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Multiscale description of molecular packing and electronic processes in small-molecule organic solar cells

QI Xing-Xing Shen^{a,b,1}, Guang-Chao Han^{a,b,1}, Yuan-Ping Yi^{a,b,*}

^a Beijing National Laboratory for Molecular Sciences, CAS Key Laboratory of Organic Solids, Institute of Chemistry, Chinese Academy of Sciences, Beijing 10049, China

^b University of Chinese Academy of Sciences, Beijing 100049, China

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ABSTRACT

This paper summarizes our recent works on theoretical modelling of molecular packing and electronic processes in small-molecule organic solar cells. Firstly, we used quantum-chemical calculations to illustrate exciton-dissociation and charge-recombination processes at the DTDCTB/C₆₀ interface and particularly emphasize the major role of hot charge-transfer states in the exciton-dissociation processes. Then, we systematically analyzed the influence of DTDCTB surfaces with different features on the vacuum vapor deposition growth and packing morphologies of C_{60} via atomistic molecular dynamics simulations, and found that the formation of crystalline fullerene is the result of an integrated impact of stability, landscape, and molecular orientation of the substrate surfaces. Also, we investigated the impact of different film-processing conditions, such as solvent evaporation rates and thermal annealing, on molecular packing configurations in a neat small-molecular donor material, DPP(TBFu)₂, and discussed the correlation between charge mobility and molecular packing via atomistic simulations in combination with electronic-structure calculations and kinetic Monte Carlo simulations.

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10 **1. Introduction**

Owing to the peculiarities of low cost, light weight, mechanical 11 12 flexibility, large-area capability, and ease of fabrication, organic 13 photovoltaics (OPVs) have attracted much attention over the past 14 two decades [1–7]. Recent efforts have pushed the power 15 conversion efficiencies (PCEs) exceeding 10% for both polymer-16 based [8,9] and small molecule-based [10-14] organic solar cells 17 (OSCs) employing a single photoactive layer. Nevertheless, it still 18 does not reach commercial viability. In general, the active layer 19 consists of two distinct components of electron-rich donor (D) and 20 electron-deficient acceptor (A) materials with a bilayer or bulk 21 heterojunction architecture. In order to achieve an efficient OPV 22 operation, a series of successive electronic processes must be 23 optimized within the active layer, including (1) exciton formation 24 by sunlight absorption in D and/or A materials, (2) exciton 25 diffusion to the D/A interface before decaying to the ground state,

E-mail address: ypyi@iccas.ac.cn (Y.-P. Yi).

¹ These authors contributed equally to this work.

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(3) exciton dissociation (ED) into charge-transfer (CT) states at the 26 D/A interface, where hole and electron are still weakly electro-27 statically bounded, (4) hole and electron separation into free 28 charge carriers and migration along D and A toward the anode and 29 cathode, respectively, and (5) finally hole and electron collection at 30 the respective electrode [2,15,16]. In the meanwhile time, the 31 undesirable (geminate, bimolecular, trap-assisted, etc.) charge 32 recombination (CR) processes must be prevented, thus requiring a 33 fine balancing act. 34

Critical to these key processes are the molecular packing 35 36 configurations in the active layer, especially the D/A intermolecu-37 lar arrangements at the interface [15-22]. Recent quantum-38 chemical studies on model constructed and molecular dynamics (MD) simulated D/A complexes pointed out that, the competition 39 between ED and geminate CR is closely relevant to the interfacial 40 packing [17,23,24]. Except the exquisite interface engineering, the 41 impact of hot CT states in the ED process has also been emerged 42 [23,25–27]. Some recent experiments revealed that hot CT excitons 43 could dissociate into free charge carriers within several hundreds 44 of femtoseconds before decaying into the lowest CT (CT₀) state [25– 45 27]. These will be the focus of Section 2 where we take the example 46 of DTDCTB/C₆₀ complexes to clarify the ED and CR mechanisms 47 [23]. The OSCs based on DTDCTB/fullerene heterojunctions have 48

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Q2 * Corresponding author at: University of Chinese Academy of Sciences, Beijing 100049, China.

