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Oxidation mechanism of black tea pigment theaflavin by peroxidase

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ABSTRACT

A large number of black tea polyphenols remain uncharacterized because of the complexity of catechin oxidation reactions that occur during tea fermentation. In the course of our studies on black tea polyphenols, we examined the enzymatic degradation of theaflavins, which are black tea pigments having a benzotropolone chromophore. Oxidation of theaflavin with peroxidase afforded a new product named theacoumarin A together with known pigment theanaphthoquinone. The structure of the new compound was determined by spectroscopic examination and a production mechanism via theanaphthoquinone is proposed.

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Plant polyphenols have been demonstrated to show a wide range of biological activities,¹ and black tea, one of the most popular beverages worldwide, is an important source of polyphenols for humans. Black tea is produced by crushing and kneading the fresh leaves of Camellia sinensis, which contains epicatechin (1), epigallocatechin (2), and their galloyl esters as major polyphenols. During processing, the tea catechins are oxidized by reaction with oxygen by catalysis with endogenous enzymes, polyphenol oxidase and peroxidase,² to afford various oxidation products.³ The most important products are theaflavins, mainly including theaflavin (3), theaflavin-3-O-gallate (4), theaflavin-3'-O-gallate (5), and theaflavin-3,3'-di-O-gallate (6), which are reddish-yellow pigments with the benzotropolone chromophore (Fig. 1). The pigments are produced by oxidative coupling between pyrogalloltype and catechol-type catechins.⁴ Theaflavins contribute largely to the guality, taste, and color of black tea, and are shown to have various biological activities, such as radical scavenging,⁵ α -glucosidase inhibition,⁶ lipase inhibition,⁷ anti-inflammatory activity,⁸ and prevention of mouse type IV allergy.⁹ However, theaflavins are degraded enzymatically in the process of black tea production, and their degradation is considered to be related to production of uncharacterized black tea polyphenols.^{2a,10} Previously, we revealed that theaflavin (3) is oxidized by polyphenol oxidase in the presence of epicatechin (1) to give theanaphthoquinone (7) as a major product,¹¹ along with several minor products.¹² Degradation of **3** is also mediated by peroxidase

* Corresponding authors. Tel.: +81 95 819 2434 (Y.M.), +81 95 819 2432 (T.T.). E-mail addresses: y-matsuo@nagasaki-u.ac.jp (Y. Matsuo), t-tanaka@nagasaki-u. to afford **7**;¹³ however, its degradation reaction has not been examined in detail.^{2a,14} In this study, we examined the oxidation reaction of **3** with peroxidase.

First, we examined the time course of oxidation of a mixture of epicatechin (1) and epigallocatechin (2) in the presence of horseradish peroxidase (Fig. 2A).^{15–17} After 10 min, theaflavin (3) was observed as the major product. Then, theanaphthoquinone (7) appeared, along with the disappearance of 3 (t = 30 min). Subsequently, a new product (8) gradually increased, which was accompanied by a decrease of 7 (t = 60, 120 min). Therefore, compound 8 was presumed to be an oxidation product of 7. We also investigated the time course of oxidation of 3 (Fig. 2B); the results supported the production of 8 from 3 via 7. To elucidate the structure of 8, we performed the oxidation reaction on a large scale.¹⁸ Catechins 1 (1.0 g) and 2 (1.0 g) were dissolved in phosphate buffer at pH 5.0 and stirred with horseradish peroxidase and H₂O₂ for 3 h. Separation of the reaction mixture by Sephadex LH-20 and MCI-gel CHP20P column chromatography afforded 8 (25.3 mg).

