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Diazepines[1,4] annelated with indoline and maleimide from 3-(di)alkylamino-4-(indol-1-yl)maleimides: mechanism of rearrangement and cyclization

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Abstract—The mechanism of cyclization of 3-(di)alkylamino-4-(indol-1-yl)maleimides to diazepine[1,4] derivatives was elucidated using deuterium labeled precursors.

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1. Introduction

In our previous paper¹ we have described an unusual cyclization of 3-(di)alkylamino-4-(indol-1-yl)maleimides (1) by protic acids leading to the diazepines[1,4] with annelated indoline and maleimide nuclei (2) (Fig. 1).

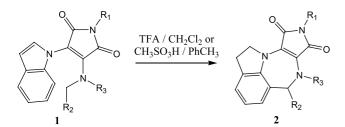


Figure 1.

We assumed that the cyclization proceeds via three steps (Fig. 2): (1) protonation of the indole nucleus at position 3 (4); (2) hydride shift from the carbon atom adjacent to the nitrogen atom to position 2 of the protonated indole nucleus. This shift leads to the formation of indoline and iminium ion moieties (5); (3) electrophilic attack of the iminium ion at position 7 of the indoline nucleus resulting in protonated diazepine derivative 6. Presented herein are the results of the experiments performed to test this hypothesis.

Keywords: Cyclization; Hydride shift; Mechanism; Indole.

2. Results and discussion

Treatment of 3-diethylamino-4-(indol-1-yl)-1-methyl-maleimide $\bf 8$ with TFA in CH_2Cl_2 gave diazepine derivative $\bf 9.^1$ Similarly, the dideuterated product $\bf 10$ was obtained in 80% yield when CF_3CO_2D was used (Fig. 3).

The ¹H and ¹³C NMR spectra of compounds **9** and **10** were compared (Table 1).

The ¹H NMR spectrum of **10** was more straightforward than that obtained for 9. Instead of a three hydrogen multiplet in the range δ 3.1–3.25 the single hydrogen multiplet at δ 3.17 was present. The latter was coupled with three hydrogen triplet at δ 1.08. This fact allows us to identify this signal as one of the hydrogens of the methylene group attached to N7. The signals corresponding to the hydrogens at C2 (position-3 of the indoline subfragment) were absent. The signal corresponding to the hydrogens at C1 (position-2 of indoline subfragment) at δ 4.44 was a broad singlet instead of complex multiplet at δ 4.43–4.49 in the spectrum of **9**. The other parameters of ¹H NMR spectra of 9 and 10 were similar. In the ¹³C NMR spectrum of **9** the singlet signal of C2 atom at δ 28.2 was present; in contrast, in the ¹³C NMR spectrum of 10 a multiplet (a doublet of triplets J=30.5, 19.8 Hz) at δ 27.4–28.1 was detectable. Thus, we conclude that the product of cyclization of indolylmaleimide 8 by CF₃CO₂D (compound 10) has two deuterium atoms at position 2 (position 3 of indoline subfragment). This finding supports the hypothesis that the first step of cyclization is the protonation of the indole nucleus at position 3.

We next set out to find the source of hydrogen at C1 in the

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Figure 2.

Figure 3.

cyclization products **2**. 1-Benzyl-3-[(d_5 -ethyl)anilino]-4-(1H-indol-1-yl)-1H-pyrrole-2,5-dione **15** was used as a model compound in this experiment. Sodium d_5 -ethylate prepared from d_6 -ethanol **11** and NaH in THF was treated with TosCl to give d_5 -ethyl tosylate **12**. N-(d_5 -Ethyl)aniline **13** was obtained by the reaction of **12** with an excess of aniline. The reaction of **13** with 3-bromo-4-(indole-1-yl)maleimide **14** in DMF in the presence of Huenig base yielded **15**. According to the EI-MS spectrum and 1H NMR data of **15** the percentage of deuterium incorporation was 97%. The signals corresponding to the carbon atoms of the ethyl group of non-deuterated **15** were observed in the 13 C NMR spectrum as low intensity singlets at δ 13.7 and 46.6.

Thus the percentage of deuterium incorporation in 12, 13, and 14 can be evaluated as more than 97%.

The synthesis of indolinodiazepine derivative 17 from indolomaleimide 16 was described previously. 1 Indolomaleimide 15 was treated with TFA in CH2Cl2 and the cyclization product 18 was isolated as described for compound 17.1 The 1H and 13C NMR spectra of 18 were compared with the spectra of non-deuterated indolinodiazepine 17 (Table 2) and demonstrate that compound 18 contains as an admixture about 15% of non-deuterated compound 17. ¹H NMR spectra of compounds 17 and 18 were very close. However, in ¹H NMR spectrum of **18** the signals of an admixture of 17 [hydrogen atom at C6 (one hydrogen quadruplet at δ 5.31), methyl group at C6 (three hydrogen doublet at δ 1.42) and one of the signals corresponding to hydrogens at C1 (doublet of triplets at δ 4.55)] were observed with the relative intensity of 15%; and the signal of another C1–H hydrogen at δ 4.35 was a triplet whereas in compound 17 it was a quadruplet. In the ¹³C NMR spectrum of **18** C6–*C*H₃ methyl carbon signal, as well as the signals of C6 and C1, were observed as multiplets at δ 21.1, 50.2, and 56.7 instead of singlets at δ 20.3, 48.3 and 55.1, respectively. The signals of carbon atoms of nondeuterated product were also detectable as low intensity singlets at δ 22.3, 50.5 and 57.1. EI-MS data show that compound 18 contains the admixtures of the corresponding tetra-deutero derivative (~25%) and non-deutero compound **17** (\sim 13%) (Fig. 4).

Altogether, our data demonstrate that, in the cyclization of compound 15, the deuterium atom migrates from the position adjacent to nitrogen of N- $(d_5$ -ethyl)aniline residue to position-2 of the indole nucleus. This model confirms the mechanism of the cyclization process suggested in our previous study.¹

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