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 PII:
 S2210-271X(13)00306-X

 DOI:
 http://dx.doi.org/10.1016/j.comptc.2013.07.019

 Reference:
 COMPTC 1188

To appear in: Computational & Theoretical Chemistry



Please cite this article as: J.D. Veals, S.R. Davis, Isomerization Barriers for the Conrotatory and Disrotatory Isomerizations of 3-aza-Dihydrobenzvalene to 1,2-Dihydropyridine and 3,4-diaza-Dihydrobenzvalene to 1,2-Dihydropyridazine, *Computational & Theoretical Chemistry* (2013), doi: http://dx.doi.org/10.1016/j.comptc. 2013.07.019

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## ACCEPTED MANUSCRIPT

## Isomerization Barriers for the Conrotatory and Disrotatory Isomerizations of 3-aza-Dihydrobenzvalene to 1,2-Dihydropyridine and 3,4-diaza-Dihydrobenzvalene to 1,2-Dihydropyridazine

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#### Abstract

The isomerizations of 3-aza-dihydrobenzvalene to 1,2-dihydropyridine and 3,4-diaza-dihydrobenzvalene to 1,2-dihydropyridazine have been studied using ab initio methods with a multiconfigurational wavefunction. Transition states for both the allowed, conrotatory and forbidden, disrotatory reaction channels were located. The isomerizations occur through the cleavage of a bond pair in the bicyclobutane moiety. The four allowed pathways for 3-aza-dihydrobenzvalene isomerization are nonconcerted forming intermediates with a trans double bond in a six-membered ring: two isomers of (E,Z)-2,4-dihydro-1,6-pyridine and two isomers of (Z,E)-2,4-dihydro-1,6-pyridine. These intermediates can isomerize to the final 1,2-dihydropyridine product through trans double bond rotation with barriers of only a few kcal  $\cdot$  mol<sup>-1</sup>. The allowed pathways have initial activation barriers which range from 35.6 to  $42.2 \text{ kcal} \cdot \text{mol}^{-1}$ . The four forbidden pathways lead directly to the final 1,2-dihydropyridine product in a concerted mechanism with transition states of substantial singlet biradical character. Their activation barriers range from 47.0 to 56.1 kcal  $\cdot$  mol<sup>-1</sup>. The two allowed pathways for 3,4diaza-dihydrobenzvalene isomerization are also nonconcerted forming two isomers of (E,Z)-3,5-dihydro-1,2-pyridazine intermediates which then isomerize to 1,2-dihydropyridazine through trans double bond rotation with barriers of only about 2 kcal  $\cdot$  mol<sup>-1</sup>. The initial barriers for the two allowed pathways are 32.0 and 41.6 kcal  $\cdot$  mol<sup>-1</sup> with one pathway substantially

Preprint submitted to Computational and Theoretical Chemistry

July 16, 2013

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