# A Reynolds equation modelling Coriolis force effects on chemical mechanical polishing 

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#### Abstract

A Reynolds equation modelling chemical mechanical polishing in cylindrical polar coordinates is derived. Coriolis force effects are included in the derivation of the model equation. The main effect of the Coriolis force is to increase the magnitude of the peak pressure. A model for the peak pressure is given by max $\left|p / p_{\mathrm{atm}}\right| \approx \kappa / \epsilon$ where $p$ is the pressure, $p_{\text {atm }}$ is atmospheric pressure, $\kappa=5 \times 10^{-8}$ is a constant and $\epsilon$ is a ratio of the thin film height to the height of the Ekman boundary layer. The value of $\epsilon$ is obtained through numerical experimentation. Using the physical experimental parameters we show that for Newtonian fluids $\epsilon=O\left(10^{-5}\right)$.


## 1. Introduction

In this paper we derive a Reynolds equation modelling the effects of Coriolis force on chemical mechanical polishing (CMP). CMP is a process that uses an abrasive-corrosive chemical slurry with a polishing pad to smooth down the surface of a wafer and reduce any irregularities on the wafer surface. The diameter of the polishing pad is greater than the diameter of the wafer. CMP is used to remove unwanted conductive or dielectric materials from a silicon wafer in order to achieve a flat and smooth surface upon which an integrated circuit can be etched. The pad and wafer are pressed together by a dynamic polishing head and held in place by a retaining ring (see Fig. 1). The dynamic polishing head is rotated about a different axes of rotation. CMP removes material from the wafer in the process of making the wafer flat. Coriolis force effects are included in the Reynolds equation by investigating an inertial frame rotating about a vertical axis on the rotating disk. The mass lost from the wafer is neglected in our model. A schematic of the problem is shown in Fig. 2.

Beschorner et al. [1] derive a Reynolds equation in cylindrical polar coordinates to model a pin-on-disk and CMP system without entrainment velocity assumptions. Beschorner et al. [1] find that using a Reynolds equation in cylindrical polars is not useful when modelling physical phenomena where the radial velocity $u=u(r)$ and the angular velocity $v=v(\theta)$ because a big discrepancy between experimental data and physical phenomena occur. Beschorner et al. [2] show that the Reynolds equation in cylindrical polar coordinates that they derive should be used when entrainment velocities are known to vary with radial and/or angular velocity, i.e. $u=u(r, \theta)$ and $v=v(r, \theta)$ to determine the pressure. This Reynolds equation yields results that compare well with experimental data and physical phenomena.

Momoniat and Mason [3] and Myers and Charpin [4] have
introduced two alternate models for the Coriolis force acting on a thin film on a rotating disk. The major difference between the two models arises in the formulation of the azimuthal velocity scale. Momoniat and Mason [3] assume that the azimuthal velocity scale is the same order as the radial velocity scale. This implies that the angular velocity of the fluid is similar to the velocity at which the fluid is sliding horizontally. Myers and Charpin [4] assume the Coriolis force drives the flow in the azimuthal direction. In this paper we follow the formulation of Myers and Charpin [4] where we assume the fluid is Newtonian.

The paper is divided up as follows: in Section 2 we derive and solve a Reynolds equation modelling CMP in cylindrical polar coordinates that includes Coriolis force effects. Concluding remarks are made in Section 3.

## 2. Chemical-mechanical polishing

From Fig. 2 we observe that the disk has angular velocity $\boldsymbol{\Omega}_{D}^{A}=\left(0,0, \omega_{D}\right)$ relative to frame $A$ centered on the disk and the wafer has angular velocity $\boldsymbol{\Omega}_{W}^{B}=\left(0,0, \omega_{W}\right)$ relative to frame $B$ centered on the wafer. Since $\mathbf{v}=\boldsymbol{\Omega} \times \mathbf{r}$ we have $\mathbf{v}_{A}=\left(-\omega_{D} \theta_{D}, \omega_{D} r_{D}, 0\right)$ for any point on the disk. For any point on the wafer we have $\mathbf{v}_{B}=(u, v, w)$ where $(u, v, w)$ are the radial, angular and azimuthal velocities respectively.

We consider a point $Q$ on the wafer with coordinates $\mathbf{r}_{B}=\left(r_{B}, \theta_{B}, 0\right)$ relative to frame $B$. The position of $Q$ relative to an observer at the centre of the disk is given by
$\mathbf{r}_{A}=\mathbf{r}_{A B}+\mathbf{r}_{B}$,
where $\mathbf{r}_{A}$ is the position of the point relative to frame $A, \mathbf{r}_{A B}$ is the is the relative position of the origin of frame $B$ from frame $A$ and $\mathbf{r}_{B}$ is the position of the point on the film relative to frame $B$. In CMP the wafer remains a fixed distance, $d$, away from the centre of the rotating disk.

