



Further results on global state feedback stabilization of nonlinear high-order feedforward systems



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ARTICLE INFO

Article history:

Received 15 July 2013

Received in revised form

4 November 2013

Accepted 27 November 2013

Available online 30 January 2014

This paper was recommended for publication by Dr. Q.-G. Wang

Keywords:

Nonlinear high-order feedforward systems

State feedback

Homogeneous domination approach

ABSTRACT

In this paper, by introducing a combined method of sign function, homogeneous domination and adding a power integrator, and overcoming several troublesome obstacles in the design and analysis, the problem of state feedback control for a class of nonlinear high-order feedforward systems with the nonlinearity's order being relaxed to an interval rather than a fixed point is solved.

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1. Introduction

With the development of control problem for nonlinear systems, e.g., [1–9], in recent years, more attention has been paid on the stabilization of nonlinear feedforward systems as follows:

$$\begin{aligned} \dot{\eta}_i(t) &= \eta_{i+1}^{p_i}(t) + f_i(t, \eta_{i+2}(t), \dots, \eta_{n+1}(t)), \quad i = 1, \dots, n-1, \\ \dot{\eta}_n(t) &= v^{p_n}(t), \end{aligned} \quad (1)$$

where $\eta_{n+1}(t) = 0$, $\eta(t) = [\eta_1(t), \dots, \eta_n(t)]^\top \in R^n$ and $v(t) \in R$ are the system state and control input, respectively. For $i = 1, \dots, n$, $p_i \in R_{\text{odd}}^+ \triangleq \{p/q \in R^+ : p \text{ and } q \text{ are odd integers, } p \geq q\}$, $f_i : R^+ \times R^{n-i} \rightarrow R$ is an unknown continuous function with $f_i(t, 0) = 0$. System (1) is called as nonlinear high-order system if there exists at least one $p_i > 1$.

For $p_i = 1$, there are some fruitful results, see [10–17] and the references therein. While for $p_i \geq 1$, due to some intrinsic features of high-order systems, e.g., the Jacobian linearization is neither controllable nor feedback linearizable, there are very few results achieved for feedforward system (1). In [18], the low gain homogeneous domination method is used to achieve the output feedback stabilization for a chain of odd power integrators coupled with nonlinear high-order functions. In [19,20], a state feedback controller is designed for nonlinear high-order feedforward systems with input delay. Zhang et al. [21] investigates the problem of global strong feedback stabilization for nonlinear high-order feedforward time-delay systems. The problem of global output

feedback control for a class of nonlinear high-order feedforward systems with input delay is studied in [22], their assumptions can be summarized as the form:

$$|f_i(\cdot)| \leq M \sum_{j=i+2}^{n+1} |\eta_j(t)|^{l_{ij}} \quad (2)$$

with order $l_{ij} = p_i \dots p_{j-1}$ being a fixed number. Immediately, a very interesting problem is asked:

Is it possible to relax the order l_{ij} to be an interval but not a fixed number? Under the weaker condition, can a stabilizing feedback controller be designed?

In this paper, by introducing a combined method of sign function, homogeneous domination and adding a power integrator, and overcoming several troublesome obstacles in the design and analysis procedure (see Remarks 1–3), we focus on solving the above problem.

This paper is organized as follows: Section 2 gives some useful preliminaries. Sections 3 and 4 provide the design and analysis of controller respectively, following a simulation example in Section 5. Section 6 concludes this paper.

2. Mathematical preliminaries

The following notations and lemmas are to be used throughout the paper.

Notations: R^+ stands for the set of all the nonnegative real numbers. For any vector $x = [x_1, \dots, x_n]^\top \in R^n$, denote $\bar{x}_i \triangleq [x_1, \dots, x_i]^\top \in R^i$, $i = 1, \dots, n-1$, $\|x\| \triangleq \sqrt{\sum_{i=1}^n x_i^2}$. A sign function

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sgn(x) is defined as $\text{sgn}(x) = 1$ if $x > 0$, $\text{sgn}(x) = 0$ if $x = 0$, and $\text{sgn}(x) = -1$ if $x < 0$. The argument of function (or functional) $f(x(t))$ is denoted by $f(x)$, $f(\cdot)$, or f .

Lemma 1 (Qian and Lin [23]). For $x, y \in \mathbb{R}$, $p \geq 1$ is a constant, then $|x+y|^p \leq 2^{p-1}|x^p+y^p|$, $(|x|+|y|)^{1/p} \leq |x|^{1/p}+|y|^{1/p}$. If $p \in \mathbb{R}_{\text{odd}}^{\geq 1}$, then $|x-y|^p \leq 2^{p-1}|x^p-y^p|$, $|x|^{1/p}-|y|^{1/p} \leq 2^{1-1/p}|x-y|^{1/p}$.

