



Lycopodiellactone, an unusual δ -lactone-isochromanone from a Hawaiian plant-associated fungus *Paraphaeosphaeria neglecta* FT462



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ARTICLE INFO

Article history:

Received 21 January 2015

Revised 14 February 2015

Accepted 16 February 2015

Available online 21 February 2015

Keywords:

Hawaii

Endophytic

NMR

Lactone-isochromanone

Anti-proliferative

ABSTRACT

An endophytic fungus *Paraphaeosphaeria neglecta* FT462 from the Hawaiian plant *Lycopodiella cernua* (L.) Pic. Serm produced an unusual δ -lactone-isochromanone with a methylene bridge (**1**). The structure of **1** was determined by NMR and MS spectroscopic analysis.

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Many plant-associated fungi produce small-molecule natural products representing a rich source of biologically active compounds with an extensive range of applications in industry, agriculture and medicine.¹ The natural resources of Hawai'i are unique due to its mid-oceanic environment at 2500 miles from any other land with ecologically rich habitats, which present a wide variety of marine life, coastlines, and unique land ecosystems.² More than 4500 species including lichens, mosses, liverworts, ferns, gymnosperms, and angiosperms in Hawai'i have been documented, about one third of which are endemic and many of which are endangered or near extinction.² A survey conducted in 2012 on endophytic fungal communities in leaves of a single tree species (*Metrosideros polymorpha*) across wide environmental gradients in the Mauna Loa volcano indicated that foliar endophytic fungi in Hawai'i are hyper-diverse.³ In recent years, new marine and endophytic fungal species from Hawai'i have been described.⁴ Despite the fungal diversity, only a handful of interesting compounds have been isolated from Hawaiian fungi, and only a few of which were endophytic.⁵

The fungus, *Paraphaeosphaeria neglecta* FT462, was isolated from a Hawaiian indigenous plant, *Lycopodiella cernua* (L.) Pic. Serm, which was collected in the Mokuleia Forest Reserve.⁶ *Lycopodiella cernua* (L.) Pic. Serm usually grows in bogs and in wet to mosaic forests, and the common name for this plant is stag-horn club-moss, which is called Wawae'iole in Hawai'i.⁷ In

some Southeastern Asian countries, this plant has been used in folk medicine for treating central nervous system conditions.⁸

The fungus *Paraphaeosphaeria neglecta* FT462 was identified by analysis of its ITS (internal transcript spacer) sequence.^{9a} The strain was cultured in liquid medium under static condition,^{9b} and the cultured broth was passed through an HP20 column to afford five fractions, one of which was further separated by C18 preparative HPLC and then phenyl-hexyl semi-preparative HPLC to yield the new compound (**1**, see Fig. 1).^{9c} Here, we describe the isolation, structure determination, plausible biosynthesis, and biological activity of compound **1**.

Compound **1**^{9d} was obtained as a white powder. Its molecular formula C₁₈H₁₆O₇ was deduced from the [M+H]⁺ ion at *m/z* 345.0975 (calcd 345.0974) in the HRESIMS spectrum, which was in accordance with the ¹H and ¹³C NMR spectroscopic data

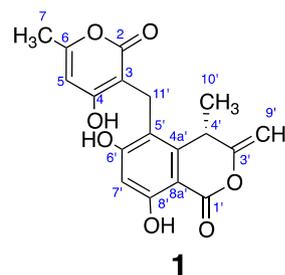


Figure 1. Structure of compound **1**.

