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electron-donating substituents gave mixtures of products.

Pyridone photoelectrocyclizations to pyridophenanthrenes

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ABSTRACT

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As part of our program targeting the synthesis of quaternary substituted carbolines, we recently described the use of a four-step Suzuki coupling, oxidative cyclization, free-radical coupling sequence that converts bromodihydropyridone **1** into quaternary substituted carbolines like **6** (Scheme 1).¹

In addition to its use in the sequence outlined above, we became interested in employing **1** to synthesize phenanthrene analogs, i.e. **8**, from vinyl biphenyl electrocyclization/[1,5]-hydride shift sequences from the corresponding dihydropyridones **7** (Scheme 2). We envisioned numerous applications for phenanthrene analogs including their use as precursors to structurally and biologically interesting targets like ergoline and ergoline analogs and their use as ligands/reagents/catalysts for organic synthesis.^{2–4}

While dihydropyridones had not been used previously in vinyl biphenyl electrocyclization reactions, a wealth of information on related transformations exists.⁵ In particular, Lewis and Zuo examined the photoinduced electrocyclization of vinyl biphenyl derivatives to give dihydrophenanthrene **10** from a tandem electrocyclization, [1,5]-hydride shift sequence.^{6,7} Interestingly, Lewis and co-workers subsequently calculated an essentially barrierless transition for the reaction after excitation (see Scheme 3).⁸

In addition to determining whether dihydropyridones would participate in reactions related to Lewis' we planned to examine the effect of substituents on the regio- and stereoselectivity of the reaction. In this regard, the precedent for regioselective

* Corresponding author. E-mail address: rainier@chem.utah.edu (J.D. Rainier). electrocyclization reactions is mixed. Schultz and co-workers reported that the photocyclization of *meta*-methoxy aryloxyenone **11a** was regioselective giving benzofuran **12a** as the only isolated product (Scheme 4).⁹ In contrast to this result, *meta*-methyl enone **11b** and *meta*-methylester enone **11c** gave 3:1 and 2:1 mixtures of **12b** and **12c** and **13b** and **13c**, respectively. Schultz applied this reaction to the synthesis of the morphine skeleton.¹⁰

This article describes the synthesis of pyridophenanthrenes from the stereoselective electrocyclization

and [1,5]-hydride shift sequences of biphenyl pyridones. The regioselectivity of the reaction of meta-

substituted biphenyl substrates depended on the electronic environment of the substituents. That is,

substrates having electron-withdrawing substituents underwent a regioselective sequence while

The effect of substitution on the electrocyclization of stilbene derivatives has also been examined. The studies that are most relevant to ours came from Mallory and Mallory where they reported that essentially 1:1 mixtures of phenanthrene isomers **15** and **16** were formed from the photocyclization/oxidation sequence of meta-CF₃, Cl, and CH₃ substituted stilbenes **14** (Scheme 5).¹¹

Also worthy of mention are studies from Lewis and co-workers that demonstrated that amino pyridine **17** undergoes a regioselective tandem electrocyclization, [1,7]-hydride shift sequence that gives a mixture of **18** and **19** (Scheme 6).¹² Lewis reported that the amount of **18** was greatest when the reaction was run in the absence of oxygen and attributed this phenomenon to a reversible 6π electrocyclization reaction. That is, under anaerobic conditions the intermediate leading to **18** undergoes a relatively rapid [1,7]-hydride shift and aromatization while the intermediate leading to **19** preferentially reverts back to starting material. When the reaction was run in the presence of oxygen the equilibration was suppressed by the rapid oxidation of the regioisomeric electrocyclization products.

With the aforementioned studies in mind, we set out to examine the cyclization chemistry of dihydropyridones. A series of





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Scheme 1. Suzuki Coupling-Oxidative Cyclization Sequence to Carbolines.



Scheme 2. Proposed Electrocyclization Sequence to Dihydrophenanthrenes.



Scheme 3. Lewis and Zuo's Generation of Dihydrophenanthrenes.

substituted cyclization precursors that differed in their substitution pattern on the aromatic ring distal to the dihydropyridone were obtained from a sequential Suzuki-Miyaura coupling sequence as is outlined in Table 1.¹³ We initially investigated the electrocyclization reaction of unsubstituted substrate **24** (entry 1) that came from the coupling of bromoarene **22** with boronic acid **23** (R, R" = H). Based on work from other laboratories it was not surprising that our attempts to carry out the thermal electrocyclization of **24** were unsuccessful. In contrast, piperidonephenanthrene **35** was isolated in 90% yield when **24** was subjected to 350 nm UV light. Shorter wavelength light (300 nm) gave lower yields presumably as a result of significant decomposition of starting material and/or product. As has been proposed for related reactions, we believe that the conversion to **35** is the result of a photochemically allowed conrotatory

 6π electrocyclization and a subsequent thermally allowed suprafacial [1,5]-hydrogen shift from the cyclohexadiene intermediate (See Scheme 8). Substituted variants of **24** also underwent photochemical electrocyclization. The cyclization of *ortho*-methoxy biphenyl dihydropyridone **25** (entry 2) gave dihydrophenanthrene **36** in 88% yield.

In contrast to Mallory's precedent, when *meta*-substituted biphenyldihydropyridones were subjected to 350 nm UV light the regioselectivity of the cyclization was dependent on the electronic nature of the substituents. When meta-electron-withdrawing substituents ($R' = C(O)CH_3$, CF_3 , NO_2) were present, ¹H NMR of the crude reaction mixture showed dihydrophenanthrene **A** to be the only product after photocyclization (entries 3–5). In contrast, the presence of electron-donating substituents ($R' = OCH_3$, F, Cl, CH_3) led to a nearly equal mixture of dihydrophenathrenes **A** and **B** (entries 6–9). The exception to this was dimethyl amino substrate **33** (entry 10) which gave a 20:1 ratio of **A:B** in 95% yield. Because the corresponding isopropyl substrate **34** gave a 4:3 ratio of **A** and **B** (entry 10) we do not believe that the selectivity of **33** was a consequence of steric interactions during the reaction.

Although more extensive studies are needed, the facial selectivity in the electrocyclization reaction can be influenced by substituents on the pyridone amine. When phenethyl and naphthethyl amide biphenyl substrates **46** and **47** were subjected to photochemical conditions we isolated dihydrophenanthrenes **48** and **49** as 3.3:1 and 6:1 mixtures of diastereomers, respectively (see Scheme 7).



Scheme 4. Schultz and co-workers aryloxyenone photocyclization.

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