



# *Ab initio* study of the molecular vibrations and electronic structure of the X, B, D and $F^2\Sigma^+$ states of AlO

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## ABSTRACT

Molecular vibrations and electronic structure of the  $X^2\Sigma^+$ ,  $B^2\Sigma^+$ ,  $D^2\Sigma^+$ , and  $F^2\Sigma^+$  states of AlO are studied by carrying out *ab initio* configuration interaction calculations and molecular vibration calculations using accurate potential energy functions. An avoided crossing between the  $D^2\Sigma^+$  and  $F^2\Sigma^+$  potential energy curves occurs in the neighborhood of  $4.0 a_0$  and results in irregular vibrational levels of the D and  $F^2\Sigma^+$  states. The vibrational constants for the  $F^2\Sigma^+$  state are predicted from the vibrational levels not involved in the irregularity. Configuration mixing is important in describing the B, D, and  $F^2\Sigma^+$  states. The  $F^2\Sigma^+$  state at and around its well minimum and the D and  $F^2\Sigma^+$  states in the avoided crossing region are characterized in terms of their main configurations and dipole moment functions.

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## 1. Introduction

The electronic states of the aluminum monoxide (AlO) molecule have been examined in a number of experimental [1–11] and theoretical [12–19] studies. Seven electronic states of  $X^2\Sigma^+$ ,  $A^2\Pi$ ,  $B^2\Sigma^+$ ,  $C^2\Pi$ ,  $D^2\Sigma^+$ ,  $E^2\Delta$ , and  $F^2\Sigma^+$  have been identified experimentally [2,3]. Extensive studies have provided accurate molecular constants of the  $X^2\Sigma^+$  and  $B^2\Sigma^+$  states [9–11]. Of higher excited  $^2\Sigma^+$  states,  $D^2\Sigma^+$  was observed in the emission bands  $D^2\Sigma^+ - X^2\Sigma^+$  [4] and  $D^2\Sigma^+ - A^2\Pi$  [5,8], and  $F^2\Sigma^+$  in an emission band  $F^2\Sigma^+ - A^2\Pi$  [6]. Theoretical studies have derived the potential energy curves (PECs) [18,19] and electric dipole moments [18] for the D and F states, and transition moments for the D–X, D–A, and F–A bands [18].

Information on the  $D^2\Sigma^+$  and  $F^2\Sigma^+$  states nevertheless remains limited. For example, no vibrational constants of the  $F^2\Sigma^+$  state are known experimentally. A theoretical study [18] has indicated that the  $D^2\Sigma^+$  state forms an avoided crossing with the  $F^2\Sigma^+$  state for internuclear distance close to  $3.5 a_0$ , near to the  $F^2\Sigma^+$  potential well minimum at  $3.4325 a_0$  [2], but no studies have been reported on the effects of the avoided crossing on the vibrational levels of the  $D^2\Sigma^+$  and  $F^2\Sigma^+$  states. The electronic structure for the F state at and around its well minimum has not been published in detail, nor the D and F states in the avoided crossing region.

The present work studies the molecular vibrations and electronic structure of the X, B, D, and  $F^2\Sigma^+$  states of AlO. *Ab initio* state-averaged complete-active-space self-consistent-field (SA-CASSCF)/multi-reference configuration interaction (MRCI) calculations and

molecular vibration calculations were performed for the  $1-4^2\Sigma^+$  states, adopting the CASSCF procedure used by Lengsfeld and Liu [15] for the calculations of the  $X^2\Sigma^+$  and  $B^2\Sigma^+$  states. The X, B, D, and  $F^2\Sigma^+$  states were characterized according to the calculated PECs, vibrational energies, spectroscopic constants, dipole moments, and MRCI wavefunctions.

Irregularities were found in both vibrational levels of the  $D^2\Sigma^+$  and  $F^2\Sigma^+$  states due to the avoided crossing. Vibrational constants of the F state were determined from the vibrational levels not involved in the irregularity. The  $F^2\Sigma^+$  state in the neighborhood of its well minimum keeps nearly constant dipole moment, implying a transfer of negative charge from O to Al. The D and F states in the avoided crossing region are described by the change in the mixing among ion-pair and neutral configurations in their MRCI wavefunctions, with internuclear distance.

