



# Total synthesis of (–)-phaeosphaeride B by a biomimetic conversion from (–)-phaeosphaeride A



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## ABSTRACT

We have accomplished the first total synthesis of STAT3 inhibitory (–)-phaeosphaeride A and its stereoisomer (–)-phaeosphaeride B. This work confirms the configurational assignment of these natural products. Notably, TFA-mediated dehydrative stereoinversion of phaeosphaeride A afforded phaeosphaeride B, based on our hypothesis of the biosynthesis mechanism of phaeosphaeride B from phaeosphaeride A.

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## 1. Introduction

Phaeosphaerides A (proposed structure **1**) and B (**2**) are nitrogen-containing bicyclic natural products that were isolated from the endophytic fungus FA39 (*Phaeosphaeria avenaria*) by Clardy and co-workers in 2006 (Fig. 1).<sup>1</sup> Phaeosphaeride A is an inhibitor of STAT3-DNA binding with an IC<sub>50</sub> of 0.61 mM and also inhibits cell growth in STAT3-dependent U266 multiple myeloma cells with an IC<sub>50</sub> of 6.7 μM *in vitro*, whereas its stereoisomer phaeosphaeride B (**2**) has no STAT3 inhibitory activity.

In 2011 and 2012, our group<sup>2</sup> and Sarli's group<sup>3</sup> independently demonstrated the total synthesis of the proposed structure of phaeosphaeride A (**1**), which revealed that the structure of phaeosphaeride A was misassigned. Stereochemical considerations suggested that the correct structure of phaeosphaeride A is C-7 epimer **3** of the originally proposed structure (**1**) or its enantiomer (**4**). The first total synthesis of **3** was achieved by our group in 2015, providing a corrected configuration (**4**) for phaeosphaeride A,<sup>4</sup> and

this result was supported by the X-ray crystal structure of natural phaeosphaeride A reported by Abzianidze et al.<sup>5</sup>

During the course of these studies, Sarli and colleagues and Abzianidze et al. also conducted biological studies of their synthetic compounds.<sup>3,6,7</sup> Sarli and colleagues synthesized and biologically evaluated the stereoisomers of phaeosphaeride A, which suggested that these compounds are upstream inhibitors of a tyrosine kinase in the JAK/STAT pathway.<sup>6</sup> Abzianidze et al. prepared the C-6 acyl derivatives from the isolated natural phaeosphaeride A, and a chloroacetyl derivative exhibited more potent cytotoxicity (EC<sub>50</sub> = 33 ± 7 μM) against A549 cancer cells than natural phaeosphaeride A (EC<sub>50</sub> = 46 ± 5 μM).<sup>7</sup> Their studies highlighted phaeosphaeride A as a potential seed compound for STAT3 inhibitors in anti-cancer drug discovery,<sup>8</sup> and further screening of unnatural phaeosphaeride A derivatives may provide compounds that are more biologically active. Furthermore, the ambiguous biosynthetic pathway for phaeosphaerides A and B was expected to be clarified. Based on the structures of the phaeosphaeride family, we assumed that proton-mediated biosynthetic conversion occurred between phaeosphaerides A and B (Scheme 1).

In this paper, we describe the total synthesis of (–)-phaeosphaeride B (**2**) by a hypothetical biomimetic conversion from (–)-phaeosphaeride A through a TFA-mediated stereoinversion.

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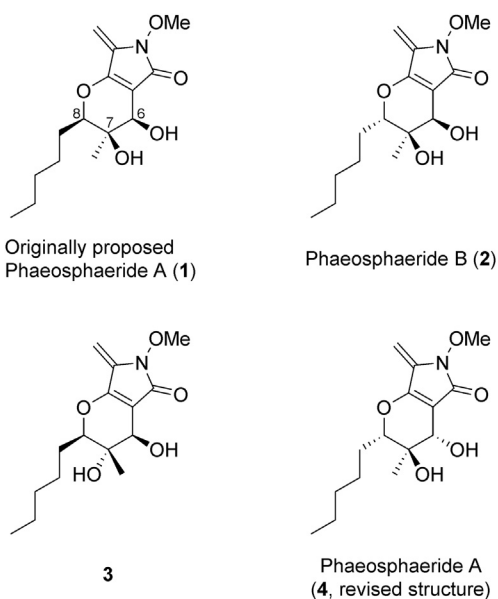
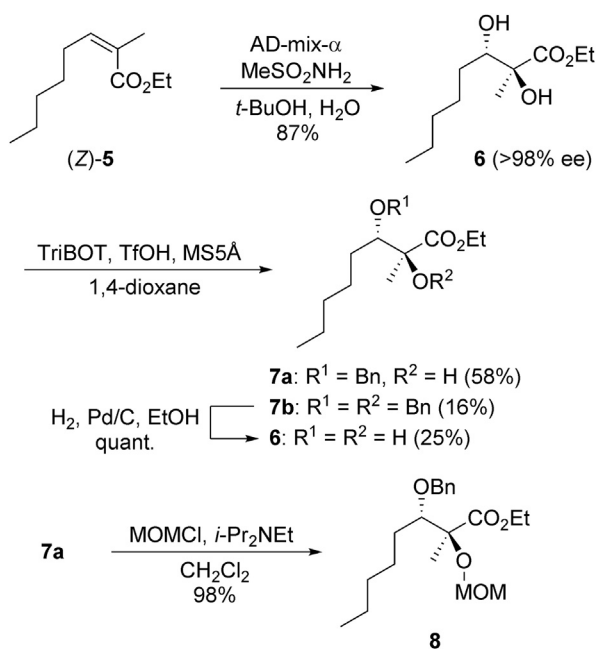
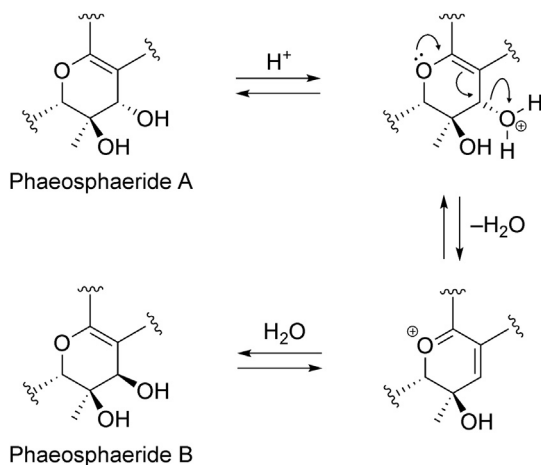


