 273 12 weeks PP In- and outpatients	Clozapine (642)/risperidone (9)	48%/43%	72%/74%	– 23/– 18	– No differences in response rate but clozapine improved more BPRS and CGI. – Industry sponsored.

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