



# Counting independent sets in tree convex bipartite graphs



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## ABSTRACT

The problems of counting independent sets, maximal independent sets, and independent perfect dominating sets are #P-complete for bipartite graphs, but can be solved in polynomial time for convex bipartite graphs, which are a subclass of bipartite graphs. This paper studies these problems for tree convex bipartite graphs, which are a class of graphs between bipartite graphs and convex bipartite graphs. A bipartite graph  $G$  with bipartition  $(X, Y)$  is called tree convex, if a tree  $T$  defined on  $X$  exists, such that for every vertex  $y$  in  $Y$ , the neighbors of  $y$  form a subtree of  $T$ . If the associated tree  $T$  is simply a path, then  $G$  is just a convex bipartite graph. This paper first proves that the problems of counting independent sets, maximal independent sets, and independent perfect dominating sets remain #P-complete for tree convex bipartite graphs even when the associated tree  $T$  is only a comb or a star. This paper then presents polynomial-time algorithms to solve these problems for tree convex bipartite graphs when the associated tree  $T$  is restricted to a triad, which consists of three paths with one common endpoint.

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## 1. Introduction

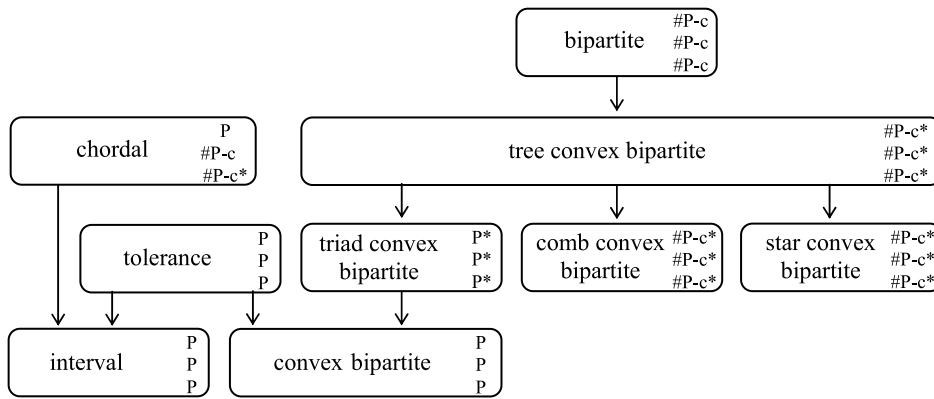
Let  $G = (V, E)$  be a graph with set of vertices  $V$  and set of edges  $E$ . An *independent set* (IS) in  $G$  is a subset  $D$  of  $V$  such that no two vertices of  $D$  are mutually adjacent. The *maximal independent set* (MIS) is an IS that is not a subset of any other IS. A *dominating set* in  $G$  is a subset  $D$  of  $V$  such that every vertex that is not in  $D$  is adjacent to at least one vertex in  $D$ . An *independent dominating set* in  $G$  is a set of vertices of  $G$  that is both independent and dominating in  $G$ . Since every dominating set that is independent must be maximal independent, independent dominating sets are identically MISs. An independent dominating set  $D$  is an *independent perfect dominating set* (IPDS) if every vertex that is not in  $D$  is adjacent to exactly one vertex in  $D$ . Let  $IS(G)$ ,  $MIS(G)$ , and  $IPDS(G)$  be the sets of all ISs, MISs, and IPDSs in  $G$ , respectively. Then, the inclusions  $IPDS(G) \subseteq MIS(G) \subseteq IS(G)$  hold by definitions.

This paper investigates the problems of computing the numbers of ISs, MISs, and IPDSs in a graph, denoted as #IS, #MIS, and #IPDS, respectively. Provan and Ball [10] verified that the problem #IS is #P-complete for general graphs and even for bipartite graphs. Valiant [13] defined the class of #P problems as those that involve counting access computations for problems in NP, and the class of #P-complete problems includes the hardest problems in #P. As is well known, all exact algorithms for solving #P-complete problems have exponential time complexity, so efficient exact algorithms for solving this class of problems are unlikely to exist. However, this complexity can be reduced by considering only a restricted subclass of #P-complete problems.