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X.-X. Shen et al. / Chinese Chemical Letters xxx (2016) xxx-xxx

been widely investigated and achieved a relatively high PCE of exceeding 8% [28-39]. Importantly, our calculations pointed to that hot CT states dominate the ED process in the DTDCTB/C60 complexes, which is favorable to obtain ultrafast charge separation.

53 Although modulation of the active layer morphologies for 54 efficient generation of free carriers has been successfully achieved 55 by several different preparation procedures [1,19,20,40–43], it still 56 remains very difficult to experimentally probe the molecular self-57 assembly processes and packing structures [44], especially the 58 myriad morphologies available in the active layer. This will 59 severely hinder obtaining reliable structure-property relation-60 ships and further improving the device performance. It is the 61 reason why atomistic MD simulations are increasingly used as a 62 powerful tool to obtain further understanding of the buried bulk 63 and interfacial morphologies [24,45-51], which will be the focus of 64 Section 3. In this part, we summarize the modeling schemes of 65 vapor-deposition and solution-processing processes and our two 66 recent works. First, the impact of different DTDCTB surfaces on 67 fullerene packing and interfacial morphologies are analyzed 68 [24]. Second, the effect of solvent evaporation rate and thermal 69 annealing on the molecular packing morphology and charge 70 transport is unraveled for a diketopyrrolopyrrole (DPP)-based 71 small-molecule donor material [51], DPP(TBFu)₂ [52–55], which 72 displays excellent hole mobility.

73 2. Excited-state charge-transfer processes at the donor/ 74 acceptor interface

75 2.1. Methodology

76 Electronic coupling between the local excited and charge-77 transfer states is one of the key parameters to evaluate the excited-78 state charge-transfer processes at the donor/acceptor interface. 79 Several methods have been developed to compute electronic 80 couplings, such as the fragment molecular orbital (FMO) method 81 [56], energy level splitting (ELS) method [57], generalized 82 Mulliken-Hush (GMH) model [58], and constrained density 83 functional theory (CDFT) [59]. The electronic couplings provided 84 by the FMO and ELS methods are originated from the interaction 85 between frontier molecular orbitals based on the single-electron 86 approximation. The GMH model can deal with many-electron 87 states, but it is applicable only when the adiabatic states are 88 constructed by two diabatic states. For the method based on CDFT, 89 just the ground CT state can be considered. Recently, a diabatic-90 state approach was developed, which can calculate electronic couplings between any local and any CT states [17]. It is expressed 91 92 as follows:

$$V_{ab} = \langle \Psi_a^{LE} | H | \Psi_b^{CT} \rangle \tag{1}$$

Here, Ψ_{a}^{LE} denotes the ground state or the excited state located 93 on D or A, and $\Psi_{\rm b}^{\rm CT}$ represents the CT state of the D-A complex, 96 97 which are constructed as spin-adapted anti-symmetrized products 98 of the isolated D and A wavefunctions,

$$\Psi_{ij}^{LE}(S,M) = \sum_{M_iM_i} C_{S_iM_iS_jM_j}^{SM} \left| \Psi_i^D(S_i,M_i)\Psi_j^A(S_j,M_j) \right|$$
(2)

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$$\Psi_{km}^{CT}(S,M) = \sum_{M_k M_m} C_{S_k M_k S_m M_m}^{SM} \left| \Psi_k^{D^+}(S_k,M_k) \Psi_m^{A^-}(S_m,M_m) \right|$$
(3)

102 here, S and M are the total spin and spin projection of the D-A 103 complex. $\Psi_i^{D/A}$ represents the *i*th excited state of the donor or acceptor with spin S_i and spin projection M_i , and $\Psi_k^{D^+/A^-}$ represents 104 the positively-charged state of D or negatively-charged state of A. 105 The Clebsch-Gordan coefficients (C_{S,M_i,S,M_i}^{SM}) ensure that the linear 106 107 combination of the products of the isolated wavefunctions is the eigenfunction of the total spin. The isolated excited and charged 108 states of D and A can be calculated by the intermediate neglect of differential overlap (INDO) Hamiltonian [60] coupled to a single configuration interaction (SCI) scheme. In our following work, the Mataga-Nishimoto potential was applied to describe the coulomb repulsion term [61,62].

