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# Two-Process Synchronization<sup>☆</sup>

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## Abstract

Aguilera, Gafni and Lamport introduced and solved the mailbox problem in [3], which can be used to speed-up interactions between a processor and a device. They provide a mailbox algorithm with “flag registers” of size 14 and space complexity  $\Theta(n \log n)$ , and they conjecture that a solution with logarithmic space complexity exists. We prove this conjecture by presenting such a mailbox algorithm. We prove that the space complexity of our mailbox algorithm is optimal. The size of its flag registers is reduced to 4, which matches a lower bound established by Aguilera et al. In the same paper, Aguilera et al. also pointed out that the mailbox problem can be solved by means of a more general problem: the signaling problem. They presented a non-blocking solution to the signaling problem, and asked whether a wait-free solution exists. We solve this question with a bounded wait-free signaling algorithm, and we prove its correctness. A related problem is the  $N$ -buffer problem introduced by Lamport. Aguilera et al. employ their mailbox algorithm and provide a first solution to the  $N$ -buffer problem with flag registers of constant size. The drawback of their solution is its space complexity which is unbounded. We show how our signaling algorithm can be used to solve the  $N$ -buffer problem with constant-size flags and optimal space complexity.

*Keywords:* distributed algorithms, shared memory, synchronization, linearizability

## 1. Introduction

The Mailbox Problem is a theoretical synchronization problem that arises from analyzing the situation in which a processor must cater to occasional requests from some device. The problem, as presented (and solved) in [3] (see also [2]) requires the implementation of three operations: **deliver**, **check**, and **remove**. The device executes a **deliver** operation whenever it wants to get the processor’s attention, and the processor executes from time to time **check** operations to find out if there are any unhandled device requests. After receiving a positive answer for its **check** operation the processor executes a **remove** operation to find-out the nature of the request and to clear the interrupt controller. In a serial execution, it is required that a **check** operation  $C$  returns a positive answer if and only if the number of **deliver** occurrences that precede  $C$  is strictly greater than the number of **remove** operations executed before  $C$ . The mailbox object is specified by the following atomic code, in which the two processes share a queue of messages  $Q$ :

<b>deliver</b> ( <i>letter</i> )	<b>remove</b>	<b>check</b>
1. add <i>letter</i> to $Q$ $D\_num := D\_num + 1$	1. remove a letter from $Q$ $R\_num := R\_num + 1$	1. if $D\_num > R\_num$ return <b>true</b> else return <b>false</b>

The mailbox problem is to design a **deliver/check/remove** algorithm in which the **check** operation is as efficient as possible, namely that it employs bounded registers (called “flags”) that are as small as possible

<sup>☆</sup>This paper combines and expands results that appeared in two conference articles: “On the Signaling Problem” (ICDCN 2014) [5] and “On the Mailbox Problem” (OPDIS 2014) [1].

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