Compound **8**¹⁹ showed an [M+H]⁺ peak of *m*/*z* 523 by FABMS. ¹³C NMR and elemental analysis revealed the molecular formula of **8** to be $C_{27}H_{22}O_{11}$. Two sets of signals arising from the A-ring and C-ring of the flavan-3-ol skeleton were observed in the ¹H and ¹³C NMR spectra, and their signals were assigned by ¹H–¹H COSY, HSQC, and HMBC spectra (Table 1). The remaining 11 carbon signals in the ¹³C NMR were attributed to the moiety derived from catechin B-rings. In the HMBC spectrum (Fig. 3), correlations from C'-ring H-2' ($\delta_{\rm H}$ 5.08) to C-5" ($\delta_{\rm C}$ 113.2), C-6" ($\delta_{\rm C}$ 136.8), C-7" ($\delta_{\rm C}$ 118.07 or $\delta_{\rm C}$ 118.10), and from H-3' ($\delta_{\rm H}$ 4.28) to C-6" were observed. These correlations indicated the connectivity of C-5"–C-6"–C-7", and the connection between C-2' and C-6". In





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Figure 1. Structures of 1-8.



Figure 2. (A) HPLC-DAD chromatogram (max absorbance) of the reaction mixture of epicatechin (1) and epigallocatechin (2) by peroxidase. (3: theaflavin; 7: theanaphthoquinone; 8: theacoumarin A) (B) HPLC chromatogram of the reaction mixture of theaflavin (3) by peroxidase.

addition, HMBC correlations from H-5" to C-4a" ($\delta_{\rm C}$ 118.10 or $\delta_{\rm C}$ 118.07), C-6", C-7", and C-8a" ($\delta_{\rm C}$ 142.4), and correlations from H-7" to C-5", C-6", C-8" ($\delta_{\rm C}$ 145.3), and C-8a" revealed that the six carbons (C-4a", 5", 6", 7", 8", 8a") formed a benzene ring, and C-8" and C-8a" were oxygenated based on their ¹³C NMR chemical shifts. Another C-ring H-2 ($\delta_{\rm H}$ 5.35) was correlated with C-3" ($\delta_{\rm C}$ 114.3), C-4" ($\delta_{\rm C}$ 153.5), and C-4a" in the HMBC spectrum. Furthermore, the correlations from H-3" ($\delta_{\rm H}$ 6.68) to C-2" ($\delta_{\rm C}$ 161.0), C-4", and C-4a" revealed the connectivity of C-2"-C-3"-C-4"-C-4a". This indicated that the $\alpha_{\rm A}\beta$ -conjugated carbonyl group

Table 1 1 H (500 MHz) and 13 C (125 MHz) NMR data for 8 (in acetone- d_{6} + D₂O, δ in ppm, J in Hz)

Position	δ_{H}	δ_{C}	HMBC (H to C)
2	5.35 (br s)	75.0	4, 3", 4", 4a"
3	4.35 (m)	64.1	2, 4a
4	2.79 (br d, 16.9)	28.7	2, 3, 4a, 5, 8 (⁴ J), 8a
	2.93 (dd, 4.4, 16.9)		
4a		99.40 ^a	
5		157.51 ^b	
6	6.05 (d, 2.3)	96.3	4a, 5, 7, 8
7		157.47 ^b	
8	5.99 (d, 2.3)	95.4	4a, 6, 7, 8a
8a		155.8	
2′	5.08 (br s)	78.5	4', 8a', 5", 6", 7"
3′	4.28 (m)	66.5	4a', 6″
4′	2.84 (dd, 4.8, 16.5)	28.2	2', 3', 4a', 5', 8a'
	2.59 (dd, 4.5, 16.5)		
4a′		99.36 ^a	
5′		157.42 ^b	
6′	6.04 (d, 2.3)	96.6	4a', 5', 7', 8'
7′		157.39 ^b	
8′	5.94 (d, 2.3)	95.2	4a', 6', 7', 8a'
8a'		156.2	
2″		161.0	
3″	6.68 (br s)	114.3	2, 2", 4", 4a"
4″		153.5	
4a″		118.10 ^c	
5″	7.33 (d, 1.5)	113.2	2', 4", 4a", 6", 7", 8" (⁴ J), 8a"
6″		136.8	
7″	7.40 (d, 1.5)	118.07 ^c	2′, 4a″ (⁴ J), 5″, 6″, 8″, 8a″
8″		145.3	
8a″		142.4	

^{a-c} Assignments may be interchanged.



Figure 3. Important HMBC correlations of 8.

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