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(Table 1), corresponding to 11 degrees of unsaturation. The IR spectrum showed the existence of the hydroxyl group (3385 cm^{-1}) and carbonyl groups (1653 and 1603 cm^{-1}). The ^1H NMR spectrum demonstrated the presence of one aromatic proton signal at δ_{H} 6.17 (1H, s); three olefinic proton signals at δ_{H} 5.75 (1H, s), δ_{H} 4.67 (1H, br s) and δ_{H} 4.65 (1H, br s); one methine signal at δ_{H} 5.15 (1H, q, $J = 6.0\text{ Hz}$); one methylene group at δ_{H} 3.57 (1H, d, $J = 18.0\text{ Hz}$) and δ_{H} 3.51 (1H, d, $J = 18.0\text{ Hz}$); and two methyl signals at δ_{H} 2.10 (1H, s) and δ_{H} 1.34 (1H, d, $J = 6.0\text{ Hz}$). The ^{13}C NMR spectrum displayed eighteen peaks, indicating the existence of two carbonyl carbons at δ_{C} 170.1 and δ_{C} 168.8; five oxygenated and unsaturated quaternary carbons at δ_{C} 179.0, δ_{C} 167.9, δ_{C} 160.7, δ_{C} 163.9, and δ_{C} 159.8; four other quaternary carbons at δ_{C} 145.9, δ_{C} 119.5, δ_{C} 100.2, and δ_{C} 98.6; one aromatic and two olefinic carbons at δ_{C} 103.8, δ_{C} 107.2 and δ_{C} 95.2; one methine, one methylene and two methyl carbons at δ_{C} 35.4, δ_{C} 20.8, δ_{C} 23.6 and δ_{C} 19.4, respectively.

Detailed interpretation of HMBC correlations (Fig. 2) allowed the construction of fragments A and B. The HMBC correlations from δ_{H} 2.10 (H₃-7) to δ_{C} 160.7 (C-6) and δ_{C} 107.2 (C-5); from δ_{H} 5.75 (H-5) to δ_{C} 179.0 (C-4), δ_{C} 160.7 (C-6), δ_{C} 100.2 (C-3), and δ_{C} 19.4 (C-7); and from δ_{H} 3.51 (Ha-11') and δ_{H} 3.57 (Hb-11') to δ_{C} 179.0 (C-4), δ_{C} 170.1 (C-2), δ_{C} 100.2 (C-3) established fragment A, the 3-substituted 4-hydroxy-6-methyl-2H-pyran-2-one moiety (a 2-substituted **2**).¹⁰ The methylene protons at δ_{H} 3.51 (Ha-11') and δ_{H} 3.57 (Hb-11') also correlated to carbons at δ_{C} 167.9 (C-6'), δ_{C} 119.5 (C-5') and δ_{C} 145.9 (C-4a'); the aromatic proton at δ_{H} 6.17 (H-7') correlated

to carbons at δ_{C} 167.9 (C-6'), δ_{C} 163.9 (C-8'), δ_{C} 119.5 (C-5') and δ_{C} 98.6 (C-8a'); the methine proton at δ_{H} 5.15 (H-4') correlated to carbons at δ_{C} 145.9 (C-4a'), δ_{C} 119.5 (C-5') and δ_{C} 98.6 (C-8a'), indicating a penta-substituted benzene ring. The methine proton at δ_{H} 5.15 (H-4') also showed HMBC correlations to carbons at δ_{C} 159.8 (C-3'), δ_{C} 95.2 (C-9'), and δ_{C} 23.6 (C-10'); both the olefinic methylene at δ_{H} 4.65/4.67 (H₂-9') and methyl group at δ_{H} 1.34 (H₂-10') exhibited HMBC correlations to carbons at δ_{C} 159.8 (C-3') and δ_{C} 35.4 (C-4'), suggesting the presence of fragment B. Fragments A and B were connected through the methylene (δ_{H} 3.51 and δ_{H} 3.57) bridge at 11'-position. The chemical composition of fragments A and B was $\text{C}_{17}\text{H}_{16}\text{O}_6$, which was one carbon and one oxygen (C=O) less than the molecular formula of compound **1**. This carbonyl group must be located between the carbon at 8a'-position and the oxygen at 2'-position, not between the carbon at 8a'-position and any other oxygens at C-8', C-6', and C-4. The NMR chemical shifts of the 5-substituted 6',8'-dihydroxy-4'-methyl-3'-methyleneisochroman-1'-one of **1** matched those of (*S*)-(+)-ascochin (**3**)¹¹ very well. Hence, the planar structure of **1**, an unusual molecule composed of a δ -lactone and isochromanone, was determined as shown.

