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Inferring Left Behind Passengers in Congested Metro Systems from Automated Data

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Abstract

With subway systems around the world experiencing increasing demand, measures such as passengers left behind are becoming increasingly important. This paper proposes a methodology for inferring the probability distribution of the number of times a passenger is left behind at stations in congested metro systems using automated data. Maximum likelihood estimation (MLE) and Bayesian inference methods are used to estimate the left behind probability mass function (LBPMF) for a given station and time period. The model is applied using actual and synthetic data. The results show that the model is able to estimate the probability of being left behind fairly accurately.

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1. Introduction

In recent years, transit ridership has experienced large increases, especially in major metropolitan areas. The Mass Transit Railway (MTR) in Hong Kong for example, experienced an 83.8% ridership increase in the past 10 years (MTR Corporation, 2016), and Transport for London (TfL) has seen an increase of 55.7% in public transportation ridership since 2000 (Mayor of London, 2015). With rapid population growth, London anticipates a further 21% increase in ridership by 2041 even if the trip rate per person remains the same. As a result, many systems operate at (or near) capacity during peak hours. Even with very short headways, systems cannot cope with the large demand and are often congested with passengers left behind, unable to board the first train due to the capacity constraints. Level of service can deteriorate significantly with overcrowding on station platforms and trains, long queues at escalators, unreliable journey times, and potential safety concerns.

To better deal with the increasing demand, operators are interested in: (i) measuring the impact on passengers due to near capacity operations, (ii) better understanding the performance of the system especially when it is stressed by large demand, and (iii) developing short-term strategies to improve capacity utilization.

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The availability of Automated Fare Collection (AFC) and Automated Vehicle Location (AVL) data affords the opportunity to monitor system operations and facilitate the development of relevant metrics to measure passenger experience, such as crowding levels, excess waiting time due to limited capacity, etc. (Bagchi and White, 2005; Agard et al., 2006; Zhao et al., 2007; Chan, 2007; Pelletier et al., 2011; Ortega-Tong, 2013; Langlois et al., 2016). Left behind passengers due to overcrowding has become a major concern for many transit operators (Delgado et al., 2012; Sun and Xu, 2012).

To address some of the questions raised above, detailed models using automated data have been proposed, aiming at “assigning” passengers to individual trains. The models reproduce the passenger flow through the network and estimate the capacity utilization of the system (see for example, Buneman 1984; Kusakabe et al. 2010; Paul 2010; Zhu 2014; Zhu et al. 2017).

However, the problem of estimating aggregate metrics for peak hour operations, such as passengers left behind, has not received a lot of attention in the literature. While aggregate metrics can be calculated from the output of the passenger-to-train assignment models mentioned above, they are still too complicated and time consuming to apply. Moreover, for peak hour applications, when passengers may be left behind at origin/transfer stations by several trains, passenger assignment models become very difficult to apply because of the large number of possible itineraries. Agencies sometimes hire surveyors to count the number of passengers left behind after a train departs, however this process is expensive, inefficient and inaccurate.

The objective of this paper is to propose an efficient method to directly infer passenger movements and left behind probabilities from AFC and AVL data, for systems in which entry and exit station transaction data are recorded. The output of the model supports various applications:

1. Developing performance metrics from the passenger’s point of view, such as the probability distribution of the number of times a passenger is left behind.
2. Providing important input to some passenger assignment models (Sun and Schonfeld, 2015), such as the left behind probabilities, which can potentially improve the accuracy of those models and simplify their structure. These assignment models can also be used to analyze train-loading and crowding levels from the operator’s point of view (Zhu et al., 2017).

The remainder of this paper is organized as follows. Section 2 develops the model and describes the estimation approaches. Section 3 validates the model using synthetic data generated from actual AFC transactions and train movement data. Section 4 applies the model using actual data during the peak period, and compares the results with manual observations. It also evaluates the system performance under sudden increases in demand (stress test). Section 5 concludes the paper.

2. Methodology

This paper examines the problem of estimating the probability distribution of the number of times passengers are left behind at a station in a given time period. Two data sources are used: (i) fare transaction records from a closed AFC system (i.e. a system where passengers both tap-in and tap-out), and (ii) train tracking data from the AVL system which provides station arrival and departure times. Assuming that the number of times a passenger is left behind is a random variable with an unknown probability mass function, two approaches are used to estimate the left behind probability mass function (LBPMF). The first method is based on a maximum likelihood formulation of the problem and the second uses Bayesian inference to estimate the posterior distribution of the LBPMF.

The problem can be viewed as identifying the underlying groups of passengers based on how many times they were unable to board a train. In the transportation literature, a number of problems have been studied using similar approaches. Barnhart et al. (2014) and Yan et al. (2016) for example, developed discrete choice models to assign passengers to flight itineraries in air transportation. Zhou and Xu (2012) and Zhou et al. (2015) developed passenger assignments models based on recorded entry and exit times from AFC data in Metro systems. Zhang and Yao (2015) utilized AFC data to estimate various travel times including walking, waiting, transfer, and in-vehicle travel times. Kazagli and Koutsopoulos (2013), used a mixture model to identify latent driver populations with different travel behavior using observations of travel times from an Automated Number Plate Recognition (ANPR) system. Fu et al.

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