## 2. Theoretical approach

### 2.1. Configuration interaction calculations

We used Slater-type functions (STFs) centered on each nucleus as basis functions. The basis set consists of an (8s6p) set augmented with (1s1p4d1f) for aluminum atom and a (6s4p) set augmented with (1s1p2d1f) for oxygen atom. The types and exponents ( $\zeta$ ) for the aluminum (8s6p) set were taken from the work of McLean et al. [20], and those for the oxygen (6s4p) set were from the work of Clementi and Roetti [21]. For aluminum atom, four 3d-type STFs ( $\zeta = 1.840, 1.062, 0.613, 0.354$ ) were added to describe both the occupied orbitals for excited states and polarization. The

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$\zeta = 1.840$  was taken from Ref. [20] and the remaining three were obtained through dividing 1.840 by 1.732, 3.000, and 5.196 (i.e. an even-tempered set of the ratio  $\sqrt{3}$ ). One 4f-type STF ( $\zeta = 1.17$ ) was added to describe the polarization, whose  $\zeta$  value was determined by taking geometric means between the  $\zeta$  values of 1.44 and 0.95 given in Ref. [15]. For oxygen atom, two 3d-type STFs ( $\zeta = 2.0, 1.0$ ) which were taken from Ref. [22] and one 4f-type STF ( $\zeta = 2.5$ ) which were added as polarization functions. The (1s1p) sets used to describe diffuse orbitals were the 3s-type ( $\zeta = 0.5$ ) and 3p-type (0.4) STFs for aluminum atom, and the 2s-type (0.8) and 2p-type (0.6) STFs for oxygen atom. Their values were obtained by dividing the smallest  $\zeta$  value of the 3s- (or 3p-) type STFs of the (8s6p) set by 2 for aluminum atom and that of the 2s- (or 2p-) type STFs of the (6s4p) set by 2 for oxygen atom.

Orbital sets to be used for configuration interaction (CI) calculations (CI orbitals) were obtained by means of state-averaged complete-active-space self-consistent-field (SA-CASSCF) calculations [23–28] and natural orbital transformations. The core orbitals for both the CASSCF and CI calculations consist of the innermost five  $\sigma$  and one  $\pi$  orbitals. All the configuration state functions (CSFs) with the  $2\Sigma^+$  symmetry that were generated by distributing seven electrons among the 6–9 $\sigma$  and 2–4 $\pi$  active orbitals were taken into account in the SA-CASSCF calculations. The active space includes all orbitals correlating with Al 3s, 3p and O 2p, 3p orbitals, which Lengsfeld and Liu [15] used in their CASSCF calculations on the  $X^2\Sigma^+$  and  $B^2\Sigma^+$  states to take into account both the O<sup>−</sup> 2p in–out correlation and the Al 3s–3p near degeneracy effect. The total numbers of CSFs for the SA-CASSCF calculation (CAS CSF) are 1556 for the  $2\Sigma^+$  symmetry. The external orbital space is made up of the 10–36 $\sigma$  and 5–20 $\pi$  orbitals. The value of the SA-CASSCF weight vector (1/4) was chosen so that it specifies equal contribution of each of the lowest four states to the averaged energy functional.

For correlation energy correction, we carried out multi-reference configuration interaction (MRCI) calculations to obtain accurate total energies for the 1–4 $2\Sigma^+$  states. Reference configurations include all the configurations of the CAS space. The CSFs include the CSFs for the SA-CASSCF calculations (CAS CSFs) and the CSFs of both single and double excitations from the CAS CSFs into the external orbital space of 10–30 $\sigma$ , 5–18 $\pi$ , 1–8 $\delta$ , and 1–2 $\phi$ , where the 31–36 $\sigma$  and 19–20 $\pi$  orbitals were treated as the core complements.

The SA-CASSCF and MRCI calculations were repeated to obtain potential energy curves at 23 internuclear distance ( $R$ ) points in the range 2.2–4.4  $a_0$  at intervals of 0.1  $a_0$  and 8  $R$  points in the range 4.6–6.0  $a_0$  at intervals of 0.2  $a_0$ . The ALCHEMY II computer program package [29] was used for the CASSCF and CI calculations [30].

## 2.2. Molecular vibration calculations

Vibrational term values  $G(v)$  and wavefunctions were computed for AIO 1–4 $2\Sigma^+$  (vibrational quantum number  $v = 0$ –18) in the same way as our previous study on the  $F_2$  [31] and  $CS^+$  [32]. The one-dimensional Schrödinger equation for the vibration of a diatomic molecule with no rotational energy was solved numerically using potential energy functions (PEFs) for AIO 1–4 $2\Sigma^+$  which were fed to the equation as the cubic natural spline curves fitted to the MRCI energies for 2.2–6.0  $a_0$ . A computer program constructed by the author [31–33] was used for the computations of the  $G(v)$  values and vibrational wavefunctions.

## 3. Results and discussion

### 3.1. Potential energy curves and spectroscopic constants

Fig. 1 shows the MRCI potential energy curves (PECs) for the 1–4 $2\Sigma^+$  states of AIO. The  $3^2\Sigma^+$  state is found to have a double

potential well. The electronic term values  $T_e$  and the equilibrium internuclear distances  $R_e$  of the 1–4 $2\Sigma^+$  were determined by cubic natural spline (CNS) fitting to the MRCI energies. The vibrational constant  $\omega_e$  and the anharmonicity constant  $\omega_e x_e$  were obtained from a Dunham series expansion of vibrational term value  $G(v)$  for the vibrational quantum number  $v$  [34] as in our previous work [32], except for the outer-well of the  $3^2\Sigma^+$  state, for which  $\omega_e$  value was determined by the CNS fitting to the MRCI energies. The results are listed in Table 1, together with the experimental values [2,6].

