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# Operando study of Fe<sub>3</sub>O<sub>4</sub> anodes by electrochemical atomic force microscopy



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#### ABSTRACT

Present study provided visual evidence of solid electrolyte interphase (SEI) layer formation on  $Fe_3O_4$  anode during charge and discharge using in situ electrochemical atomic force microscopy. AFM images show that SEI layer formed on  $Fe_3O_4$  electrode from fluoroethylene carbonate (FEC)-based electrolyte was more stable and compact than that formed from ethylene carbonate (EC)-based electrolyte. In addition, presence of surface cracks on the electrodes indicated poor formation of an intact SEI layer. This observation was more apparent in the EC-based electrolyte. Lack of an intact SEI layer resulted in decomposition of electrolytes which were reflected by presence of large air bubbles and dendries on the electrode during CV. Although FEC-based electrolyte improved the performance of  $Fe_3O_4$  anodes in lithium ion batteries, its protective effects were far from perfect. To accelerate the application of  $Fe_3O_4$  or other metal oxide anodes in lithium ion batteries, better electrolytes and sophisticated carbon coating techniques are needed.

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#### 1. Introduction

Numerous research efforts have been directed at researching for new electrode materials to develop safe rechargeable lithium ion batteries (LIBs) with high energy density and long cycle life. Transition metal oxide (conversion type anode) is one of the most studied anode materials during the past decades [1–4]. Among them, Fe<sub>3</sub>O<sub>4</sub> is regarded as one of the most promising anodes because of its high theoretical capacity (928 mAh g $^{-1}$ ), low cost, and environmental benignity [5–12]. Compared to silicon, Fe<sub>3</sub>O<sub>4</sub> also has moderate volume change during lithiation/delithiation. By designing nanostructural Fe<sub>3</sub>O<sub>4</sub> and constructing Fe<sub>3</sub>O<sub>4</sub>-based composites, it is possible to shorten the travel distance of the electrons and lithium ions, and to buffer the volume change of Fe<sub>3</sub>O<sub>4</sub> during charge/discharge [13–15]. Despite that, Fe<sub>3</sub>O<sub>4</sub> anode has not been successfully commercialized to-date due to poor cycling per-

formance. Moreover,  $\rm Fe_3O_4$  anode has surface instability due to the lack of stable solid electrolyte interphase.

Solid electrolyte interphase (SEI), a sacrificial layer reduced from electrolyte solution, enables commercial lithium-ion batteries (LIBs) to operate at a proper potential window range (usually 0–4.2 V, vs. Standard Hydrogen Electrode) in the presence of organic electrolyte [16]. Ideally, SEI layer should be compact, insoluble, and irreversibly adhere to the active surface of electrode in order to prevent further decomposition of the electrolyte. Controlling SEI formation on anode has been considered an important approach for safe and stable battery operation.

Understanding the evolution of SEI layer as well as the structural changes on electrode surface is important for interpreting the performance of conversion type anode. However, up-to-date, the role of SEI layer in performance deterioration of  $Fe_3O_4$  has not been given much attention. In situ scanning probe microscopy (SPM) is a powerful method to investigate morphological changes on the surface of electrode materials during electrochemical process in liquid electrolyte solutions [17–19]. It has the outstanding advantages of being real time, non-invasive and atomic resolution [20–24]. Atomic force microscopy (AFM) technique has been used to study the SEI layer on model system with flat surface such as HOPG [20,21,25–30], silicon anode [31–35], MnO [36,37] and embedded

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single particle ( $LiNi_{0.5}Mn_{1.5}O_4$ ) electrode [30]. To the best of our knowledge, the structure of SEI layer on  $Fe_3O_4$  anode has not been characterized using direct visualization method.

Present study aimed to investigate the surface structure evolution of SEI layer on  $Fe_3O_4$  formed from EC and FEC-based electrolytes by in-situ AFM. Understanding of the evolution of SEI layer and  $Fe_3O_4$  particles structure will further improve our understanding on how SEI layer of metal oxides affects battery performance.