Fig. 1. Structures of phaeosphaerides.



Scheme 2. Synthesis of ester 8.



Scheme 1. Possible biosynthetic conversion between phaeosphaerides A and B.

## 2. Results and discussion

### 2.1. Synthesis of (–)-phaeosphaerides A

To synthesize (–)-phaeosphaeride B (2) from (–)-phaeosphaeride A (4) by a hypothetical stereoinversion at C-6, we first prepared (–)-phaeosphaeride A (4) by following our synthetic path to *ent*-phaeosphaeride A (3).<sup>4</sup> The synthesis of (–)-phaeosphaerides A (4) began with Sharpless asymmetric dihydroxylation of known (Z)-5,<sup>4</sup> synthesized by the Z-selective Horner–Wadsworth–Emmons reaction (Scheme 2).<sup>9</sup> Ester (Z)-5 was dihydroxylated to (2*S*,3*S*)-diol 6, an enantiomer of the known diol,<sup>4</sup> by Sharpless asymmetric dihydroxylation using AD-mix- $\alpha$ <sup>10</sup> in high yield (87%) and enantioselectivity (98% ee, determined by modified Mosher's method).<sup>11</sup>

According to our synthetic scheme for 3, diol 6 was converted to ester 8. The two hydroxy groups in 6 were protected with a Bn group<sup>12</sup> for the secondary alcohol and a MOM group for the tertiary alcohol to obtain 8 in 57% yield (two steps).

Ester 8 was reduced with DIBALH to provide the alcohol in 86% yield, which was then protected to give the TIPS ether in quantitative yield (Scheme 3). Removal of the Bn group by hydrogenation using catalytic Pd/C produced desired secondary alcohol 9 in 99% yield. Oxa-Michael addition of alcohol 9 to 10 using catalytic *n*-BuLi gave (*E*)-11 in 86% yield. TIPS ether (*E*)-11 was then converted to aldehyde 12 by desilylation with HF-pyridine to give an alcohol, followed by Dess–Martin oxidation. To construct a dihydropyran ring, aldehyde 12 was subjected to a sodium hexamethyldisilazide-mediated vinyl anion aldol reaction to generate desired product 13a (56%), its TMS ether 13b (4%), and C-6 diastereomer byproduct 13c (15%). During this reaction, alcohol 13a and TMS ether 13b appeared to be stereoselectively formed through the transition state shown in parentheses in Scheme 3.<sup>13</sup> W-type long-range coupling<sup>14</sup> between H-6 and H-8 in 13a and 13b was observed to confirm the required *S* configuration at the C-6 stereocenter.

Without protection of the C-6 alcohol in 13a, exposure of diester 13a to 1 M aqueous NaOH in MeOH caused regioselective hydrolysis of one of the two ester groups to afford a mono acid,<sup>15</sup> which was immediately subjected to amidation with MeONH<sub>2</sub> (14) to give maleimide derivative 15 with spontaneous cyclization in 48% yield, accompanied by amide 16 in 22% yield (Scheme 4). Amide 16 was easily converted to 15 in 81% yield by treating with Et<sub>3</sub>N in DMF at 50 °C. Total synthesis of 4 was achieved by exo-methylene formation through methylation by using MeMgBr and dehydration mediated by HCl in 1,4-dioxane. The <sup>1</sup>H and <sup>13</sup>C NMR spectra of synthetic 4 matched the literature data<sup>1</sup> for natural phaeosphaeride A, and the optical rotation of 4 ([ $\alpha$ ]<sub>D</sub><sup>25</sup> –98.6 (c 0.85, CH<sub>2</sub>Cl<sub>2</sub>)) was the same sign as the natural product ([ $\alpha$ ]<sub>D</sub><sup>25</sup> –93.6 (c 2.0, CH<sub>2</sub>Cl<sub>2</sub>)). Thus, we identified the structure of natural phaeosphaeride A as compound 4 through its total synthesis.<sup>5</sup>

### 2.2. Hypothetical biosynthetic pathway from phaeosphaeride A to phaeosphaeride B

With synthetic phaeosphaeride A (4) in hand, our next challenge was preparing and assigning the configuration of phaeosphaeride B.<sup>1,6,16</sup> We assumed that a biosynthetic transformation

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