Considering the degeneracies of the excited states of C₆₀ and the CT states, the effective electronic couplings (V_{eff}) are calculated as follows [17]:

$$V_{\rm eff}^2 = \frac{1}{g_a} \sum_{ij} \left(\Psi_{ai} | H | \Psi_{bj} \right)^2$$
(4)

where g_a is the multiplicity of the initial diabatic state, and Ψ_{ai} and Ψ_{bi} are the initial and final degenerate diabatic states, respectively.

In the framework of semi-classical model of the Marcus 120 electron-transfer theory [63], the ED and CR rates can be calculated 121 by the following equation, 122

$$k_{ab} = V_{ab}^2 \sqrt{\frac{\pi}{\lambda k_{\rm B} T h^2}} \exp[-\frac{\left(\Delta G + \lambda\right)^2}{4\lambda k_{\rm B} T}]$$
(5)

Here, V_{ab} is the electronic coupling described above, λ the 123 reorganization energy, ΔG the Gibbs free energy, $k_{\rm B}$ the 126 Boltzmann constant, h the reduced Planck constant, and T the 127 temperature (set to 298.15 K in our study). It should be noted 128 that compared with the Marcus theory, a much more complicate 129 full quantum approach has been developed to calculate a more 130 accurate rate through taking account of the nuclear tunneling 131 132 effect [64–68].

Reorganization energy, λ consists of internal (λ_{int}) and external (λ_{ext}) contributions. λ_{int} for exciton dissociation can be evaluated as the total relaxation energies from their excited-state or groundstate geometries to ionic geometries, while for charge recombination it corresponds to the total relaxation energies from their ionic geometries to neutral geometries. In the case of flexible molecules, e.g. DTDCTB, the steric hindrance effect on λ_{int} can be very important in the solid state, and λ_{int} should be evaluated by a hybrid quantum mechanics/molecular mechanics (QM/MM) approach [69]. At present, it is still difficult to reliably estimate λ_{ext} , which is regarded as a variable and set to 0.06~0.20 eV in our study [70.71]

When the entropy contribution is ignored, Gibbs free energy, 145 ΔG is equal to the energy difference between the initial and final 146 diabatic states, and the energy of the diabatic state is calculated as 147 the sum of the total energies of the isolated states of D and A and 148 the Coulomb energy between D and A. For the local states, D and A 149 are neutral and the Coulomb energies between D and A can be 150 neglected. Therefore, the energies of local excited states are 151 approximate to the excitation energies of the isolated D and A. 152 However, the energies of the CT states must take into consideration 153 the Coulomb interaction and can be estimated as [17] 154

$$E_{\rm CT} = IP + EA + E_{\rm Coul} \tag{6}$$

where *IP* and *EA* are the ionization potential of D and the electron 156 affinity of A, respectively. E_{Coul} is the Coulomb energy between the 157 cation of D and anion of A, 158

$$E_{\text{Coul}} = \sum_{d \in D^+, a \in A^-} \frac{q_d q_a}{4\pi \varepsilon_0 \varepsilon r_{da}}$$
(7)

Here, d and a represent the atoms in D and A, respectively. q_d 160 162 and q_a denote their corresponding partial charges (they are calculated by an INDO Mulliken population analysis in our work) 163 and r_{da} is the distance between atoms d and a. ε_0 and ε are dielectric 164 constants of the vacuum and medium (set to 4 in our work), 165 166 respectively.

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