| Nomenclature |  | $\bar{p}$ | the dimensionless pressure |
| :---: | :---: | :---: | :---: |
|  |  | $\mu$ | the dynamic viscosity |
| $p_{\text {atm }}$ | the atmospheric pressure | $\underline{b}$ | the body force |
| $\rho$ | the density | $\underline{\bar{b}}$ | the dimensionless body force |
| Bo | the Bond number | $\Omega$ | the angular velocity |
| B | the pin curvature parameter | $\nu$ | the kinematic viscosity |
| $h_{M}$ | the mean film thickness | W | the characteristic azimuthal velocity |
| $\alpha$ | the roll angle | $g$ | the gravitational constant of acceleration |
| $\beta$ | the pitch angle | $\kappa$ | constant |
| $\omega_{D}$ | the angular velocity of the pad/disk | $\epsilon$ | a ratio of the thin film height to the height of the Ekman |
| $\bar{\omega}_{D}$ | the dimensionless angular velocity of the pad/disk |  | boundary layer |
| $\omega_{w}$ | the angular velocity of the wafer | Ek | height of the Ekman boundary layer on the wafer |
| $\bar{\omega}_{w}$ | the dimensionless angular velocity of the wafer | $\mathrm{Ek}^{\text {D }}$ | height of the Ekman boundary layer on the disk |
| $R$ | the wafer radius | $\mathbf{\Omega}_{D}^{A}$ | angular velocity of the disk relative to frame $A$ centered on |
| $d$ | the distance of the wafer centre from the centre of the pad |  | the disk |
| $\bar{d}$ | the dimensionless distance of the wafer centre from the centre of the pad | $\mathbf{\Omega}_{W}^{B}$ | angular velocity of the wafer relative to frame $B$ centered on the wafer |
| $r$ | the radial coordinate | $\mathbf{v}_{\text {A }}$ | velocity of a point on the disk |
| $\bar{r}$ | the dimensionless radial coordinate | $\mathbf{v}_{B}$ | velocity of a point on the wafer |
| $\theta$ | the angular coordinate | $Q$ | point on the wafer |
| $z$ | the azimuthal coordinate | $\mathbf{r}_{A}$ | position of $Q$ relative to frame $A$ |
| $\bar{z}$ | the dimensionless azimuthal coordinate | $\mathbf{r}_{A B}$ | relative position of the origin of frame $B$ from frame $A$ |
| $u$ | the radial velocity | $\mathbf{r}_{B}$ | position of $Q$ on the film relative to frame $B$ |
| $\bar{u}$ | the dimensionless radial velocity | Re | the Reynolds number |
| $v$ | the angular velocity | Ro | the Rossby number |
| $\bar{v}$ | the dimensionless angular velocity | $\rho_{m}$ | the density of the slurry mixture |
| $w$ | the azimuthal coordinate | $\rho_{s}$ | the density of solid in the mixture |
| $\bar{w}$ | the dimensionless azimuthal coordinate | $\rho_{L}$ | the density of liquid in mixture |
| $h_{0}$ | the characteristic height of the film | $c_{W}$ | the concentration of solids by weight as a percentage |
| $L$ | the characteristic length of the fluid | $c_{v}$ | the concentration of solids by volume as a percentage |
| $U$ | the characteristic horizontal velocity | $\phi$ | the volume fraction |
| $t$ | the time | $\mu_{m}$ | the viscosity of the slurry mixture |
| $\bar{t}$ | the dimensionless time | $\mu_{L}$ | the viscosity of the liquid in the mixture |
| $p$ | the pressure |  |  |

The velocity of the centre of frame $B$ relative to the centre of frame $A$ is given by $\mathbf{v}_{A}=\left(-\omega_{D} \theta_{D}, d \omega_{D}, 0\right)$.

For the angle in radians we have the arc-length $S=r \theta$ where $S=\omega t$ for the angular velocity $\omega$. For the disk we therefore have $\theta_{D}=r_{D} /\left(\omega_{D} t\right)$ and for the wafer $\theta_{W}=r_{W} /\left(\omega_{W} t\right)$. The dimensions of the angle $[\theta]=[S]$ when using this formulation. This is important later on when we apply the thin-film approximations to the Navier-Stokes equations in a rotating frame. From the arc-length formulation for the angle we get $\mathbf{r}_{A B}=\left(d, d /\left(\omega_{D} t\right), 0\right)$ where $t$ is the duration of the motion. The position of $Q$ relative to frame $B$ is given by $\mathbf{r}_{B}=\left(r, r /\left(\omega_{W} t\right), 0\right)$. We find that


Fig. 1. Chemical mechanical polishing system.
$\boldsymbol{\Omega}_{B} \times \boldsymbol{\Omega}_{B} \times \mathbf{r}_{B}=\left(-r \omega_{W}^{2},-r \omega_{W} / t, 0\right), \quad \boldsymbol{\Omega}_{W}^{B} \times \mathbf{v}_{B}=\left(-v \omega_{W}, u \omega_{W}, 0\right)$.
When comparing velocities from frame $A$ to frame $B$ we have
$\frac{\partial \mathbf{v}_{A}}{\partial t}=\frac{\partial \mathbf{v}_{A B}}{\partial t}+\frac{\partial \mathbf{v}_{B}}{\partial t}+2 \boldsymbol{\Omega} \times \mathbf{v}_{B}+\frac{\partial \boldsymbol{\Omega}}{\partial t} \times \mathbf{r}_{B}+\boldsymbol{\Omega} \times \boldsymbol{\Omega} \times \mathbf{r}_{B}$,


Fig. 2. Diagram showing chemical mechanical polishing coordinate system centered on wafer.

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