



# Mechanistic insight into the prebiotic syntheses of pyrimidine ribonucleotide and pyrimidine deoxynucleotide precursors



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## ABSTRACT

Density functional theory is utilized to elucidate the detailed mechanisms of the reactions between 2-aminooxazole **O2**/2-aminothiazole **S2** and glyceraldehyde **2**. According to our calculations, in **O2**/**2** system, aminooxazoline **O3** is formed via two steps including C–C formation and cyclization. C–C formation determines the reaction diastereoselectivity and ribo-aminooxazoline is the most favorable product. Although oxazole-hemiaminal **O6** is not detected in the experiment, it is deduced can be formed theoretically. In **S2**/**2** system, aminothiazoline **S3** is hard to be generated because of the less nucleophilic ability of **S2**. The formation of thiazole-hemiaminal **S6** is more favorable than **S3** formation in kinetics but somewhat unfavorable in thermodynamics. However, the transformation from **S6** to thiazole-aminal **S7** is favorable both in kinetics and thermodynamics, which provides a driving force for the formation and transformation of **S6**. Additionally, our calculations indicate that phosphate is very important in assisting proton transfer in all of the transformations.

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## 1. Introduction

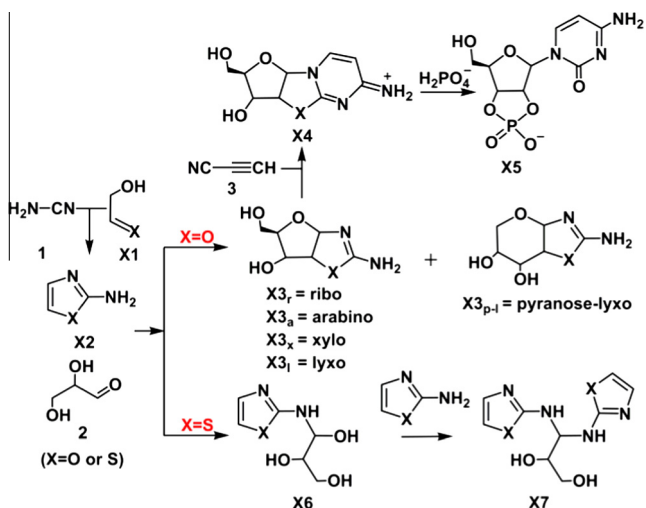
The synthesis of canonical nucleotides by abiotic chemical means has been a major goal in origins of life research [1–6]. For decades, the only approach for the synthesis of nucleotides is based on the assumption that their constituent components (nucleobase, sugar and phosphate) are synthesized separately and subsequently assembled [7]. However, attempts to provide experimental support for this have proved to be tough [8,9], because ribose is difficult to form selectively [10,11], and the addition of nucleobases to ribose is inefficient in the case of purines [12] and does not occur at all in the case of the canonical pyrimidines [7]. Over 30 years ago, Orgel and co-workers demonstrated that glycosidic bonds could be formed from adenine and hypoxanthine and D-ribose when dried and heated together [13]. However, this approach proved to be less promising with the other bases. A stepwise synthesis of cytosine on a preformed sugar was first accomplished by Sanchez and Orgel [14], and explored in great detail by the Sutherland recently [15]. However, the reaction conditions were harsh and the yield of the product was low. Bean and co-workers previously reported the first successful synthesis of a pyrimidine nucleoside from a free

base and a nonactivated sugar in a plausible prebiotic reaction. Nevertheless, the yield of the β-furanosyl nucleoside was very low (12%) [16]. They subsequently presented a detailed computational study on this reaction with density functional theory, which demonstrated that a Mg<sup>2+</sup> ion can afford the necessary stabilization to the base [17].

In recent years, Powner and Sutherland creatively discovered a short, high-yielding route to activated pyrimidine ribonucleotides (Scheme 1) [18,19], which bypassed free ribose and nucleobases and could overcome the problems caused by traditional method mentioned above. All of the starting materials for Powner's route, cyanamide **1**, glycolaldehyde **O1**, glyceraldehyde **2**, cyanoacetylene **3** and inorganic phosphate **4**, are plausible prebiotic feedstock molecules. This mixed nitrogenous oxygenous chemistry first generated 2-aminooxazole **O2** which is resulted from the reaction between **1** and **O1**. Pentose aminooxazoline **O3** is produced by adding **2** into **O2**. Reaction between **O3** and **3** gives anhydronucleotide **O4** which subsequently undergoes phosphorylation with rearrangement to furnish activated pyrimidine ribonucleotide, β-ribo cytidine-2',3'-cyclic phosphate **O5**. According to the experiment, in the presence of phosphate buffer, at pH 7, the ribose and arabinose aminooxazolines (**O3<sub>r</sub>** and **O3<sub>a</sub>**) are the major products, and the xylose derivative **O3<sub>x</sub>** is a minor product. The lyxose aminooxazoline is formed in intermediate amounts as an equilibrating mixture of furanose **O3<sub>f</sub>** and pyranose **O3<sub>p</sub>** isomers.

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**Scheme 1.** The proposed prebiotic syntheses of pyrimidine nucleotide and pyrimidine deoxynucleotide by Powner and co-workers.

