Tetrahedron Letters 56 (2015) 5587-5590

Contents lists available at ScienceDirect

**Tetrahedron Letters** 

journal homepage: www.elsevier.com/locate/tetlet

# Orbital phase in organolanthanide-catalyzed reactions: hydroamination/cyclization

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#### ARTICLE INFO

Article history: Received 25 June 2015 Revised 14 August 2015 Accepted 19 August 2015 Available online 28 August 2015

Keywords: Orbital phase Frontier orbital theory Hydroamination Catalysis Organolanthanide

### ABSTRACT

The frontier orbital theory is applied to investigate an organolanthanide-catalyzed reaction at the electronic level, using hydroamination/cyclization reactions of primary aminoalkynes. The insertion of the alkyne functionality into the Ln–N bond in  $Cp_2LnNH(CH_2)_3C$ —CH involved in the turnover-limiting cyclization step is found to be favored by the phases of HOMO of HC— $C(CH_2)_3NH^-$  and LUMO of closed-shell  $Cp_2Ln^+$  (Ln = La, Lu) or the LUMO+2 of excessive spins of open-shell  $Cp_2Sm^+$ . The LUMO and LUMO+1 of  $Cp_2Sm^+$  are 4f orbitals contracted too much to effectively overlap with HOMO of the counterpart. The HOMO–LUMO/LUMO+2 overlaps are visually shown and numerically confirmed to increase in the order of Ln = La < Sm < Lu in agreement with the observed turnover frequency.

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### Introduction

The chemistry of lanthanide catalysis has made much progress.<sup>1</sup> Computational studies have contributed to our understanding of the general mechanisms and complemented experimental developments.<sup>2</sup> Marks and co-workers<sup>3–5</sup> pioneered the use of lanthanides (Ln) for hydroamination and employed computational investigation in parallel with synthetic developments.

A catalytic cycle for organolanthanide-catalyzed hydroamination/cyclization of aminoalkynes (Scheme 1) was experimentally proposed for Ln = Sm and Cp\* (pentamethylcyclopentadienyl) and computationally supported for Ln = Sm and Cp (cyclopentadienyl) in place of Cp\*.<sup>5</sup> The activated catalyst is generated from the reaction of the aminoalkyne with the precatalyst Cp<sub>2</sub>SmCH (TMS)<sub>2</sub>. The alkyne functionality is inserted into the Ln–N(amido) bond in the rate-limiting step. The subsequent Ln–C protonolysis yields the enamine product and regenerates the activated catalyst to close the catalytic cycle. The computed hydroamination/cyclization energetic barriers were found in agreement with the observed catalytic activities low for La, modest for Sm, and high for Lu,<sup>3</sup> which was understood in terms of Ln ion size.<sup>5</sup>

In this Letter, we disclose some essential aspects of the mechanisms of the hydroamination/cyclization reactions of

aminoalkynes, including the origin of the effect of lanthanide ion on the reaction rate. Very recently, we successfully applied the frontier orbital theory<sup>6</sup> well established in organic reactions to transition metal mediated reactions to gain new insight to the Suzuki–Miyaura cross-coupling reactions<sup>7</sup> and the olefin metathesis reaction.<sup>8</sup> The success encourages us to apply the theory to the organolanthanide-mediated reactions, that is, hydroamination.

#### **Results and discussion**

Lanthanides (Ln) tend to be  $Ln^{3+}$  in the complexes.<sup>9</sup> The electron configuration [Xe]  $6s^25d^04f^6$  of the samarium atom in the ground state formally changes into [Xe] $4f^5$  of the Sm<sup>3+</sup> ion. In the Cp<sub>2</sub>SmX complexes the Sm atom donates one electron to each of two cyclopentadienyl (Cp) and the ligand (X). According to the Hund rule,<sup>10</sup> five 4f-orbitals are occupied by excessive five  $\alpha$ -spins with the remaining two f-orbitals unoccupied. In the ground state, Sm<sup>3+</sup> has an open-shell electronic structure. The spin-multiplicity is sextet with all spin density (5.0) on the Sm atom. All open-shell calculations here use separate orbitals for the  $\alpha$  and  $\beta$  spins.

