



# Global existence blow up and extinction for a class of thin-film equation<sup>☆</sup>



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## ABSTRACT

In this paper we use the modified method of potential wells to study the properties of solutions for a class of higher order nonlinear parabolic equations with  $p$ -Laplace term  $-(|u_x|^{p-2}u_x)_x$  and nonlocal source  $|u|^{q-1}u - \frac{1}{|\Omega|} \int_{\Omega} |u|^{q-1}u dx$ . Global existence, uniqueness, blow up in finite time and asymptotic behavior of solutions will be proved under different initial conditions. Furthermore, a numerical example is given to illustrate the blow-up of solutions in finite time.

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## 1. Introduction

In this article, we consider the following initial and boundary value problem for a class of nonlinear parabolic equation describing thin-film epitaxial growth

$$\begin{cases} u_t + u_{xxxx} - (|u_x|^{p-2}u_x)_x = |u|^{q-1}u - \frac{1}{|\Omega|} \int_{\Omega} |u|^{q-1}u dx, & (x, t) \in \Omega \times (0, T), \\ u_x = u_{xxx} = 0, & (x, t) \in \partial\Omega \times (0, T), \\ u(x, 0) = u_0(x), & x \in \Omega, \end{cases} \quad (1.1)$$

where  $\Omega \subset \mathbb{R}$  is an open interval,  $p > 1, q > \max\{1, p-1\}$ .  $u_0 \in H^2(\Omega)$  satisfies  $\int_{\Omega} u_0 dx = 0$ .

It is well known that fourth-order reaction–diffusion equations describe a variety of important physical processes, such as phase transition, thin-film theory, lubrication theory etc. Usually Problem (1.1) can

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be regarded as a simplified model to describe the evolution of the epitaxial growth of nanoscale thin films (see [2,16]), where  $u(x, t)$  denotes the height from the surface of the film,  $u_{xxxx}$  corresponds to the capillarity-driven surface diffusion, and the  $p$ -Laplace term  $(|u_x|^{p-2}u_x)_x$  denotes the upward hopping of atoms. Due to Zangwill [16] the height  $u(x, t)$  of a film in epitaxial growth can be described by the basic model

$$u_t = g - \nabla \cdot j + \eta \tag{1.2}$$

with periodic boundary conditions and some initial conditions. The phenomenological approach is to expand  $j$  in  $\nabla u$  and its powers. Keeping only “sensible” terms yields

$$j = A_1 \nabla u + A_2 \nabla(\Delta u) + A_3 |\nabla u|^2 \nabla u + A_4 \nabla |\nabla u|^2,$$

see [16] for details. Ortiz et al. [9] modified this model in several respects. In particular, they showed that  $A_4 = 0$  if Onsager’s reciprocity relations held, and the terms in (1.2) had the following physical interpretations

- $g$  : the deposition flux,
- $A_1 \Delta u$  : diffusion due to evaporation–condensation,
- $A_2 \Delta^2 u$  : capillarity-driven surface diffusion,
- $A_3 |\nabla u|^2 \nabla u$  : upward hopping of atoms.

The epitaxial growth of nanoscale thin films has recently received increasing interest in materials science. The following well-known equation

$$u_t + \Delta^2 u - \operatorname{div}(f(\nabla u)) = g(x), \tag{1.3}$$

where a reasonable choice of  $f(s)$  is  $f(s) = |s|^{p-2}s$  was studied by King, Stein and Winkler [2] who proved the existence, uniqueness and regularity of solutions in an appropriate function space for the initial and boundary value problem. Liu [6,7] studied the following equation

$$u_t + \operatorname{div}[m(u)k\nabla \Delta u - |\nabla u|^{p-2}\nabla u] = 0 \tag{1.4}$$

in one and two dimensional spaces. On the basis of the uniform Schauder type estimates and Campanato spaces, he proved the global existence of classical solutions. In the absence of  $-(|u_x|^{p-2}u_x)_x$  in (1.1) Qu and Zhou [11] considered the following thin-film equation

$$u_t + u_{xxxx} = |u|^{p-1}u - \frac{1}{|\Omega|} \int_{\Omega} |u|^{p-1}u dx. \tag{1.5}$$

By using the method of potential wells they obtained a threshold result of global existence and blow up for the sign-changing weak solutions and the conditions under which the global solutions extinct in finite time.

The method of potential well was first proposed by Sattinger [12] in 1968 when dealing with a class of non-linear hyperbolic initial–boundary value problem

$$\begin{cases} u_{tt} - \nabla^2 u + f(x, u) = 0, & (x, t) \in \Omega \times (0, T), \\ u = 0, & (x, t) \in \partial\Omega \times (0, T), \\ u(x, 0) = U(x), \quad u_t(x, 0) = V(x) & x \in \Omega. \end{cases} \tag{1.6}$$

This method is based on the ‘potential’ energies  $J(u)$  associated with Problem (1.6). Suppose that  $J$  has a local minimum at  $u = U(x)$ . Then, in analogy with the local minimum of a potential function for a mechanical system with a finite number of degree of freedom, imagine a potential well  $W$  situated at  $u = U$  in function space. If  $U$  lies in  $W$  and if the total energy of the initial data is less than the depth of  $W$ , then Problem (1.6) has a global solution. After that many authors [1,4,5,8,10,13] studied the global existence and nonexistence of solutions of initial and boundary value problem for various nonlinear evolution equations

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