Lemma 2 (Sun et al. [24]). If $p = b_1/b_0 \in \mathbb{R}_{\text{odd}}^{\geq 1}$, $b_1 \geq b_0 \geq 1$, then $|x^p - y^p| \leq 2^{1-1/b_0} |\text{sgn}(x)|x|^{b_1} - \text{sgn}(y)|y|^{b_1}|^{1/b_0}$.

Lemma 3 (Mitrinović [25]). For $x, y \in \mathbb{R}$, then $xy \leq \gamma|x|^p + ((p\gamma)^{-q/p}/q)|y|^q$, where $p > 1$, $q > 1$ and $1/p + 1/q = 1$, γ is any positive constant.

Lemma 4 (Sun and Liu [26]). For the continuous function $f: [a, b] \rightarrow \mathbb{R}$ ($a \leq b$), if it is monotonically increasing and satisfies $f(a) = 0$, then $|\int_a^b f(x) dx| \leq |f(b)||b-a|$.

Lemma 5 (Sun et al. [24]). $f(x) = \text{sgn}(x)|x|^a$ is continuously differentiable and satisfies $\dot{f}(x) = a|x|^{a-1}$, where $a \geq 1$, $x \in \mathbb{R}$.

Lemma 6 (Khalil [4]). Let $V: \mathbb{R}^n \rightarrow \mathbb{R}$ be a continuous positive definite function. Then there exist class \mathcal{K} functions γ_1 and γ_2 defined on $[0, +\infty)$, such that $\gamma_1(\|x\|) \leq V(x) \leq \gamma_2(\|x\|)$ for all $x \in \mathbb{R}^n$. Moreover, if $V(x)$ is radially unbounded, then γ_1 and γ_2 can be chosen to class \mathcal{K}_∞ .

Lemma 7 (Krstić et al. [1]). For the nonautonomous system $\dot{x} = f(x, t)$, let $x=0$ be an equilibrium point of system and $V: \mathbb{R}^n \times \mathbb{R}^+ \rightarrow \mathbb{R}^+$ be a continuously differentiable function such that $\gamma_1(\|x\|) \leq V(x, t) \leq \gamma_2(\|x\|)$ and $\partial V/\partial t + (\partial V/\partial x)f(x, t) \leq -\gamma_3(\|x\|)$ hold for any $t \geq 0$, $x \in \mathbb{R}^n$, where γ_1 and γ_2 are class \mathcal{K}_∞ functions, and γ_3 is a class \mathcal{K} function on \mathbb{R}^+ . Then the equilibrium $x=0$ is globally uniformly asymptotically stable.

3. State feedback controller

3.1. Problem formulation

Throughout this paper, we assume that f_i satisfies the following condition.

Assumption 1. For each $i = 1, \dots, n-1$, there exist constants $-1/\sum_{l=1}^n p_1 \dots p_{l-1} < \omega \leq 0$ and $M > 0$ such that

$$|f_i(\cdot)| \leq M \sum_{j=i+2}^{n+1} |\eta_j(t)|^{(r_i+\omega)/r_j}, \tag{3}$$

where

$$r_1 = 1, \quad r_{i+1} = \frac{r_i + \omega}{p_i}, \quad i = 1, \dots, n. \tag{4}$$

Remark 1. Obviously, system (1) satisfying Assumption 1 is a nonlinear feedforward system.

Next, we focus on discussing the significance of Assumption 1. By $-1/\sum_{l=1}^n p_1 \dots p_{l-1} < \omega \leq 0$ and (4), it is easy to see that the order in the growth condition is $l_{ij} = (r_i + \omega)/r_j \in [p_i \dots p_{j-1}, A)$, where $A = p_i \dots p_{j-1} (\sum_{l=1}^n p_1 \dots p_{l-1} - \sum_{l=1}^i p_1 \dots p_{l-1}) / \sum_{l=1}^i p_1 \dots p_{l-1} - \sum_{l=1}^{j-1} p_1 \dots p_{l-1}$. It is obvious that Assumption 1 is much weaker than that in [18–22] in which $l_{ij} = p_i \dots p_{j-1}$. Fig. 1 clearly highlights the significance of Assumption 1.

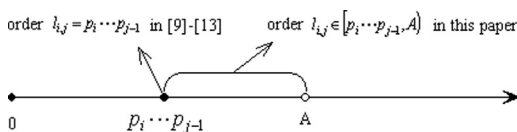


Fig. 1. The value range of the order of nonlinearity.

The purpose of this paper is to design a state feedback controller for system (1) under Assumption 1 such that the closed-loop system is globally asymptotically stable.