#### 2. Experimental section

#### 2.1. Preparation of Fe<sub>3</sub>O<sub>4</sub> electrode

 $Fe_3O_4$  electrodes were prepared using our previously method [38].  $Fe_3O_4$  films were deposited directly onto copper electrodes (14 mm in diameter) by applying a constant cathodic current (5 mA) for 600 s. The mixed electrolyte solution of 0.05 M  $Fe_2(SO_4)_3$ , 1 M NaOH and 0.1 M triethanolamine (TEA) was used for the deposition and kept in an oil bath at 90 °C in air. Copper disk, carbon paper and platinum wire was used as working electrode, counter electrode, and reference electrode, respectively. After deposition, Cu disks were cleaned with water and ethanol, then dried in air. All electrodeposition experiments were performed using an electrochemical workstation (CHI660D, Shanghai Chenhua instrument, Co. Ltd.).

#### 2.2. Battery performance test

Electrochemical measurements were carried out using a 2032-type coin cell system. Weights of the Fe $_3$ O $_4$  films before and after deposition were determined using analytical balance to the accuracy of 0.01 mg. The average loading of Fe $_3$ O $_4$  was  $\sim$ 0.8 mg cm $^{-2}$ . 2032-type coin cells were assembled in argon-filled glovebox with oxygen and moisture concentrations below 0.1 ppm. Fe $_3$ O $_4$  film was used as working electrode and lithium wire was used as counter electrode. Celgard 2400 polypropylene was used as separator. The electrolytes used were comprising of a solution of 1 M lithium hexafluorophosphate (LiPF $_6$ ) dissolved ether in a mixture of EC/EMC/DMC (1:1:1, volume ratio) or FEC/EMC/DMC (1:1:1, volume ratio). Charge/discharge measurements were carried out galvanostatically at 0.5C over a voltage range of 0.01–2.5 V (vs. Li/Li $^+$ ) using a commercial battery test system (LAND model, CT2001A) at room temperature.

### 2.3. Electrochemical performance and image scanning using EC-AFM

Electrochemical impedance spectroscopy (EIS) spectra were collected with potentiostat/galvanostat 1470E equipped with a frequency response analyzer 1455A from Solartron. In situ AFM (Bruker Icon) experiments were conducted in argon-filled glovebox (MBRAUN,  $H_2O \le 0.1$ ppm,  $O_2 \le 0.1$ ppm) at room temperature. The Li-Fe<sub>3</sub>O<sub>4</sub> cell was composed of Fe<sub>3</sub>O<sub>4</sub> substrate as working electrode (WE) and Li wire as counter and reference electrodes (CE and RE). Electrolytes used were 1 M LiPF<sub>6</sub> dissolved either in a mixture of ethylene carbonate or fluoroethylene carbonate/dimethyl carbonate (EC/DMC or FEC/DMC, volume ratio of 1:1) (Shanshan Corporation). In order to study the SEI layer formation, the Li-Fe<sub>3</sub>O<sub>4</sub> cell was studied by cyclic voltammetry (CV) at a scanning rate of 0.5 mV s<sup>-1</sup> between 3.0 and 0 V. AFM topography was collected simultaneously in ScanAsyst mode using nitride coated silicon probes (tip model: SCANNASYST-FLUID with k = 0.7 N m<sup>-1</sup>, Bruker Corporation).

#### 2.4. Characterization

Ex-situ XPS analysis was performed on Kratos Axis Ultra X-ray photoelectron spectrometer using 1253.6 eV Mg K $\alpha$  X-rays. Samples were removed from the cells and rinsed with dimethyl carbonate (DMC) to remove residual salt and solvent in an argon-filled glovebox. Samples were then transported to XPS facility in sealed bags to avoid contact with air. The analyzed area of electrode was  $300 \times 700 \ \mu m^2$ . The binding energies were referenced to the hydrocarbon C1s photoelectron peak at 284.8 eV.