Since the optical rotation of (*S*)-(+)-ascochin (**3**, $[\alpha] +287.9$, c 0.28)¹¹ was recorded in dichloromethane, the optical rotation of **1** was first measured also in the same solvent, which showed the same sign but with big fluctuation, probably due to solubility. Then the optical rotation of **1** was measured in methanol ($[\alpha] +20$, c 0.20), and the positive value suggested the 4*S*-configuration.

Compound **1** possesses an interesting skeleton constructed from 4-hydroxy-6-methyl-2H-pyran-2-one (**2**)¹⁰ and (*S*)-6',8'-dihydroxy-4'-methyl-3'-methylene-1'-oxoisochroman-5-carbaldehyde (**3**).¹¹ A plausible biosynthetic pathway for compound **1** is proposed as shown in Scheme 1. Both moieties are most likely originated from polyketide biosynthesis. The precursor of **3** could be derived from a combination of acetate and propionate or from acetate followed by methylation. The enolate carbon of compound **2** undergoes nucleophilic addition with the aldehyde carbon of compound **3**, which leads to the formation of the condensation product, **1**.

While δ -lactones are not uncommon, 3-methylene isochromanone moiety in compound **1** is rare in nature. Only a few natural 3-methyleneisochromanone derivatives, including (*S*)-(+)-ascochin

Table 1
 ^1H (500 MHz) and ^{13}C (125 MHz) NMR data of **1** in CD_3OD

No.	1 (in CD_3OD)		No.	1 (in CD_3OD)	
	δ_{C}	δ_{H}		δ_{C}	δ_{H}
2	170.1		4a'	145.9	
3	100.2		5'	119.5	
4	179.0		6'	167.9	
5	107.2	5.75, s	7'	103.8	6.17, s
6	160.7		8'	163.9	
7	19.4	2.10, s	8a'	98.6	
1'	168.8		9'	95.2	4.65, brs; 4.67, brs
3'	159.8		10'	23.6	1.34, d (6.0)
4'	35.4	5.15, q (6.0)	11'	20.8	3.51, d (18.0); 3.57, d (18.0)

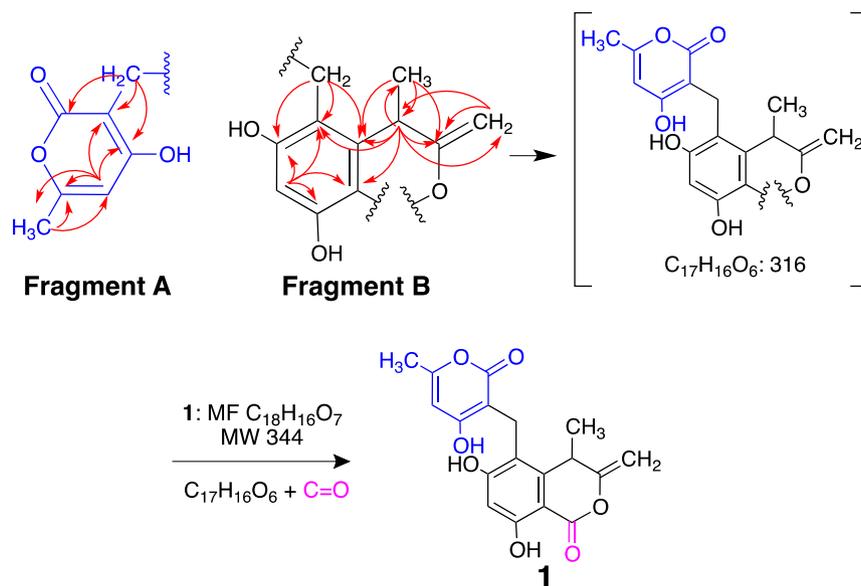


Figure 2. HMBC correlations, fragments A and B in **1**, and the connectivity of the carbonyl group in the isochromanone moiety.

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