Experimental values are available for  $T_e$ ,  $\omega_e$ ,  $\omega_e x_e$ , and  $R_e$  of the  $X^2\Sigma^+$ ,  $B^2\Sigma^+$ , and  $D^2\Sigma^+$  states, and  $T_e$  [2] ( $T_{00}$  [6]) and  $R_e$  [2] of the  $F^2\Sigma^+$  state. The theoretical  $\omega_e$  and  $\omega_e x_e$  values for the  $1^2\Sigma^+$ ,  $2^2\Sigma^+$ , and  $3^2\Sigma^+$  states were determined from the calculated  $G(v)$  values for the observed vibrational states ( $X^2\Sigma^+$   $v = 0$ –6 [9],  $B^2\Sigma^+$   $v = 0$ –9 [9], and  $D^2\Sigma^+$   $v = 0$ –5 [5]) using the Dunham series expansion of  $G(v)$  up to the fourth-order term of  $(v + 1/2)$ . The MRCI values of the  $1^2\Sigma^+$ ,  $2^2\Sigma^+$  and  $3^2\Sigma^+$  (inner-well) states agree excellently with the experimental values of the X, B, and D states, respectively, to within 185  $\text{cm}^{-1}$  for  $T_e$ , 25  $\text{cm}^{-1}$  for  $\omega_e$ , 0.7  $\text{cm}^{-1}$  for  $\omega_e x_e$ , and 0.011 Å for  $R_e$ . The values of  $T_e$ ,  $\omega_e$ , and  $R_e$  for the D  $3^2\Sigma^+$  outer-well are predicted in Table 1. Vibrational levels of the  $D^2\Sigma^+$  double potential well will be considered in Section 3.2.

The MRCI  $T_e$  ( $T_{00}$ ) and  $R_e$  values of the  $4^2\Sigma^+$  state agree well with the experimental values of the F state [2], to within 160  $\text{cm}^{-1}$  (72  $\text{cm}^{-1}$ ) and 0.015 Å, indicating that the  $4^2\Sigma^+$  PEC at and around its  $R_e$  is accurate. The present calculations found an irregularity in the separation values of successive vibrational levels of the F  $4^2\Sigma^+$  state. The vibrational constants for the  $4^2\Sigma^+$  state of values 451  $\text{cm}^{-1}$  for  $\omega_e$  and 8.7  $\text{cm}^{-1}$  for  $\omega_e x_e$  were determined from the calculated  $G(v)$  values for  $v = 0$ –2 using the Dunham series expansion of  $G(v)$  up to the second-order term of  $(v + 1/2)$ . Our  $\omega_e$  value is smaller by 399  $\text{cm}^{-1}$  than the theoretical value reported previously [18]. More detail about the determination of the  $4^2\Sigma^+$  vibrational constants, taking into account the irregularity, is given in Section 3.2.

The  $D^2\Sigma^+$  and  $F^2\Sigma^+$  PECs are close in value to a separation 0.19 eV at internuclear distance  $R = 4.0 a_0$ ; the  $D^2\Sigma^+$  PEC has a barrier top at 4.229  $a_0$ ; the  $F^2\Sigma^+$  PEC bends upward around 4.1  $a_0$ . These result from an avoided crossing between the D and F states

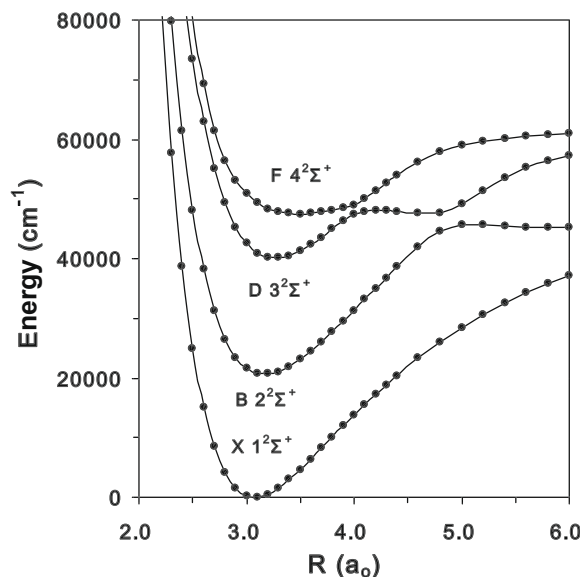


Fig. 1. Potential energy curves for the X  $1^2\Sigma^+$ , B  $2^2\Sigma^+$ , D  $3^2\Sigma^+$ , and F  $4^2\Sigma^+$  states which were drawn by using the MRCI energies. The MRCI total energy value for the  $1^2\Sigma^+$  state at internuclear distance  $R = 3.1 a_0$  is  $-316.998378$  hartree.

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