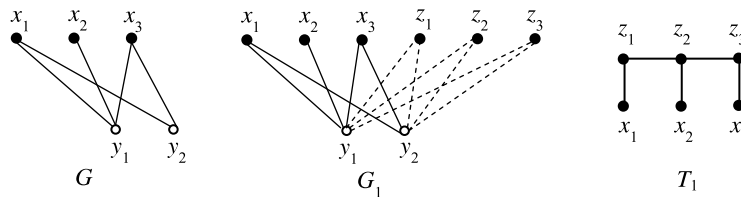
The complexities of the problems #IS, #MIS, and #IPDS have been extensively studied on various graphs such as bipartite graphs [10], interval graphs [7], chordal graphs [9], and tolerance graphs [8], and these complexities are presented in Fig. 1.

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**Fig. 1.** Inclusion relations between some graph classes.  $A \rightarrow B$  means that class A contains class B. Label at right of box that corresponds to each class indicates complexity of problems #IS (top), #MIS (middle), and #IPDS (bottom). #P-c and P denote #P-complete and polynomial-time, respectively. Symbol \* indicates a main contribution of this paper.



**Fig. 2.** Example of Constructions 1 and 2.

This paper concerns the class of *tree convex bipartite* graphs [5,11,6,2], which lies between bipartite graphs and convex bipartite graphs [3]. A bipartite graph  $G = (X, Y, E)$  is called *tree convex* if there exists a tree  $T = (X, F)$  such that, for each  $y$  in  $Y$ , the neighbors of  $y$  induce a subtree in  $T$ . When  $T$  is a star or a comb,  $G$  is called *star convex bipartite* or *comb convex bipartite*, respectively. A *comb graph* is a graph that is obtained by attaching a pendant leaf (tooth) to each vertex of a path (backbone). When  $T$  is a path,  $G$  is called *path convex bipartite* or just *convex bipartite*.

This paper shows that the problems #IS, #MIS, and #IPDS are #P-complete even for star convex bipartite graphs and comb convex bipartite graphs, but all of these problems can be solved in polynomial time for triad convex bipartite graphs with given a triad tree.

**2. Hardness results**

This section proves that the problems #IS, #MIS, and #IPDS for comb convex bipartite graphs and star convex bipartite graphs are #P-complete, immediately implying that all such problems for tree convex bipartite graphs are #P-complete. Before proceeding, some notation must be introduced. For a graph  $G = (V, E)$ , let  $N_G(v) = \{u \in V | (u, v) \in E\}$  represent the neighborhood of a vertex  $v$  in  $G$  and  $N_G[v] = \{v\} \cup N_G(v)$  represent the closed neighborhood of a vertex  $v$  in  $G$ . For  $v \in V$  and  $V^* \subseteq V$ , let  $G - v$  represent the subgraph of  $G$  that is induced by the vertices of  $V \setminus \{v\}$  and let  $G - V^*$  represent the subgraph of  $G$  that is induced by the vertices of  $V \setminus V^*$ .

**2.1. Comb convex bipartite graphs**

**Theorem 1.** *The problem #IS for comb convex bipartite graphs is #P-complete.*

**Proof.** The reduction is performed from the problem of counting the ISs in a bipartite graph, which has been proven to be #P-complete in [10].

**Construction 1.** Let  $G = (X, Y, E)$  be a bipartite graph with  $X = \{x_1, x_2, \dots, x_n\}$  and  $Y = \{y_1, y_2, \dots, y_m\}$ . A bipartite graph  $G_1 = (X \cup Z, Y, E \cup E')$  is constructed from  $G$ , with  $Z = \{z_1, z_2, \dots, z_n\}$  and  $E' = \{(z, y) | z \in Z, y \in Y\}$ . This construction is similar to the so-called *canonical transformation* [2]. Fig. 2 shows an example of Construction 1.

The proof of Theorem 1 can be split into proofs of Claims 1.1 and 1.2.

**Claim 1.1.**  $G_1$  is a comb convex bipartite graph.

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