3.2. Design of state feedback controller

Introduce the following scaling transformation:

$$x_i = \Gamma^{-d_i} \eta_i, \quad u = \Gamma^{-d_{n+1}} v, \quad i = 1, \dots, n, \tag{5}$$

where $0 < \Gamma < 1$ is to be designed later, and $d_1 = 0$, $d_i = (d_{i-1} + 1)/p_{i-1}$, $i = 1, \dots, n+1$. Then system (1) can be rewritten as

$$\begin{aligned} \dot{x}_i &= \Gamma x_{i+1}^p + \tilde{f}_i, \quad i = 1, \dots, n-1, \\ \dot{x}_n &= \Gamma u^{p_n}, \end{aligned} \tag{6}$$

where $\tilde{f}_i = \Gamma^{-d_i} f_i$ and

$$\begin{aligned} |\tilde{f}_j| &\leq M \Gamma^{1+\nu} \sum_{j=i+2}^{n+1} |x_j|^{(r_i+\omega)/r_j}, \\ \nu &= \min_{i=1, \dots, n-1, j=i+2, \dots, n+1} \left\{ \frac{d_j(r_i+\omega)}{r_j} - d_i - 1 \right\} \\ &= \min_{i=1, \dots, n-1, j=i+2, \dots, n+1} \left\{ \frac{\omega d_j + \frac{1+p_i+p_i \dots p_{j-2}}{p_1 \dots p_{j-1}}}{\omega d_j + \frac{1}{p_1 \dots p_{j-1}}} - 1 \right\} \\ &> 0. \end{aligned} \tag{7}$$

For simplicity, in the following deduction, for any $a \in \mathbb{R}^+$ and $x \in \mathbb{R}$, we use $[\cdot]$ to denote $|x|^a \triangleq \text{sgn}(x)|x|^a$ rather than a bracket.

For system (6), we have the following proposition.

Proposition 1. For system (6), there exist a series of constants β_1, \dots, β_n , a continuous state feedback controller $u(t)$, and a continuously differentiable, positive definite and radially unbounded Lyapunov function $V(\cdot)$, such that

$$\dot{V} \leq -\Gamma \sum_{j=1}^n a_{n,j} z_j^2 + \sum_{j=1}^n \frac{\partial V}{\partial x_j} \tilde{f}_j, \tag{8}$$

where $a_{n,1}, \dots, a_{n,n}$ are positive constants, and

$$\begin{aligned} z_j &= [x_j]^{1/r_j} - [\alpha_{j-1}]^{1/r_j}, \\ \alpha_{j-1} &= -\beta_{j-1}^{r_j} [z_{j-1}]^{r_j}, \quad j = 1, \dots, n. \end{aligned} \tag{9}$$

Proof. At step 1, we choose the first Lyapunov function $V_1 = l_1 \int_0^{x_1} [s]^{2-r_2 p_1} ds$ with $l_1 > 0$ being a constant, then $\dot{V}_1 = \Gamma l_1 [x_1]^{2-r_2 p_1} (x_2^p - \alpha_1^{p_1}) + \Gamma l_1 [x_1]^{2-r_2 p_1} \alpha_1^{p_1} + (\partial V_1/\partial x_1) \tilde{f}_1$. Choosing $\beta_1 = (a_{1,1}/l_1)^{1/r_2 p_1}$ with $a_{1,1}$ being a positive constant, and using (9), one has $\dot{V}_1 \leq -\Gamma a_{1,1} z_1^2 + \Gamma l_1 [z_1]^{2-r_2 p_1} (x_2^p - \alpha_1^{p_1}) + (\partial V_1/\partial x_1) \tilde{f}_1$. \square

Step k ($k=2, \dots, n$): At step $k-1$, suppose that there are positive constants $\beta_1, \dots, \beta_{k-1}$ and a Lyapunov function $V_{k-1}(z_{k-1})$ such that

$$\begin{aligned} \dot{V}_{k-1} &\leq -\Gamma \sum_{j=1}^{k-1} a_{k-1,j} z_j^2 + \Gamma l_{k-1} [z_{k-1}]^{2-r_{k+1} p_k} (x_k^p - \alpha_{k-1}^{p_{k-1}}) \\ &\quad + \sum_{j=1}^{k-1} \frac{\partial V_{k-1}}{\partial x_j} \tilde{f}_j, \end{aligned} \tag{10}$$

with $a_{k-1,1}, \dots, a_{k-1,k-1}$, l_{k-1} being some positive constants. Next, we show that (10) still holds for step k . Choose $V_k = V_{k-1} + l_k W_k$ with $l_k > 0$ being a constant, where

$$W_k = \int_{\alpha_{k-1}}^{x_k} [[s]^{1/r_k} - [\alpha_{k-1}]^{1/r_k}]^{2-r_{k+1} p_k} ds. \tag{11}$$

From (4) and $-1/\sum_{l=1}^n p_1 \dots p_{l-1} < \omega \leq 0$, it is not hard to know that

$$r_k \geq 1, \quad 2 - r_{k+1} p_k \geq 1, \quad k = 1, \dots, n, \tag{12}$$

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