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# Optimal control design of excitation pulses that accommodate relaxation

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#### Abstract

An optimal control algorithm for mitigating the effects of  $T_1$  and  $T_2$  relaxation during the application of long pulses is derived. The methodology is applied to obtain broadband excitation that is not only tolerant to RF inhomogeneity typical of high resolution probes, but is relatively insensitive to relaxation effects for  $T_1$  and  $T_2$  equal to the pulse length. The utility of designing pulses to produce specific phase in the final magnetization is also presented. The results regarding relaxation and optimized phase are quite general, with many potential applications beyond the specific examples presented here. © 2007 Elsevier Inc. All rights reserved.

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#### 1. Introduction

Optimal control theory has proven to be an extremely flexible and powerful tool for designing pulses for NMR spectroscopy. A particularly challenging problem, that of producing uniform excitation over a broad range of chemical shift offsets and RF field inhomogeneity/miscalibration, simultaneously, has been solved in a series of papers demonstrating Broadband Excitation by Optimized Pulses (BEBOP) [1-5]. The minimum pulse length of a given BEBOP depends upon the performance level required for the specific range of offset and RF variation accommodated [3], but it can significantly exceed the length of a hard pulse that would conventionally be used to excite the same bandwidth (albeit nonuniformly and with poor tolerance to RF inhomogeneity). So far, we have assumed that the longitudinal,  $T_1$ , and transverse,  $T_2$ , relaxation times are much larger than the duration of the pulse, which will not always

be the case in practice. We therefore consider the design of BEBOPs that can minimize relaxation effects, or Relaxation Compensated-BEBOP.

The effect of relaxation on pulse performance has been studied in detail by Hajduk et al. [6]. When  $T_2$  and/or  $T_1$ are comparable to the pulse length,  $t_p$ , they not only found the expected loss of signal due to relaxation, but a significant degradation in uniformity of the excitation profile for all the pulses they considered. However, the literature on actual pulse design to mitigate the effects of relaxation appears to be relatively sparse and applied to narrowband, selective pulses. Nuzillard and Freeman modified BURP pulses to obtain more uniform response over the selected bandwidth with SLURP [7], but accepted what might be considered the inevitable attenuation due to short  $T_1, T_2$ . Rourke et al. [8] later developed an iterative method for designing selective pulses to compensate for transverse relaxation. The procedure they presented did not accommodate either  $T_1$  effects or RF inhomogeneity. They obtained a significant improvement in the uniformity of pulse response, but actual T2 losses were not provided, and the method assumes  $1/T_2$  is small [9]. Reference [9]

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derives a method for inverting the Bloch equation at a single resonance offset for the special case  $T_1 = T_2$ . Its primary application is to pulses which select a specific relaxation rate, which is different than what we are considering here.

Expanding on these earlier works, we explore more generally the possibilities for reducing relaxation effects during long RF pulses (i.e., relative to  $T_2$  and/or  $T_1$ ). Optimal control can consider both  $T_1$  and  $T_2$  relaxation together with RF inhomogeneity over any specified range of offsets, either connected or disjoint. Moreover, there is a physical basis for expecting to be able to compensate for relaxation during the pulse: we can (i) use the long duration of the pulse to position spins of different chemical shift at appropriate orientations near the z axis that enable them to be subsequently transformed to the x, y plane by a short pulse segment, reducing net  $T_2$  relaxation during the total pulse and (ii) utilize the moderate, but still significant, repolarization that occurs for short  $T_1$ . These possibilities for reducing relaxation effects are found quite naturally by the optimal control algorithm, as shown in what follows. There does not appear to be any other study which attempts to reduce the effects of relaxation in pulses of length similar to  $T_1, T_2$ .

### 2. Theory and methods

Optimal control theory applied to NMR spectroscopy has been described in detail elsewhere [1-5,10-12], for systems with no relaxation (i.e., infinite  $T_1$ ,  $T_2$ ). Here we reiterate the main theoretical aspects and introduce the necessary modifications associated with finite  $T_1$ ,  $T_2$ .

During the time interval  $[t_0, t_p]$ , we seek to transfer initial z magnetization  $M(t_0)$  for a system of non-interacting spins to a desired final state F over a given range of chemical shift offsets  $\Delta \omega$  and RF field inhomogeneity/miscalibration for specified values of  $T_1$  and  $T_2$ . The spin trajectories M(t) are constrained by the Bloch equation

$$\boldsymbol{M}(t) = \boldsymbol{\omega}_e(t) \times \boldsymbol{M}(t) + D[\boldsymbol{M}_0 - \boldsymbol{M}(t)], \qquad (1)$$

where  $M_0 = \hat{z}$  is the unit equilibrium polarization for appropriately normalized units, the effective field,  $\omega_e$ , in angular frequency units (rad/s) is given in terms of the time-dependent RF amplitude,  $\omega_1$ , and phase,  $\phi$ , as

$$\boldsymbol{\omega}_{e}(t) = \omega_{1}(t) [\cos \phi(t) \ \hat{\boldsymbol{x}} + \sin \phi(t) \ \hat{\boldsymbol{y}}] + \Delta \omega \ \hat{\boldsymbol{z}}$$
$$= \boldsymbol{\omega}_{rf}(t) + \Delta \omega \ \hat{\boldsymbol{z}}, \qquad (2)$$

and the relaxation matrix is



Fig. 1. Simulated performance of the phase-modulated BEBOP from Ref. [5] (left panel) and corresponding relaxation-compenstated RC-BEBOP pulses (right panel) for the  $T_1$  and  $T_2$  values listed on the right. The length of both pulses is 1 ms. The  $M_x$ -component of magnetization is plotted as a function of resonance offset, with the nearly perfect performance of the pulses in the absence of relaxation illustrated by the solid blue line at the top of each figure. PM-BEBOP performance is significantly degraded for short  $T_2$  (bottom panels) and short  $T_2 = T_1$  (top panels), while RC-BEBOP achieves performance comparable to the case of no relaxation.

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