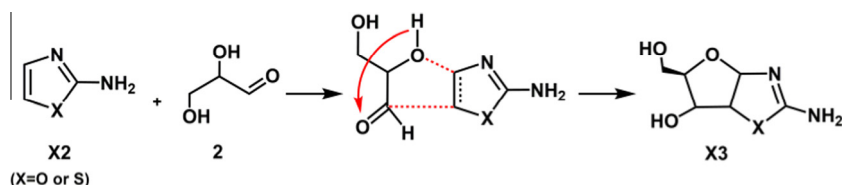
Later, inspired by the low dissociation energy of C–S bond which may result in the chemical differentiation required for the divergent synthesis of DNA and RNA monomers, Powner and co-workers proposed a related pathway for the prebiotic synthesis of 2'-deoxynucleotides [20], in which glycolaldehyde **O1** was substituted by  $\beta$ -mercaptoacetaldehyde **S1**. The reaction between **1** and **S1** gives 2-aminothiazole **S2**. Nevertheless, 2-aminothiazoline **S3**, which might be an important precursor toward pyrimidine deoxynucleotide, is not produced from the reaction between **S2** and **2**. That is to say, pyrimidine deoxynucleotide cannot be obtained from **S2** and **2**, which is strikingly dif-

ferent from that pyrimidine nucleotide can be generated from **O2** and **2**. Experimentally, the reaction reversibly gives thiazole-hemiaminal **S6** and thiazole-aminal **S7**. The experimenters proposed that the facile reversibility of aminal formation might provide a route to the concentration, purification, and stabilization of the necessary aldehyde precursors of nucleotides. Additionally, 2-aminothiazole can take part in a 3-component carbon–carbon bond-forming reaction in water leading to the diastereoselective synthesis of purine precursors whose mechanism has been theoretically studied by us [21].

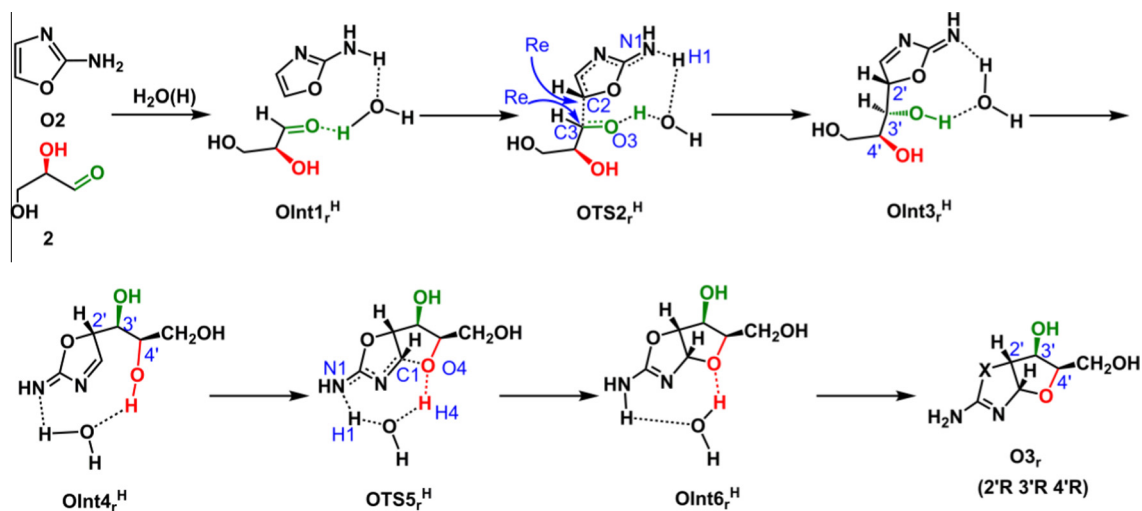
By analyzing the two processes, we can see that the reaction between **2** and **X2** ( $X = O$  or  $S$ ) is the most important step in controlling the diastereoselectivity (ribo, arabino, xlyo and lyxo) and chemoselectivity of the products (2-aminooxazoline, 2-aminothiazoline, hemiaminal and aminal). In order to illuminate the origin of the diastereoselectivity and chemoselectivity, we have conducted a detailed mechanism study of the reactions between **X2** and **2**. We hope our study can provide valuable information in prebiotic synthesis of nucleotides and deoxynucleotides and in the origin of life.

## 2. Computational details

All structures were optimized in gas phase at the M06-2X/6-311++G(d,p) [22–24] level of theory. Harmonic frequency analysis calculations were subsequently performed to verify the optimized geometries to be minima or transition states. The intrinsic reaction coordinate (IRC) calculations [25,26], at the same level of theory, were performed to evaluate whether there is a connection between the calculated initial states, the transition states, and products. The energies were then improved by M06-2X/6-311++G(d,p) single point calculations with solvent effect accounted by SMD [27–31] solvent model, using water as solvent. The refined energies were



**Scheme 2.** Possible direct react mechanism between **X2** and **2**.



**Scheme 3.** The  $H_2O$  assisted mechanism of ribo-aminooxazoline **O3<sub>r</sub>** formation.

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