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Nonlinear Analysis

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Approximations of Lipschitz maps via immersions and differentiable exotic sphere theorems

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ABSTRACT

As our main theorem, we prove that a Lipschitz map from a compact Riemannian manifold M into a Riemannian manifold N admits a smooth approximation via immersions if the map has no singular points on M in the sense of F.H. Clarke, where $\dim M \leq \dim N$. As its corollary, we have that if a bi-Lipschitz homeomorphism between compact manifolds and its inverse map have no singular points in the same sense, then they are diffeomorphic. We have three applications of the main theorem: The first two of them are two differentiable sphere theorems for a pair of topological spheres including that of exotic ones. The third one is that a compact n-manifold Mis a twisted sphere and there exists a bi-Lipschitz homeomorphism between M and the unit *n*-sphere $S^{n}(1)$ which is a diffeomorphism except for a single point, if M satisfies certain two conditions with respect to critical points of its distance function in the Clarke sense. Moreover, we have three corollaries from the third theorem: the first one is that for any twisted sphere Σ^n of general dimension n, there exists a bi-Lipschitz homeomorphism between Σ^n and $S^n(1)$ which is a diffeomorphism except for a single point. In particular, there exists such a map between an exotic *n*-sphere Σ^n of dimension n > 4 and $S^n(1)$; the second one is that if an exotic 4-sphere Σ^4 exists, then Σ^4 does not satisfy one of the two conditions above; the third one is that for any Grove–Shiohama type n-sphere N, there exists a bi-Lipschitz homeomorphism between N and $S^{n}(1)$ which is a diffeomorphism except for one of points that attain their diameters.

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1. Introduction

1.1. Motivations and our main theorem

We first mention our motivations behind our purpose, which are exotic structures: No one needs the introduction of exotic *n*-dimensional spheres Σ^n , however Σ^n were very first discovered by Milnor [25] in

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the case of n = 7, which is, by definition, homeomorphic to the standard *n*-sphere S^n but not diffeomorphic to it. Note that the smooth 4-dimensional Poincaré conjecture (SPC4), that is, the problem of the existence of an exotic structure on the 4-sphere, is still open.

Due to exotic structures, we always have technical difficulties when investigating whether a topological sphere theorem can be reinforced into a differentiable sphere theorem. The difficulties become clearer from global Riemannian geometry's stand point: It follows from Smale's h-cobordism theorem [35] together with [34] that every homotopy sphere of dimension $n \ge 5$ is a twisted sphere, which is a smooth manifold obtained by glueing two standard *n*-discs along their boundaries under a boundary diffeomorphism. This implies that every Σ^n (n > 4) is actually twisted, since Σ^n is a homotopy sphere. Applying Weinstein's deformation technique for metrics [37, Proposition C] to both of two discs embedded smoothly into a twisted sphere X of general dimension, we see that X admits a metric such that the cut locus¹ of some point on X is a single point. By all together above, we have

Theorem 1.1 (Also See [2, Proposition 7.19]). Every exotic sphere Σ^n of dimension n > 4 admits a metric such that there is a point whose cut locus consists of a single point.

Thus, it is very difficult for us to notice the difference between Σ^n and S^n from the point of view of two exponential maps at the single point on Σ^n and at any point on S^n . For example, one of open problems in global Riemannian geometry is if a Grove–Shiohama type *n*-sphere can be diffeomorphic to S^n . Here, a complete Riemannian manifold V is called a *Grove–Shiohama type sphere* if sectional curvature $K_V \geq 1$ and its diameter diam $(V) > \pi/2$ [18]. Since such a V is twisted, from Theorem 1.1 we can infer that some single cut point on V is a big obstacle whenever approximating a homeomorphism, in fact which is bi-Lipschitz, between V and S^n by diffeomorphisms.

Hence, there is a cardinal importance to do an analysis of such singular points on an arbitrary manifold. For this, we employ a notion used in non-smooth analysis of F.H. Clarke [5,6], i.e., a non-singular point for a Lipschitz function/map in this article. The following example shows that the non-smooth analysis is a strong tool in differential geometry.

Example 1.2. Let M be a complete Riemannian manifold, d the distance function of M. Take any point $p \in M$, and fix it. Set $d_p(x) := d(p, x)$ for all $x \in M$. Then, the point $q \in M \setminus \{p\}$ is a critical point of d_p (or critical point for p) in the sense of Grove–Shiohama [18], if for every nonzero tangent vector $v \in T_q M$ at q, there exists a minimal geodesic segment γ emanating from q to p such that

$$\angle (v, \dot{\gamma}(0)) \le \frac{\pi}{2}.$$

Here, $\angle(v, \dot{\gamma}(0))$ denotes the angle between v and $\dot{\gamma}(0) := (d\gamma/dt)(0)$. Note that a critical point of d_p is the cut point of p. Assume that, for some r > 0, $\partial B_r(p) := \{x \in M \mid d(p, x) = r\}$ has no critical points of d_p . By Gromov's isotopy lemma [15], $\partial B_r(p)$ is a topological submanifold of M. Since $\partial B_r(p)$ is also free of critical points of d_p in the sense of Clarke (see Example 1.9), it follows from Clarke's implicit function theorem [7] that in fact, $\partial B_r(p)$ is a *Lipschitz* submanifold of M. We are going to give the definition of the Clarke sense later (see Definition 1.5 in Section 1.2).

Our purpose of this article is to establish an approximation method for a Lipschitz map via diffeomorphisms using the notion of non-smooth analysis and to apply this method to prove differentiable sphere theorems. That is, our main theorem is as follows:

¹ The cut locus $\operatorname{Cut}(p)$ of a point p in a complete Riemannian manifold M is, by definition, the closure of the set of all points $x \in M$ such that there are at least two minimal geodesics emanating from p to x. Then, a point in $\operatorname{Cut}(p)$ is called the cut point of p. For example, $\operatorname{Cut}(N)$ of $N := (0, \ldots, 0, 1) \in S^n(1)$ is $\{(0, \ldots, 0, -1)\}$, where $S^n(1) := \{v \in \mathbb{R}^{n+1} \mid ||v|| = 1\}$. Note that the distance function from a point x of M is not differentiable at the cut point of x.

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