#### 3. Result and discussion

Fig. 1 shows the surface structural evolution of Fe<sub>3</sub>O<sub>4</sub> electrode during the first lithiation-delithiation cycle in EC-based electrolyte between 3.0 and 0.0 V (vs Li<sup>+</sup>/Li) by CV method (OCV is about 2.5 V). XRD has been conducted in our previous work to confirm the crystal structure of Fe<sub>3</sub>O<sub>4</sub> [38]. Fig. 1a shows a typical surface structure of Fe<sub>3</sub>O<sub>4</sub> electrode for potential between 3.0 to 2.82 V. No obvious changes in the surface structure of Fe<sub>3</sub>O<sub>4</sub> electrode can be observed for potential between 3.0 to 1.0 V. When the potential was cycled down to about 0.8 V, very thin SEI layer can be observed (Fig. 1b, indicated by the white arrows). Similar finding can be observed in the first CV curve of Fe<sub>3</sub>O<sub>4</sub> electrode cycled in EC based electrolyte at room temperature between 0 and 3.0 V (vs Li+/Li) at a scan rate of 0.5 mV s<sup>-1</sup> (Fig. S1). A sudden increase in cathodic peak at around 0.8 V can be ascribed to decomposition of electrolytes and the formation of SEI layer [30]. Decreased reduction potential leads a further growth of the SEI layer (Fig. 1c) and Li insertion. The thick SEI can be found at some area of the particle (indicated by the red arrow in Fig. 1c). Line profiles of Fig. 1 a and c shown the height of SEI layers is  $\sim$ 0–50 nm (indicated in Fig. S2). Fig. 1a' and c' showed after the growth of SEI layer, the Fe<sub>3</sub>O<sub>4</sub> particle size changed from 1.62 μm to 1.77 μm. During the reverse scan of potentials, SEI layer formed in EC-based electrolyte was soft and could be modified by AFM tip during imaging. Surface of the SEI layer became smoothened due to repeated scanning by AFM tip (Fig. 1d-h). One should note that during the whole CV process, the volume change (except the cracks) is far less than theoretical predication. This is similar to what have been observed in Si anodes [32,39]. The exact mechanism of this difference is not clear yet.

During discharge process, no obvious  $Fe_3O_4$  particles broken or surface cracks can be observed (Fig. 1a–c). However, obviously particles broken and surface cracks can be observed in the charge process (Fig. 1d–h). Some particles have been broken seriously (indicated by white square area in Fig. 1h).  $Fe_3O_4$  particles showed the volume change as indicated by the arrows, at which the particle size changed from  $1.77~\mu m$  to  $1.87~\mu m$ . Also, line profiles of Fig. 1a' and 1a' shown the height of swelling is 1a0. Surface cracks (indicated by white arrow in Fig. 1a0. Surface cracks (indicated by white arrow in Fig. 1a0. Completed back to 1a0. Which continue to increase in sizes until CV completed. Thus, SEI layer formation mainly caused the thickness change while volume change can lead to surface cracks.

Cracking of the SEI layer resulted in exposure of fresh  $Fe_3O_4$  particles to electrolyte; hence, continuous decomposition of electrolyte. Decomposition of electrolyte was also accompanied by formation of large air bubbles (Fig. S3a) and lithium dendrites on the  $Fe_3O_4$  anode (white precipitates in Fig. S3b). Bubble formation is a direct evidence of electrolyte decomposition which is due to poor formation of a proper SEI layer on  $Fe_3O_4$  electrode. Existence of dendrites on the first cycle of charge/discharge is also another indication of poor formation of SEI layer on  $Fe_3O_4$  anode.

Our previous study showed that SEI layer formed on HOPG surface in FEC based electrolyte is denser and harder than that the

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