The first step of the catalytic cycle is the C–N bond formation or the insertion of the C=C bond of the alkyne into Ln–N bond (Scheme 1). The HC=C(CH<sub>2</sub>)<sub>3</sub>NH<sup>-</sup> and Cp<sub>2</sub>Sm<sup>+</sup> parts are supposed to interact with each other at the transition state. The frontier orbital of HC=C(CH<sub>2</sub>)<sub>3</sub>NH<sup>-</sup> is the HOMO because all the molecular orbitals lie high enough in energy due to the negative charge for

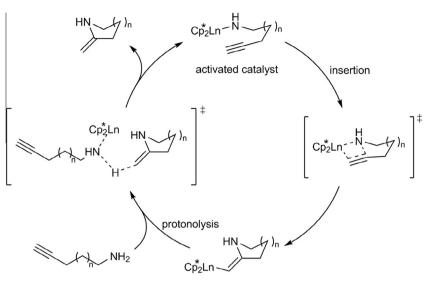






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Scheme 1. Catalytic cycle.

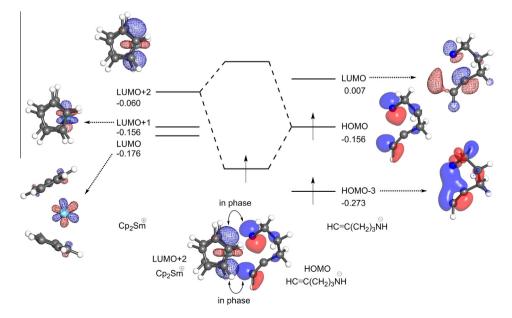
this part to be the electron donor. In the sextet state of  $Cp_2Sm^+$ , two vacant 4f-orbitals are found to be the LUMO and LUMO+1 (Fig. 1). The 4f-orbitals are contracted too much<sup>13</sup> (on the left-hand side of Fig. 1) relative to 5d and 6s orbitals to effectively overlap with the HOMO. It follows that the most important orbital is the next lowest LUMO+2 for the  $\alpha$ -spin (abbreviated here as  $\alpha$ -LUMO+2), which is a 5d, 6s, or hybrid orbital.

For the  $\beta$ -spins, the vacant 4f orbitals of Cp<sub>2</sub>Sm<sup>+</sup> corresponding to  $\alpha$ -LUMO and  $\alpha$ -LUMO+1 are found to be LUMO+1 and LUMO+2. The  $\beta$ -LUMO is very similar in shape to  $\alpha$ -LUMO+2. However, the  $\beta$ -LUMO energy (-0.050 au) is higher than  $\alpha$ -LUMO+2 energy (-0.060 au). The HOMO-LUMO interaction for  $\beta$ -spins is less important than the HOMO-LUMO+2 interaction for  $\alpha$ -spins.

In Figure 1, we depict<sup>11</sup> the overlap between  $\alpha$ -HOMO of the closed-shell HC $\equiv$ C(CH<sub>2</sub>)<sub>3</sub>NH<sup>-</sup> and  $\alpha$ -LUMO+2 of the open-shell Cp<sub>2</sub>Sm<sup>+</sup> in the sextet state at the transition structure.<sup>12</sup> The  $\alpha$ -HOMO of HC $\equiv$ C(CH<sub>2</sub>)<sub>3</sub>NH<sup>-</sup> has large amplitudes on the nitrogen atom and the terminal carbon atom (on the right-hand side in

Fig. 1). The  $\alpha$ -LUMO+2 of Cp<sub>2</sub>Sm<sup>+</sup> is a 5d orbital of Sm a little hybridized with 6s orbital (on the left-hand side in Fig. 1). The  $\alpha$ -HOMO and  $\alpha$ -LUMO+2 interact with each other in a cyclic manner (in the middle of Fig. 1). The  $\alpha$ -LUMO+2 overlaps in phase with the  $\alpha$ -HOMO at the nitrogen atom and the terminal carbon atom. The in-phase overlappings at both sites mutually strengthen the orbital interaction to stabilize the transition state of the cyclization of the HC=C(CH<sub>2</sub>)<sub>3</sub>NH<sup>-</sup>. The organolanthanide-mediated hydroamination has a feature of the cyclic orbital interactions and with the transition-metal mediated Suzuki–Miyaura cross-coupling reactions<sup>7</sup> and olefin metathesis reactions.<sup>8</sup>

The cyclization is taken as an intramolecular nucleophilic addition to an alkyne supported on the Sm atom in the complex. The HOMO of HC $\equiv$ C(CH<sub>2</sub>)<sub>3</sub>NH<sup>-</sup> looks like the HOMO of an in-plane homo-1-azaallyl anion composed of a lone pair of the anionic nitrogen and the  $\pi$  bond between the carbon atoms. In fact, there



**Figure 1.** The in-phase overlappings between LUMO+2 of  $Cp_2Sm^+$  and HOMO of  $HC \equiv C(CH_2)_3NH^-$  at the transition state of hydroamination/cyclization process and the orbitals close in energy to the frontier orbitals. The occupied orbitals and the unoccupied orbitals are displayed in the transparent and mesh modes, respectively.

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